



THE PHILATELIC COLLECTIONS FORMED BY
J. WILLIAM MIDDENDORF II
CLASSIC UNITED STATES AND HAWAII



SALE 1216
TUESDAY, FEBRUARY 25, 2020

Robert A. Siegel
AUCTION GALLERIES, INC.

THE PHILATELIC COLLECTIONS FORMED BY
J. WILLIAM MIDDENDORF II
CLASSIC UNITED STATES AND HAWAII



SALE 1216—TUESDAY, FEBRUARY 25, 2020
AT 10:30 A.M. (LOTS 1-95) AND 1:30 P.M. (LOTS 96-248)

All lots sold subject to an **18% buyer's premium** and
applicable sales tax or customs duty

Please carefully read the Conditions of Sale before bidding

Presale Viewing:
Monday, February 24, 10am-4pm
and by appointment (please call 212-753-6421)

Robert A. Siegel
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6 WEST 48TH STREET, 9TH FLOOR, NEW YORK, N.Y. 10036
Phone (212) 753-6421 • Fax (212) 753-6429 • Email: stamps@siegelauctions.com

Catalogues, internet bidding, resources, archives and the Siegel Encyclopedia at
siegelauctions.com



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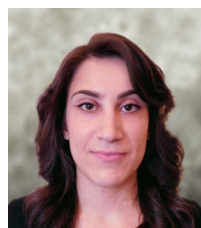
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Information for Bidders

Bidding

The following means are available for placing bids:

- 1) Attending the Live Auction in Person:** All bidders must register for a paddle, and new bidders must provide references at least three business days in advance of the sale.
- 2) Live Internet Bidding:** Instructions for participating as a Live Internet Bidder are provided on the page opposite.
- 3) Phone Bidding:** Bidders can be connected to the sale by phone and bid through a member of staff. Requests for phone bidding are subject to approval (please contact our office at least 24 hours before the sale). A signed Bid Form is required.
- 4) Absentee Bids.** All bids received in advance of the sale, either by mail, fax, phone, e-mail or internet, are Absentee Bids, which instruct the auctioneer to bid up to a specific amount on one or more lots in the sale. Absentee Bids sent by phone, fax or email should arrive at least one hour prior to the start of the sale session. Bids entered through Live Internet Bidding will be visible to the auctioneer during the sale. Written bids should be entered legibly on the Bid Form in the sale catalogue. Email and internet bids should be carefully typed and double-checked. All new bidders must provide references. We recommend calling or e-mailing to confirm that Absentee Bids sent by mail, fax or email have been received and entered.

Pre-Sale Viewing

Subject to availability, certain lots (except group lots) can be sent to known clients for examination. Requests must be made no later than 7 days prior to the sale. Lots must be returned on the day received. Postage/insurance costs will be invoiced.

In addition to regular viewing, clients may view lots by appointment. Our staff will be pleased to answer questions or provide additional information about lots.

Expert Certification

Individual items offered without a PF or PSE certificate dated within the past five years may be purchased subject to independent certification of genuineness and our description. **Please refer to the Conditions of Sale and Grading Terms for policies governing certification.**

Shipping and Delivery

Procedures and charges for shipping lots are printed on the back of the Bid Form. **Bidders are responsible for all prescribed shipping charges and any applicable sales tax or customs duties.**

Price Realized

Prices realized are sent with each invoice. Bidders with email will receive a Bid Results report after the sale. Session results are posted immediately to **siegelauctions.com**

Live Internet Bidding at Siegel Auctions

REGISTERED BIDDERS MAY BID IN THIS SALE USING LIVE INTERNET BIDDING

This step-by-step guide will instruct you how to register, set your browser and use the bidding interface.

Start by following the simple steps to become a registered Live Internet Bidder.

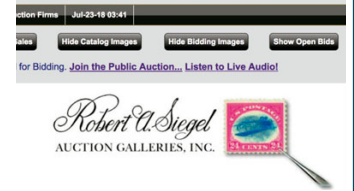
Once you have been approved for bidding, you can listen to the auction and place bids with the click of a mouse.

Registering with STAMP AUCTION NETWORK & SIEGEL AUCTION GALLERIES

Live Internet Bidding is managed by Stamp Auction Network (SAN).

To bid, you must be registered and approved by both SAN and Siegel.

To decide what you need to do, choose the description below that best fits you.



I've already registered with SAN and have been approved by Siegel for internet bidding

I'm a Siegel client, but I'm not registered with SAN

Go to stampauctionnetwork.com/siegel and click on "Register" at the top. Check the box for Robert A. Siegel Auction Galleries (under "R") and submit the form, indicating you are a Siegel client. Once registered at SAN, you're ready for internet bidding.

I've bid through SAN before, but this is the first time I've bid in a Siegel sale

Then you just need to be approved by Siegel. Go to stampauctionnetwork.com/siegel and click on "Update Registration" at the top. Your SAN account information will be sent to us for approval (you might be asked for other trade references). Once approved by Siegel for bidding, you're ready for internet bidding.

I've never bid with Siegel, nor registered with SAN

Go to stampauctionnetwork.com/siegel and click on "Register" at the top. Check the box for Robert A. Siegel Auction Galleries (under "R") and submit the form with your trade references (please, no family members or credit card companies as references). Once registered at SAN and approved by Siegel for bidding, you're ready for internet bidding.

Live Internet Bidding works by allowing registered bidders to observe and place bids.

Live Internet Bidding will work with any browser on both PC and Mac operating systems.

Before bidding by internet for the first time, we recommend finding a sale in progress and listening to the public broadcast or logging in as a registered bidder. This will help you develop a feel for the sale tempo and bidding interface.

Log on to the auction at stampauctionnetwork.com

When you're logged on as a Live Internet Bidder, the bidding interface shows a photo and description of the lot, the current bid (and your bidding status), options for placing competitive bids and buttons with bid increments.

- After you click on a bid amount, the auctioneer is immediately notified of your bid.
- Retracting a bid is usually not acceptable, so please bid carefully.
- If you bid and then decide to stop, the "Pass" button will tell the auctioneer you are no longer bidding.
- You can send messages to the auctioneer (for example, a request for extension).
- You can track prior realizations from the bidding screen.

Robert A. Siegel Auction Galleries, Inc.'s Sale 1185

Closing on June 27, 2018. [Listen to the Auction.](#)
Contact us by Phone at (212) 753-6421, [Contact us Via eMAIL.](#)

F11 (if using Internet Explorer) toggles full

Lot and Description	Bidding
 United States Inverted Jenny Positions 15 and 6 Lot 91 - 24c Carmine Rose & Blue, Center Inverted (C3a). Position 15, the fifth stamp from the left in the second row of the sheet, original gum, deep rich colors, tiny thin spot just below the plane, faint traces of purple ink above the bottom left "2" (image)	<div>Bid 280000</div> <div>Bid 290000</div> <div>Bid 300000</div> <div>Bid 310000</div> <div>Bid 320000</div> <div>Bid 330000</div> <div>Send a Message to the Auctioneer</div>
<div>\$ 450,000.00</div> <div>Sold for \$ 270,000.00</div> <div>Your Bid \$ 0.00</div>	

"System Down" or "Lost Connection" events do occasionally happen.

If you have any problems with Live Internet Bidding please call 212-753-6421 for immediate assistance.

Conditions of Sale (please read carefully before bidding)

THE PROPERTY IN THIS CATALOGUE WILL BE OFFERED AT PUBLIC AUCTION BY ROBERT A. SIEGEL AUCTION GALLERIES, INC. ("GALLERIES") ON BEHALF OF VARIOUS CONSIGNORS AND ITSELF OR AFFILIATED COMPANIES. BY BIDDING ON ANY LOT, WHETHER DIRECTLY OR THROUGH A THIRD PARTY, IN PERSON, BY TELEPHONE, FACSIMILE, INTERNET OR BY ANY OTHER MEANS, THE BIDDER ACKNOWLEDGES AND AGREES TO ALL OF THE FOLLOWING CONDITIONS OF SALE.

1. The highest bidder acknowledged by the auctioneer shall be the buyer. The term "final bid" means the last bid acknowledged by the auctioneer, which is normally the highest bid offered. **The purchase price payable by the buyer will be the sum of the final bid and a commission of 18% of the final bid ("buyer's premium"), together with any sales tax, use tax or customs duties due on the sale.**

2. The auctioneer has the right to reject any bid, to advance the bidding at his discretion and, in the event of a dispute, to determine the successful bidder, to continue the bidding or to reoffer and resell the lot in dispute. The Galleries' record of the final sale shall be conclusive.

3. All bids are per numbered lot in the catalogue unless otherwise announced by the auctioneer at the time of sale. The right is reserved to group two or more lots, to withdraw any lot or lots from the sale, or to act on behalf of the seller. The Galleries will execute bidding instructions on behalf of clients, but will not be responsible for the failure to execute such bids or for any errors in the execution of such bids.

4. **Lots with numbers followed by the symbol ° are offered subject to a confidential minimum bid ("reserve"), below which the lot will not be sold. The absence of the symbol ° means that the lot is offered without a reserve. If there is no reserve, the auctioneer has sole discretion to establish a minimum opening bid and may refuse an offer of less than half of the published estimate. Any lot that does not reach its reserve or opening bid requested by the auctioneer will be announced as "passed" and excluded from the prices realized lists after the sale. The Galleries may have a direct or indirect ownership interest in any or all lots in the sale resulting from an advance of monies or goods-in-trade or a guarantee of minimum net proceeds made by the Galleries to the seller.**

5. Subject to the exclusions listed in 5(A), the Galleries will accept the return of lots which, subject to the Galleries' sole judgment, have been misidentified or which have obvious faults that were present when the lot was in the Galleries' custody, but not so noted in the lot description. **All disputed lots must be received by the Galleries intact with the original packing material within 5 days of delivery to the buyer but no later than 30 days from the sale date. (5A) EXCLUSIONS:** The following lots may not be returned for any reason, or may not be returned for the reasons stated: (i) lots containing 5 or more items; (ii) lots from buyers who registered for the pre-sale exhibition or received lots by postal viewing, thereby having had the opportunity to inspect them before the sale; (iii) any lot described with "faults," "defects" or a specific fault may not be returned because of any secondary fault; (iv) photographed lots may not be returned because of centering, margins, short/nibbed perforations or other factors shown in the photos; (v) the color of the item does not match the color photo in the sale catalogue or website listing; (vi) the description contains inaccurate information about the quantity known or reported; or (vii) a certification service grades a stamp lower than the grade stated in the description or on an accompanying certificate.

6. Successful bidders, unless they have established credit with the Galleries prior to the sale, must make full payment in cleared funds before the lots will be delivered. Buyers not known to the Galleries must make payment in full within 3 days from the date of sale. **The Galleries retains the right to demand a cash deposit from anyone prior to bidder registration and/or to demand payment at the time the lot is knocked down, for any reason whatsoever.** In the event that any buyer refuses or fails to make payment in cash for any lot at the time it is knocked down to him, the auctioneer reserves the right to reoffer the lot immediately for sale to the highest bidder. **Credit cards (Visa, Mastercard and Discover only) can be accepted as payment, but will be subject to a 3% non-refundable Convenience Fee, which will be added to the total of the entire invoice (including hammer price, buyer's premium, shipping and transit insurance charges and any applicable taxes). The buyer waives the right to dispute all credit card charges.**

7. If the purchase price has not been paid within the time limit specified above, nor lots taken up within 7 days from the date of sale, the lots may be resold by whatever means deemed appropriate by the Galleries, and any loss incurred from resale will be charged to the defaulting buyer, and/or the Galleries may seek any other remedy prescribed by law to enforce payment. **Any account more than 30 days in arrears will be subject to a late payment charge of 1½% per month as long as the account remains in arrears. Any expenses incurred in securing payment from delinquent accounts will be charged to the defaulter.** A fee of \$250.00 will be charged for a check returned for insufficient funds.

8. All lots are sold as genuine. **Any lot accompanied by a certificate issued by The Philatelic Foundation or by Professional Stamp Experts within 5 years of the sale date is sold "as is" and in accordance with the description on the certificate. Such lots may not be returned for any reason, including but not limited to a contrary certificate of opinion or change in grade.** Buyers may request a certificate for a lot containing not more than one item, which does not have a P.F. or P.S.E. certificate (dated as above), provided that the following conditions are met: (i) the purchase price must be paid in full, (ii) if submitted by the buyer, the lot must be submitted to an acceptable expertizing service with a properly executed application form within 21 days of the sale, (iii) a copy of the application form must be given to the Galleries, (iv) the Galleries retains the right to resubmit the item for reconsideration, without time limit or other restrictions, for the purpose of obtaining a satisfactory opinion, (v) lots submitted for certification will be considered cleared 90 days from the date of sale, whether or not a certificate has been issued, unless the Galleries issues written approval of a further extension of return privileges, and (vi) in the event the lot is determined to be misidentified or misdescribed, pursuant to 5 and 5(A) of these Conditions, the Galleries will issue a refund to the buyer for the full purchase price and actual certification fees, but the reimbursement for certificate fees (and related costs) shall not exceed 10% of the hammer price of the lot. **Changes to a grade by the same certification service or a different grade from another certification service are not grounds for returning a lot.**

9. Until paid for in full, all lots remain the property of the Galleries on behalf of the seller.

10. Agents executing bids on behalf of clients may also be held responsible for all purchases made on behalf of clients, unless otherwise arranged prior to the sale.

11. **The buyer assumes all risk for delivery of purchased lots and agrees to pay for prescribed shipping costs. Buyers who receive lots in the U.S. are obligated to pay whatever sales tax or compensating use tax might be due, at any time, and buyers outside the U.S. are responsible for all customs duties.**

12. **The bidder consents that any action or proceeding against it may be commenced and maintained in any court within the State of New York or in the United States District Court for the Southern District of New York, that the courts of the State of New York and United States District Court for the Southern District of New York shall have jurisdiction with respect to the subject matter hereof and the person of the bidder. The bidder agrees not to assert any defense to any action or proceeding initiated by Galleries based upon improper venue or inconvenient forum. The bidder agrees that any action brought by the bidder shall be commenced and maintained only in a Federal Court in the United States District Court for the Southern District of New York or the State Court in the county in which Galleries has its principal place of business in New York. The bidder agrees not to use a public conflict resolution service and not to use any form of social media to publish comments or information about the Galleries and its employees which might harm the Galleries' reputation or business. These Conditions of Sale shall be governed by and construed in accordance with the substantive laws of the State of New York, and shall constitute an agreement that shall be binding on the parties, and their respective heirs, administrators, distributees, successors and assignees.**

SCOTT R. TREPEL, Principal Auctioneer (NYC License No. 795952)
N.Y.C. Department of Consumer Affairs
80 Lafayette Street, New York, N.Y. 10013
Telephone (212) 577-0111

Revised 9/2018

Grades, Abbreviations and Values Used in Descriptions

Grades and Centering

Our descriptions contain detailed information and observations about each item's condition. We have also assigned grades to stamps and covers, which reflect our subjective assessment. For stamps, the margin width, centering and gum are described and graded according to generally-accepted standards (an approximate correlation to numeric grades is provided at right). Although we believe our grades are accurate, they are not always exactly aligned with third-party grading terms or standards for all issues. **A lot may not be returned because a certification service grades a stamp lower than the grade stated in the description. Information from the P.S.E. Stamp Market Quarterly and P.S.E. Population ReportSM is the most current available, but lots may not be returned due to errors or changes in statistics or data.**

Extremely Fine Gem (90-100): The term "Gem" describes condition that is the finest possible for the issue. This term is equivalent to "Superb" used by grading services.







Extremely Fine (80-90): Exceptionally large/wide margins or near perfect centering.

Very Fine (70-85): Normal-size margins for the issue and well-centered with the design a bit closer to one side. "Very Fine and choice" applies to stamps that have desirable traits such as rich color, sharp impression, freshness or clarity of cancel.

Fine (60-70): Smaller than usual margins or noticeably off center. Pre-1890 issues may have the design touched in places.

Very Good (below 60): Attractive appearance, but margins or perforations cut into the design.

Guide to Gum Condition

Gum Categories:	MINT N.H.	ORIGINAL GUM (O.G.)				NO GUM
	 Mint Never Hinged <i>Free from any disturbance</i>	 Lightly Hinged <i>Faint impression of a removed hinge over a small area</i>	 Hinge Mark or Remnant <i>Prominent hinged spot with part or all of the hinge remaining</i>	 Part o.g. <i>Approximately half or more of the gum intact</i>	 Small part o.g. <i>Approximately less than half of the gum intact</i>	 No gum <i>Only if issued with gum</i>
Catalogue Symbol:	★ ★	★	★	★	★	(★)
PRE-1890 ISSUES	<i>Pre-1890 stamps in these categories trade at a premium over Scott value</i>			Scott Value for “O.G.”		Scott “No Gum” Values thru No. 218
1890-1935 ISSUES	Scott “Never Hinged” Values for Nos. 219-771	Scott Value for “O.G.” (Actual value will be affected by the degree of hinging)		Disturbed Original Gum: Gum showing noticeable effects of humidity, climate or hinging over more than half of the gum. The significance of gum disturbance in valuing a stamp in any of the Original Gum categories depends on the degree of disturbance, the rarity and normal gum condition of the issue and other variables affecting quality. For example, stamps issued in tropical climates are expected to have some		
1935 TO DATE	Scott Value for “Unused”					

Covers

Minor nicks, short edge tears, flap tears and slight reduction at one side are normal conditions for 19th century envelopes. Folded letters should be expected to have at least one file fold. Light cleaning of covers and small mends along the edges are accepted forms of conservation. Unusual covers may have a common stamp with a slight crease or tiny tear. **These flaws exist in virtually all 19th century covers and are not always described. They are not grounds for return.**

Catalogue Values and Estimates

Unless otherwise noted, the currently available *Scott Catalogue* values are quoted in dollars with a decimal point. Other catalogues are often used for foreign countries or specialized areas and are referred to by their common name: *Stanley Gibbons* (SG), *Dietz*, *American Air Mail Catalogue* (AAMC), *Michel*, *Zumstein*, *Facit*, etc. Estimates are indicated with an "E." and reflect our conservative valuation in dollars. Reserves will never exceed the low end of the estimate range; they will sometimes exceed Scott Catalogue value for stamps in Extremely Fine condition.

Because of certain pricing inconsistencies in the *Scott Catalogue*—for example, blocks that have no gum, the absence of premiums for Mint N.H. items, etc.—we cannot guarantee the accuracy of values quoted for multiples, specialized items and collection lots. We generally try to be conservative, but buyers may not return a lot because of a discrepancy in catalogue value due to Scott pricing inconsistencies.

Symbols and Abbreviations (see chart above for gum symbols)

⊞	Block	E	Essay	pmk.	Postmark	No.	Scott Catalogue Number
⊞	Cover	P	Proof	cds	Circular Datestamp	hs	Handstamp
FC	Fancy Cancel	TC	Trial Color Proof	var.	Variety	ms.	Manuscript

James M. Buchanan
5 Cents.
James M. Buchanan
5 Cents.



PAID

Paid



*John W. Andrews
bus
his*



Navy Department

OFFICIAL BUSINESS

Commodore

C. A. B. Caldwell

*100
/ 2*

*Commanding South Atlantic Station,
Rio de Janeiro,
Brazil.*



THE PHILATELIC COLLECTIONS FORMED BY J. WILLIAM MIDDENDORF II CLASSIC UNITED STATES AND HAWAII

INTRODUCTION

There are few collectors alive today who can speak of being in the Trianon Room at the Ambassador Hotel during the legendary Caspary auctions in the 1950s. As a young man with a distinguished military record in World War II, J. William Middendorf, the future U.S. Ambassador to the Netherlands and Secretary of the Navy, attended the sale with his philatelic mentor, Elliott Perry, an event he recalls with perfect clarity. He also remembers his great uncle telling him how he, as a little boy, sat perched on his father's shoulders and witnessed President Lincoln march in a parade. Thus, Ambassador Middendorf is one of the few surviving persons to have known someone who actually saw Lincoln.

In 1969, about thirteen years after attending the Caspary auction, Ambassador Middendorf left a successful Wall Street career to become U.S. Ambassador to the Netherlands, a post he held until 1973. He was named Secretary of the Navy in 1974 and championed the Ohio-class ballistic missile submarine, the Marine Corps CH53E Heavy Lift Copter, the Trident missile, the F/A-18 carrier-based fighter attack aircraft, and the Aegis missile system cruisers. These weapons systems were key players in shifting the balance of power against the Soviet Union during the Cold War, and today they remain critical parts of our nation's defense system.

In 1977, President Carter invited Ambassador Middendorf to stay on as Secretary of the Navy, but he returned to the private sector. In 1981, he was appointed U.S. Permanent Ambassador to the Organization of American States, a tour that brought him in close contact with the Falklands War and the successful Grenada invasion to remove the Soviets from the western hemisphere. In 1985, Ambassador Middendorf was named the U.S. Ambassador to the European Communities, a tour in which he was involved in numerous successful negotiations that significantly added to U.S. employment through exports. That same year, President Reagan appointed him Chairman of the White House Task Force on Project Economic Justice.

Ambassador Middendorf has served as a delegate to presidential nominating conventions as far back as 1964. He remains active in shaping strong national defense, foreign, and economic policy, and is a member of the board of trustees of the Heritage Foundation. He is also a well-known published author. His recent book, *The Great Nightfall*, warns that the slippage of U.S. military power makes the nation vulnerable to China, Russia, North Korea and Iran, and he offers solutions to the problems.

A true renaissance man, Ambassador Middendorf has lifelong interests in music, art and philately. He is a prolific composer of symphonies, including the Holland Symphony, presented to Queen Juliana of the Netherlands on the 25th anniversary of her ascension to the throne, for which he received the Edwin Franko Goldman American Bandmaster award. He is also a fitness advocate and has received national and worldwide championship recognition in the masters rowing divisions. A prolific painter and collector of fine art, his recent art show was held in Manhattan in January 2019 and included 85 original paintings.

In philately, Ambassador Middendorf, a member of The Collectors Club of New York for 70 years, formed and sold important collections of Hawaii and U.S. Carriers and Locals. During the past 35 years, he has continued to form collections in these areas, as well as others that pique his interest. Recent sales by the Siegel firm have included items from various areas, including Private Die Proprietary stamps. In this single-owner sale, we are offering Ambassador Middendorf's specialized collections of Postmasters' Provisionals, 1847 Issue, 1851-57 Issue with emphasis on the 1¢ Imperforate and Perforated stamps, Pony Express, Navy Department and Hawaii. In each area there are significant rarities and exhibition-caliber items.

On a personal note, Ambassador Middendorf has been a good friend to myself and to my colleague, Corey Long, for many years. Both of us have enjoyed our phone conversations with him and his visits to New York City. He is a profound thinker, and his stories are enthralling. His innumerable contributions to this nation's security have protected and continue to protect generations of Americans and indeed the entire world. It is a great honor to present this single facet of a brilliant man's multifaceted life.



Hon. J. William Middendorf II

— SCOTT R. TREPEL

THE PHILATELIC COLLECTIONS FORMED BY
J. WILLIAM MIDDENDORF II
CLASSIC UNITED STATES AND HAWAII

ARRANGEMENT OF SALE
TUESDAY, FEBRUARY 25, 2020

MORNING SESSION AT 10:30 A.M.

LOTS 1-95

POSTMASTERS' PROVISIONAL ISSUES	LOTS 1-31
1847 ISSUE	32-37
ONE-CENT 1851-56 IMPERFORATE	38-82
1851-56 ISSUE—OTHER VALUES	83-95

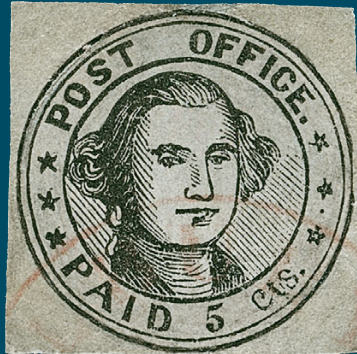
AFTERNOON SESSION AT 1:30 P.M.

LOTS 96-248

ONE-CENT 1857-61 PERFORATED	LOTS 96-138
1857-60 ISSUE—OTHER VALUES	139-147
PONY EXPRESS	148-200
OFFICIAL NAVY DEPARTMENT ISSUE	201-215
HAWAII	216-248

THE J. WILLIAM MIDDENDORF II COLLECTION
POSTMASTERS' PROVISIONAL ISSUES

MORNING SESSION—LOTS I-95
TUESDAY, FEBRUARY 25, 2020, AT 10:30 A.M.



ANNAPOLIS, MARYLAND



1

- 1 **Annapolis, Maryland, (2c) Blue Handstamp (2XU1 var).** Clear strike on cover front only with matching "2" handstamp addressed locally to Miss Jessie Steele, light file folds including one barely affecting marking

VERY FINE. A BEAUTIFUL CLEAR STRIKE OF THE ANNAPOLIS POSTMASTER'S SEAL, WHICH WAS USED TO MAKE THE FAMOUS POSTMASTER PROVISIONAL ENVELOPES AND WAS ALSO RARELY APPLIED AS A POSTMARK.

The Scott footnote to 2XU1 values the blue circle at \$17,500.00 with "2" handstamped rate (on full cover)..... E. 2,000-3,000

BALTIMORE, MARYLAND



2

- 2 ☒ **James M. Buchanan.** Free frank "James M. Buchanan" almost exactly as it appears on the provisional stamps and entires, "On P. Office Business" and addressed in another hand on folded cover to postmaster of Sandy Spring Md., blue "Baltimore Md. Oct. 19" circular datestamp and "FREE" handstamp, small "W.H.C." (Warren H. Colson) handstamp at lower right, accompanied by a folded letter from the Beatty correspondence with large red "PAID" in double-line frame handstamp, blue "Baltimore Md. Feb. 2" (1846) circular datestamp, "PAID" and "5" in oval, both Extremely Fine, these stampless covers fall within the Buchanan provisional period and make excellent collateral items in a provisional display, ex Frelinghuysen..... E. 1,500-2,000

James M. Buchanan
5 Cents.

James M. Buchanan
5 Cents.

PAID

Paid



Miss Mary Floyd
Post Tobacco
Charles Co
Md

ONE OF TWO RECORDED PAIRS OF
THE 5-CENT BALTIMORE POSTMASTER'S PROVISIONAL

- 3 ✉ **Baltimore, Maryland, 5c Black on White (3X1).** Positions 3/5, vertical pair, trace of frameline at bottom left, tied by cross-hatched pen strokes, matching manuscript "10" rate, clear strike of blue "Baltimore Md. Mar. 21" (1847) circular datestamp and matching "PAID" handstamp on envelope to Miss Mary Floyd in Port Tobacco Md., the pair has a pre-use horizontal crease mostly along the frameline between stamps

VERY FINE APPEARANCE. ONE OF TWO RECORDED PAIRS OF THE 5-CENT PROVISIONAL ISSUED BY BALTIMORE'S POSTMASTER, JAMES M. BUCHANAN. BOTH PAIRS ARE ON WHITE PAPER, AND THEY ARE THE ONLY MULTIPLES OF A BALTIMORE PROVISIONAL KNOWN ON OR OFF COVER.

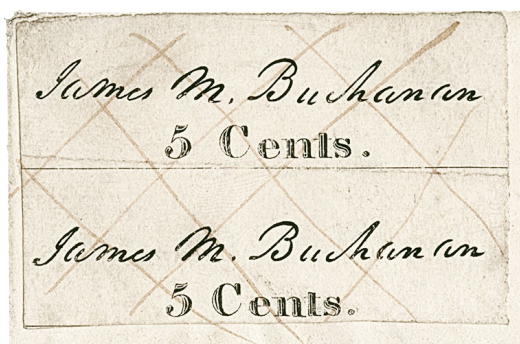
No. 27 in the Hayes census (*Collectors Club Philatelist*, Jan. 1970). USPCS census no. 20122. Illustrated in the Luff book.

Ex Ayer, Worthington (New York International Philatelic Exhibition 1913), Gibson, Lapham (TIPEX 1936), Frelinghuysen and Gross. Small "W.H.C." (Warren H. Colson) handstamp at lower right and Worthington's source code in pencil on back: "11/20/03 New Eng S. Co. ESS.SS" (New England Stamp Company, \$900.00).

With 2011 P.F. certificate. Scott value for a pair on cover is \$125,000.00.

History of the Baltimore provisionals: <http://siegelauctions.com/enc/pdf/Baltimore.pdf>

History of this cover: <https://siegelauctions.com/2018/1188/4.pdf>..... E. 50,000-75,000



Detail

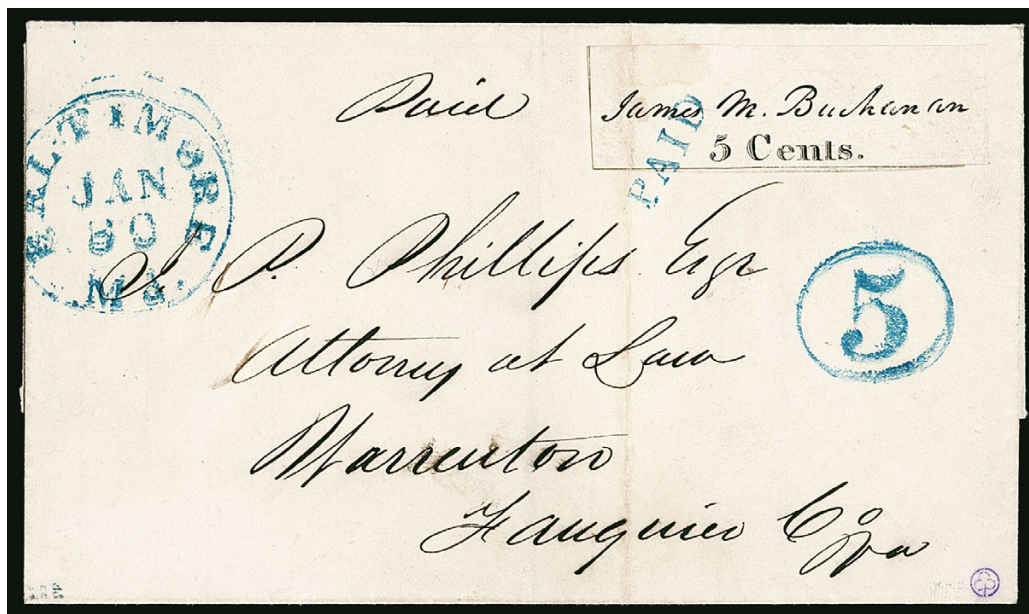


4

- 4 ☒ **Baltimore, Maryland, 5c Black on White (3X1).** Position 4, ample to large margins showing almost all of framelines on three sides, **without usual pen cancel and tied by well-struck blue "PAID" handstamp**, matching "Baltimore Md. Mar. 29" (1847) circular datestamp on folded letter to Philadelphia, faint toned spot at center from wax seal is barely evident and not mentioned on accompanying certificate

EXTREMELY FINE. AN OUTSTANDING EXAMPLE OF THE 5-CENT BALTIMORE PROVISIONAL ON WHITE PAPER USED ON COVER. VERY FEW OF THE KNOWN COVERS HAVE THE STAMP CANCELLED SOLELY BY A HANDSTAMP.

No. 28 in the Hayes census (*Collectors Club Philatelist*, Jan. 1970). USPCS census no. 20125. Ex Hall and "Laila". With 2009 P.F. certificate. Signed John Klemann. Scott value \$15,000.00 for the usual cancel (which is normally pen, footnote states "Stamps on cover tied by handstamps command premiums"). E. 15,000-20,000

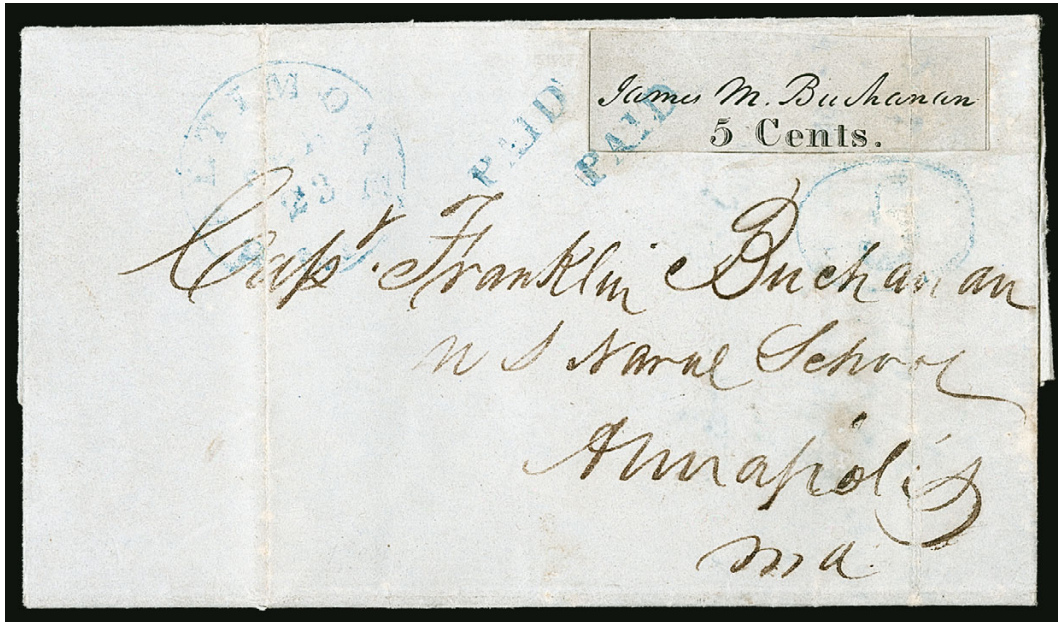


5

- 5 ☒ **Baltimore, Maryland, 5c Black on White (3X1).** Position 5, bottom frameline nearly complete, part also showing at left, **without pen cancellation and tied by blue "PAID" handstamp**, matching "Baltimore Md. Jan. 30" (1847) circular datestamp and "5" in oval handstamp on folded cover to J. P. Phillips (attorney at law) in Warrenton Va., sender's notation "Paid", receipt docketing "Norris & Bro 1847" on inside panel, pressed-out vertical file fold passes thru stamp at left where there is a lightened stain spot

VERY FINE APPEARANCE. A RARE EXAMPLE OF THE BALTIMORE, MARYLAND, 5-CENT ON WHITE PAPER WITHOUT PEN CANCELLATION AND TIED BY A HANDSTAMPED MARKING.

No. 24 in the Hayes census (*Collectors Club Philatelist*, Jan. 1970). USPCS census no. 20118. Ex Ferrary (with his purple trefoil handstamp at lower right) and probably ex Lapham, ex Frelinghuysen. With 2012 P.F. certificate. Small "W.H.C." (Warren H. Colson) handstamp and illustrated in his *Colson of Boston* promotional booklet (page 20). Scott value \$15,000.00 for the usual cancel (which is normally pen, footnote states "Stamps on cover tied by handstamps command premiums"). E. 7,500-10,000



6

- 6 ☒ **Baltimore, Maryland, 5c Black on Bluish (3X3).** Position 10, ample margins showing most of three framelines, **without pen cancellation and tied by two strikes of blue "PAID" handstamp**, matching "Baltimore Md. Feb. 23" (1846) circular datestamp on folded letter to U.S. Navy Captain Franklin Buchanan at the Naval Academy in Annapolis Md., letter concerns disciplinary action against at cadet, file fold through the stamp at right, trivial bleaching spots not mentioned on accompanying certificate

VERY FINE APPEARANCE. A RARE EXAMPLE OF THE 5-CENT BALTIMORE POSTMASTER'S PROVISIONAL ON BLUISH PAPER, WITHOUT PEN CANCELLATION AND TIED BY A HAND-STAMPED MARKING.

The recipient, Franklin Buchanan, was a 45-year career officer in the United States Navy before joining the Confederacy in 1861. He became the only full admiral in the Confederate Navy during the Civil War and he also commanded the ironclad C.S.S. *Virginia* (U.S.S. *Merrimac*) during the beginning stages of the famous battle at Hampton Roads, Virginia. He was wounded in the early stages of the battle and was replaced as commander of the *Virginia* by Catesby ap Roger Jones prior to its engagement with the U.S.S. *Monitor*. He was a member of the Baltimore Buchanan family, which of course also included his cousin James Buchanan, the postmaster responsible for the Baltimore Provisionals.

No. 9 in the Hayes census (*Collectors Club Philatelist*, Jan. 1970). USPCS census no. 20144. Ex Gronowski (private sale) and Haub. With 2012 P.F. certificate. Scott value \$13,500.00 for the usual cancel (which is normally pen, footnote states "Stamps on cover tied by handstamps command premiums"). E. 5,000-7,500



7

- 7 ☒ **Baltimore, Maryland, 5c Black on Bluish (3X3).** Position 4, large margins showing most of four framelines, cancelled by manuscript and **tied** by blue "Baltimore Md. Oct. 3" (1846) circular datestamp, matching "PAID" and "5" in oval handstamps on folded letter to Washington D.C., stamp with tiny pinholes from affixing wafers, light corner creases at bottom left, stamp lifted and hinged back in place

VERY FINE APPEARANCE. A SCARCE AND DESIRABLE EXAMPLE OF THE 5-CENT BALTIMORE POSTMASTER'S PROVISIONAL ON BLUISH PAPER. ONE OF THE VERY FEW BALTIMORE PROVISIONAL COVERS WITH THE STAMP TIED BY THE TOWN DATESTAMP.

No. 22 in the Hayes census (*Collectors Club Philatelist*, Jan. 1970). USPCS census no. 20160. With 1978 P.F. certificate. Scott Retail \$13,500.00..... E. 3,000-4,000

BRATTLEBORO, VERMONT



8

- 8 **Brattleboro, Vermont, 5c Black on Buff (5X1).** Position 4, two large margins at left and bottom, ample at top and mostly clear at right, cancelled by red "PAID", slight thinning as usual for this soft porous paper

FINE APPEARANCE. A LIGHTLY-CANCELLED AND VERY ATTRACTIVE EXAMPLE OF THE BRATTLEBORO, VERMONT, 5-CENT POSTMASTER'S PROVISIONAL ISSUE.

A total of 53 Brattleboro provisional stamps are recorded in the USPCS census (33 off cover including one on piece and 20 on 19 covers).

Ex Frelinghuysen. With 2012 P.F. certificate..... 7,500.00



9

- 9 **Brattleboro, Vermont, 5c Black on Buff (5X1).** Position 6, large margins to clear including **left sheet margin**, crisp impression, cancelled by both **red numeral "5" and matching "PAID"** handstamps, also with unusual plate flaw just to the left of signature, vertical crease, tiny tear at right

VERY FINE APPEARANCE. A RARE SHEET-MARGIN EXAMPLE OF THE BRATTLEBORO POSTMASTER'S PROVISIONAL. ONLY 33 COPIES ARE RECORDED OFF COVER. THIS EXAMPLE IS ESPECIALLY NOTEWORTHY DUE TO THE PRINTING FLAW AND ALSO THE "PAID" AND "5" RED CANCELLATIONS. A GREAT RARITY.

A total of 53 Brattleboro provisional stamps are recorded in the USPCS census (33 off cover including one on piece and 20 on 19 covers). Of these, many are faulty due to the softness of the paper. No other example of Position 6 shows the odd black spot of printing ink to the left of the initials, so this flaw must have either developed late in the life of the plate (if, in fact, it is a depression in the engraved plate) or it was the result of foreign matter adhering to the plate.

With 2010 P.F. certificate..... 7,500.00

MILLBURY, MASSACHUSETTS



10

- 10 **Millbury, Massachusetts, 5c Black on Bluish (7X1).** Cut to shape and mounted on small matching color piece, parts of the outer circular frameline drawn in and portion of cancellation on the piece drawn in, clear impression

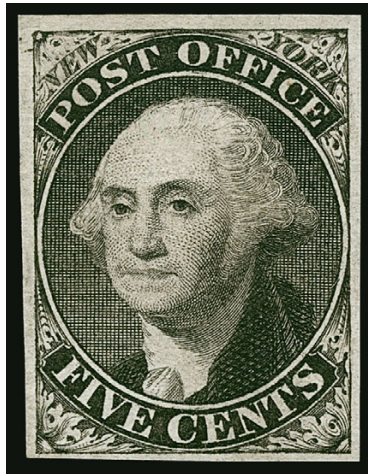
FINE APPEARING EXAMPLE OF THE RARE MILLBURY, MASSACHUSETTS, POSTMASTER'S PROVISIONAL. ONLY EIGHTEEN ARE RECORDED, INCLUDING NINE USED ON PIECE OR OFF COVER.

The 5c stamp issued by Millbury postmaster Asa Holman is one of two United States provisionals with a portrait (George Washington in both cases). It is also unusual in that the designation "Post Office" appears without any town name, a characteristic in common with the Baltimore and Boscawen provisionals. Our census of the Millbury provisional is available at <https://siegelauctions.com/census/us/scott/7X1>.

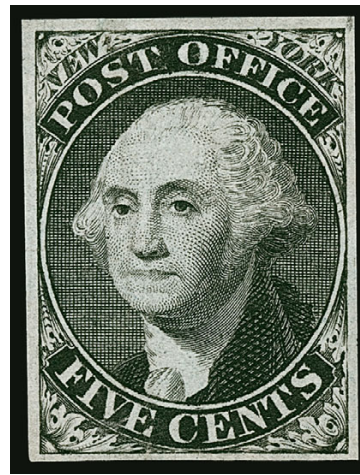
Census no. 7X1-CAN-06. Ex Dr. Maffeo and "Alan". With 1957, 1992 and 2007 P.F. certificates.

History of the Millbury provisional: <http://siegelauctions.com/enc/pdf/Millbury.pdf>.....
..... 50,000.00

NEW YORK, N.Y.
WITHOUT SIGNATURE

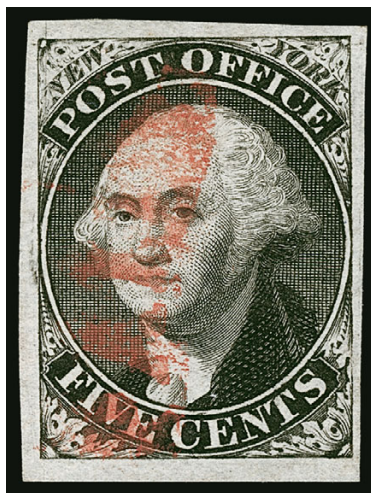


11



12

- 11 (★) **New York N.Y., 5c Black, Without Signature (9X1e).** Position 34, unused (no gum), large margins to ample at bottom, tiny thin spot at top left, Very Fine appearance and a scarce stamp in unused condition, with 2011 P.F. certificate 4,000.00
- 12 (★) **New York N.Y., 5c Black, Without Signature (9X1e).** Position 14, unused (no gum), full margins and deep shade, small repaired tear at top left, Very Fine appearance and scarce unused, with 2011 P.F. certificate..... 4,000.00



13

- 13 **New York N.Y., 5c Black, Without Signature (9X1e).** Position 39, large to huge margins, cancelled solely by red New York "Paid" arc handstamp without pen cancel, bright and fresh in every respect

EXTREMELY FINE GEM. A MAGNIFICENT USED EXAMPLE OF THE NEW YORK POSTMASTER'S PROVISIONAL WITHOUT SIGNATURE. EXTREMELY RARE WITHOUT PEN CANCELLATION.

This stamp is one of the three finest used examples of the 5c New York Without Signature we have offered since keeping computerized records more than 25 years ago.

With 2011 P.F. certificate 1,000.00



14



15

- 14 **New York N.Y., 5c Black, Without Signature (9X1e).** Position 39, large margins to clear at bottom left, tied by red "Paid" arc handstamp without pen cancel on small piece, stamp with tiny nick at left, appears Very Fine, ex Frelinghuysen, with 2012 P.F. certificate 1,000.00
- 15 **New York N.Y., 5c Black, Without Signature (9X1e).** Position 20, huge margins to ample at bottom left corner, cancelled solely by red "Paid" arc handstamp without pen cancel, faint diagonal crease evident when dipping, appears Very Fine, ex Frelinghuysen, with 2012 P.F. certificate..... 1,000.00



16

- 16 ☒ **New York N.Y., 5c Black, Without Signature (9X1e).** Position 32, large margins to clear at top right, tied by blue cross-hatch manuscript pen strokes, red "New-York 5 cts. 27 Aug." (1845) integral-rate circular datestamp and matching "Paid" arc handstamp on folded New York Supreme Court notice to Norwich Conn., notice is for a judgement in the amount of \$318.82, stamp slightly toned (not mentioned on certificate), still Very Fine, with 1992 P.F. certificate, Scott Retail \$1,350.00 E. 400-500



17

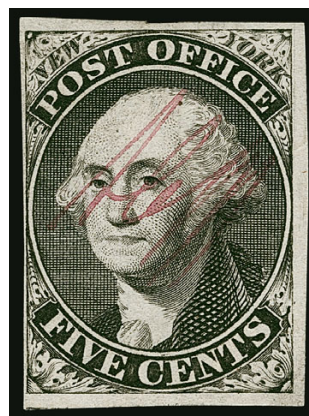
- 17 ☒ **New York N.Y., 5c Black, Without Signature (9X1e).** Position 39, large margins to clear at top, tied by red New York "Paid" arc handstamp without pen cancel, also tied by French transit, second strike of "Paid" at center, on blue folded cover docketed Dec. 14, 1846 to **La Chaux-de-Fond, Switzerland**, sender's ship-name directive "*Caledonia*" and "*Via Paris*", carried on that Cunarder from Boston on November 16, arriving Liverpool December 30, red "COLONIES/&c. ART. 13" framed Anglo-French accountancy handstamp, red "T.F." transit and bold manuscript "46" decimes due rate, British transit (Dec. 31) and Swiss backstamps, addressee's name has been erased resulting in thinning of the cover and a small hole below the stamp, area backed with additional paper, also some soiling extending to bottom of stamp

VERY FINE APPEARANCE. ONE OF ONLY FIVE RECORDED COVERS TO SWITZERLAND WITH THE NEW YORK POSTMASTER'S PROVISIONAL STAMP AND THE ONLY COVER BEARING THE DESIRABLE UNSIGNED VARIETY (9X1e). AN EXTRAORDINARY USE.

The USPCS census records only five covers to Switzerland with the New York Postmaster's Provisional, including two covers bearing 9X1, two bearing 9X1a and this single cover with the unsigned 9X1e. Three of the covers have the addresses obliterated.

USPCS census no. 20691. Ex Lapham and Frelinghuysen. With 2012 P.F. certificate.....
..... E. 3,000-4,000

- 18 (★) **New York N.Y., 5c Black, “ACM” Initials (9X1).** Unused (no gum), three large margins, clear at left, detailed impression, fresh and Fine, an attractive sound unused example of the 5c New York, ex Wagshal, with 1979 and 2010 P.F. certificates..... 1,500.00



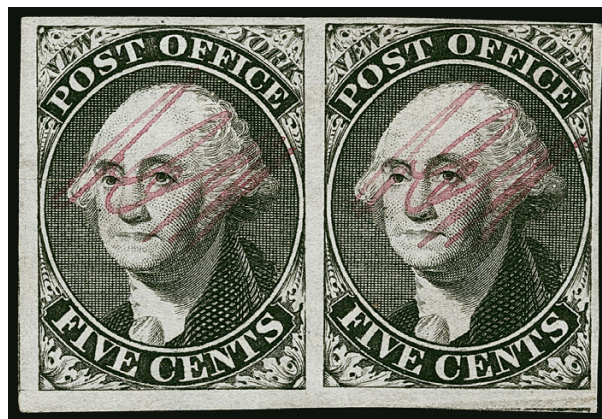
18



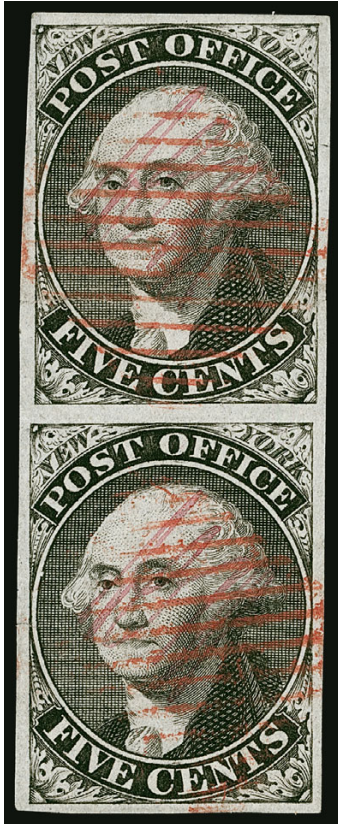
19

- 19 ★ **New York N.Y., 5c Black, “ACM” Initials (9X1).** Positions 27-28, horizontal pair, part original gum, large margins at top and left, touching at lower right, clear impression on fresh paper, right stamp light diagonal crease
- FINE APPEARING AND RARE UNUSED PAIR OF THE NEW YORK POSTMASTER'S PROVISIONAL WITH PART ORIGINAL GUM.
- Ex Frelinghuysen. With 2012 P.F. certificate. Scott retail as unused pair without gum..... 5,750.00

- 20 (★) **New York N.Y., 5c Black, “ACM” Initials (9X1).** Positions 32-33, horizontal pair, unused (no gum), large margins to just clear at top right, left stamp with light natural pre-printing horizontal crease, Fine-Very Fine appearance, a scarce unused pair, ex Frelinghuysen, with 2012 P.F. certificate 5,750.00

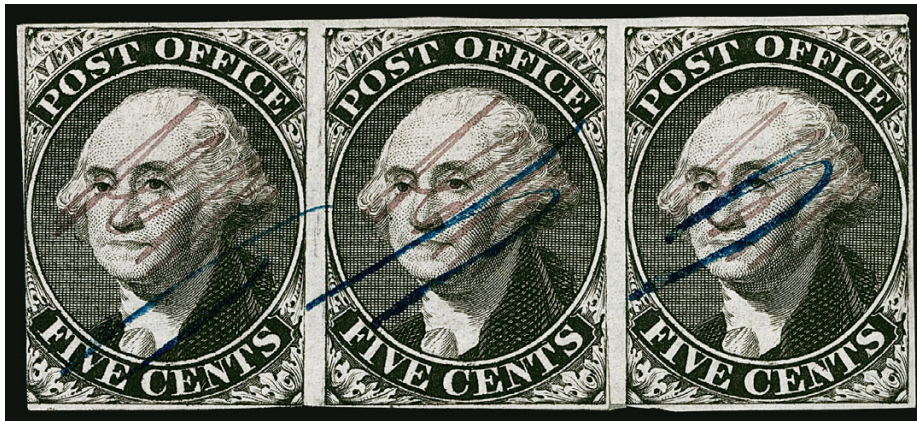


20



- 21 **New York N.Y., 5c Black, "ACM" Initials (9X1).** Positions 17/22, vertical pair, large even margins, cancelled solely by two strikes of New York red square grid without pen cancel, top stamp light horizontal crease, bottom stamp tiny edge tear, still Extremely Fine appearance, vertical pairs are much scarcer than horizontal pairs, ex Frelinghuysen, with 2012 P.F. certificate..... 1,550.00

21



22

- 22 **New York N.Y., 5c Black, "ACM" Initials (9X1).** Positions 11-13, horizontal strip of three, full margins to just in at lower left of left stamp and touching at upper right of right stamp, neat blue pen cancels, small 5.5mm scissors-cut at bottom between middle and right stamps

VERY FINE APPEARANCE. A RARE STRIP OF THREE OF THE 5-CENT NEW YORK POSTMASTER'S PROVISIONAL.

While pairs of the New York Postmaster's Provisional were commonly used to pay the double or over-300 miles rate, any multiple of three or larger is extremely rare.

Ex Earl of Crawford, Waterhouse and Frelinghuysen. With 2012 P.F. certificate..... 5,000.00

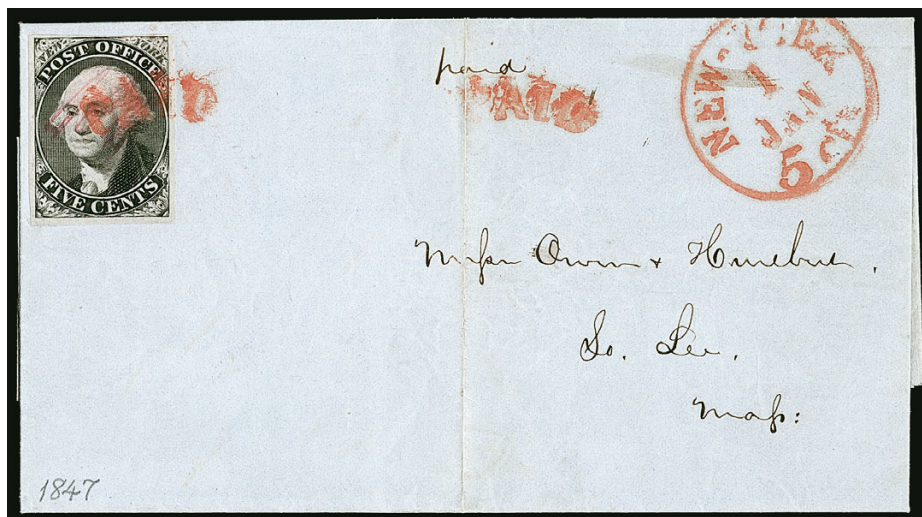


- 23 ☒ **New York N.Y., 5c Black, "A CM" Initials (9X1a var).** Position 10, unusual configuration of signature with "A" separated from "CM" (the reverse of the normal 9X1a), large margins to touching at right, tied by magenta manuscript "5" **applied at Boston with red "Boston 5 cts. Sep. 30" (1845) integral-rate circular datestamp on folded cover to E. D. Morgan & Co. in New York, stamp cancelled on arrival by red "Paid" arc handstamp, second strike at center, docketed inside confirming the date**

VERY FINE. A VERY ATTRACTIVE AND RARE EXAMPLE OF THE NEW YORK POSTMASTER'S PROVISIONAL STAMP USED ON INBOUND MAIL FROM BOSTON.

New York Postmaster Robert H. Morris arranged to distribute stamps to some cities outside of New York and instructed the postmasters to treat the letters as unpaid at origin, but New York would accept them as prepaid on arrival. This cover originated in Boston, and the stamp has the unusual initials configuration with the letters "ACM" almost completely separated (without periods), except the "C" and "M" are faintly attached.

Ex Lapham and Frelinghuysen. With 2012 P.F. certificate..... E. 3,000-4,000



- 24 ☒ **New York N.Y., 5c Black (9X1, 9X1e).** Balance of five covers, four bearing a single 9X1 and one bearing a single 9X1e, four of the five stamps show a **double transfer**, including Positions 2, 4, 23 and 31, all of the stamps with at least clear margins and some sides ample, three tied **solely by red handstamps** and two with pen cancel (including 9X1e), one with a N.Y. square grid is very early for the cancel (Dec. 22, 1846), a few small non-obvious flaws, overall a Very Fine small group of New York provisionals on covers, two certificates accompany, total Scott Retail \$3,750.00.....**(Photo Ex)** E. 1,500-2,000

PROVIDENCE, RHODE ISLAND



25

- 25 ★田 **Providence, Rhode Island, 5c & 10c Gray Black, Se-Tenant (10X2a).** Complete sheet of twelve, top right stamp 10c, brown original gum, h.r., natural pre-printing paperfold at lower left, some light toning from gum, Very Fine appearance, an attractive complete sheet of twelve, with 2012 P.F. certificate..... 5,500.00



26

- 26 **Providence, Rhode Island, 5c Gray Black (10X1).** Position 12, margins to just in, cancelled by manuscript check mark and tied by red "5" handstamp on small blue piece, light horizontal file fold thru stamp, Fine, scarce and desirable tied on piece by the red numeral handstamp, ex Golden, with 2012 P.F. certificate, Scott Retail as used \$2,250.00 E. 750-1,000



27

- 27 **Providence, Rhode Island, 5c Gray Black (10X1).** Position 10, large margins to clear including **sheet margin** at left, uncanceled and affixed to large part of folded cover addressed to J. B. Congdon in New Bedford Mass., red "PAID" and "5" handstamps, docketed on back "Providence Bank August 2", Fine and unusual, ex Golden, with 2012 P.F. certificate stating "it is genuine" E. 1,000-1,500



28

- 28 ☒ **Providence, Rhode Island, 5c Gray Black (10X1).** Ample to large margins all around, cancelled by manuscript "V", red "Providence R.I. Feb. 11" (1847) circular datestamp with matching "PAID" and "5" handstamps on folded letter to Clara Louisa Clapp, Boston
 VERY FINE AND CHOICE 5-CENT PROVIDENCE POSTMASTER'S PROVISIONAL ON AN ATTRACTIVE COVER. VERY FEW ON-COVER EXAMPLES ARE SOUND WITH FOUR MARGINS.
 A review using Power Search reveals how rare this issue is on cover in sound condition with four margins. Most examples we have encountered are either cut in on one side or have a small flaw.
 USPS census no. 21054. With 1980 and 2016 P.F. certificates 7,000.00

SAINT LOUIS, MISSOURI



29

29 **St. Louis, Missouri, 5c Black on Greenish (11X1).** Type I, Position 1, ample margins at bottom and right, just clear at lower left, **cancelled by red circular datestamp without pen cancel**, a few non-obvious faults including small sealed tear, small thin and light vertical crease, tiny bit of stray printer's ink at upper right

FINE APPEARANCE. A RARE EXAMPLE OF THE 5-CENT ST. LOUIS "BEARS" PROVISIONAL ON GREENISH PAPER, CANCELLED SOLELY BY A HANDSTAMP.

With 2008 P.S.E. certificate. Scott value is for a normal pen-cancelled stamp (footnote states "handstamp-cancelled stamps sell for much more") 8,000.00



30

30 **St. Louis, Missouri, 10c Black on Gray Lilac (11X5).** Vertical strip of three containing all three positions from the right vertical row of the plate, Positions 2/4/6, large margins to clear and just in at upper and lower right, neat single line pen cancel, top stamp trivial wrinkle and small surface rub — neither mentioned on accompanying certificate

FINE-VERY FINE APPEARANCE. THIS IS ONE OF ONLY TWO RECORDED OFF-COVER STRIPS OF THREE OF THE 10-CENT ST. LOUIS POSTMASTER'S PROVISIONAL ON GRAY LILAC PAPER. A MAGNIFICENT SHOWPIECE.

The St. Louis “Bears” were issued by Postmaster John M. Wimer from November 1845 until the first United States General Issue became available in July 1847. The stamps were issued in three denominations — 5c, 10c and 20c — and were sold at a premium over face value to pay the costs of printing. All of the stamps were printed from a single copper plate of six subjects (2 x 3) engraved by J. M. Kershaw. The plate underwent two significant modifications. Philatelists identify each state of the plate as Plates 1, 2 and 3, but in fact the same piece of metal was used in each state. The different states of the plate reflect the substitution of two of the three 5c denominations in the left column for 20c denominations, and back again to three 5c. The right column, as demonstrated by this strip, was never altered and remained as 10c denominations throughout the life of the plate. Each engraving of the 10c design differs slightly, particularly in the lines underneath “Post Office” at bottom, which aids in identifying positions. This strip beautifully demonstrates the subtle differences in each position.

Ex Faïman and from our 1965 and 1979 Rarities of the World sales. With 1965 P.F. certificate. Scott Retail \$52,500.00.

History of the St. Louis provisionals: <http://siegelauctions.com/enc/pdf/StLouis.pdf>.....
..... E. 15,000-20,000



31

- 31 **St. Louis, Missouri, 10c Black on Bluish Pelure (11X8).** Type I, Position 2 with **enormous top right corner sheet margins showing edge of the plate**, large left margin and originally a large margin at bottom except a sizeable nick has been torn from the stamp, cancelled by manuscript numeral "10" rate and part of red handstamp at the top left, sharp impression

A REMARKABLE CORNER SHEET-MARGIN EXAMPLE OF THE RARE ST. LOUIS "BEARS" 10-CENT PROVISIONAL ON PELURE PAPER.

A survey of our Levi records and auction catalogues produced a tally of approximately 35 examples of the 10c Pelure off and on cover. The vast majority of these stamps have faults or margins cutting into the design. The stamp offered here is extraordinary as a full corner sheet-margin example, and its appearance could be vastly improved with some skillful restoration of the defect at bottom.

Ex Pope and Wagshal. With 1962 and 2010 P.F. certificates. Scott Retail \$15,000.00, but footnote states: "Values of Nos. 11X7-11X8a, on and off cover, reflect the usual poor condition of these stamps, which were printed on fragile pelure paper. Attractive examples with minor defects sell for considerably more." E. 4,000-5,000

THE J. WILLIAM MIDDENDORF II COLLECTION
1847 ISSUE





THE UNIQUE ASHBROOK RECONSTRUCTION SHOWING DOUBLE TRANSFER TYPES A AND B

- 32 田 **5c Red Brown (1).** Reconstructed block of six from vertical strips of Positions 79/89/99R1 and 80/90/100R1, containing **Double Transfer Types A (Position 80) and B (Position 90)**, mostly large margins all around, rich Deep Red Brown color and impression from the first printing, each with vivid red grid cancel, top two stamps faintly creased

THE UNIQUE "ASHBROOK RECONSTRUCTION"—A BLOCK OF SIX DIVIDED INTO TWO VERTICAL STRIPS AND REJOINED TO FORM ONE EXTREMELY FINE AND INCOMPARABLE SHOWPIECE.

The left strip was owned by Stanley B. Ashbrook. In 1918, while viewing the Judge Robert S. Emerson collection, he realized that a similar strip owned by the judge had once adjoined his own. The reconstructed block is illustrated in the Brookman book (Volume 1, page 43).

Vertical 5c 1847 strips are very rare, on or off cover. It is reported that only one other 5c multiple exists with both double transfers—a pair from Positions 80 and 90R—but the pair is cut into at bottom and right.

Ex Emerson, Ashbrook, Newbury, Dr. Morris and Mirsky. With 1980 Freidl and 1983 P.F. certificates. 1996 Calvet M. Hahn analysis accompanies..... E. 20,000-30,000



33

- 33 田 **5c Brown (1).** Block of five, deep shade approaching Grayish Brown, ample to large margins all around except in at bottom, red New York square grid cancels, bottom left stamp tear at bottom, few creases and slight soiling do not detract from its appearance
FINE-VERY FINE APPEARANCE. AN EXTREMELY RARE AND EXHIBITABLE BLOCK OF FIVE OF THE 5-CENT 1847 ISSUE.

This is the ninth largest recorded multiple of the 5c 1847.

Ex Stollnitz, Rose and Curtis. Scott Retail \$27,850.00 as a block of four and a single
..... E. 5,000-7,500



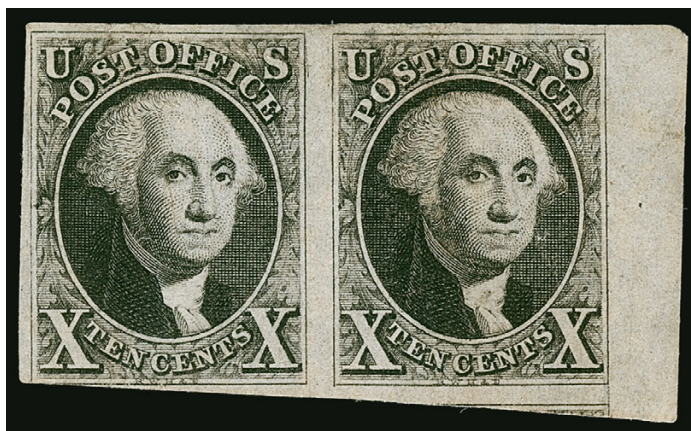
34

- 34 ☒ **5c Dark Brown (1a).** Horizontal pair, deep rich color, large to huge margins including parts of three adjoining stamps, tied by two strikes of red “**Albany N.Y. May 1**” (1848) circular datestamp with fleurons on folded letter to Pontiac, Michigan

EXTREMELY FINE GEM PAIR OF THE 5-CENT 1847 ISSUE IN A BEAUTIFUL DARK BROWN SHADE, ATTRACTIVELY TIED BY THE RED ALBANY DATESTAMP ON COVER. WONDERFUL QUALITY IN EVERY RESPECT.

Ex Eubanks. With 1980 and 2017 P.F. certificates E. 2,000-3,000

AN EXTREMELY RARE ORIGINAL-GUM PAIR OF
THE 10-CENT 1847 ISSUE



35

- 35 ★ **10c Black (2).** Positions 79-80L1, horizontal pair, original gum, huge margins to just touched at bottom left including **right interpane margin** and portion of Position 90L1 below, intense shade and impression, few small faults with creases and cuts in both stamps and trivial staining on right stamp

FINE-VERY FINE APPEARANCE. AN EXCEEDINGLY RARE ORIGINAL-GUM PAIR OF THE 10-CENT 1847 ISSUE. ESPECIALLY DESIRABLE WITH THE SHEET MARGIN.

To underscore the rarity of any original-gum multiple of the 10c 1847, there are only three unused blocks recorded (two with original gum), one of which is contained in the Hirzel collection at the Swiss PTT Museum. Only four pairs and a strip of three could be located in our computerized sale records using Power Search.

With 1992 P.S.E. certificate. Scott Retail \$90,000.00 E. 10,000-15,000



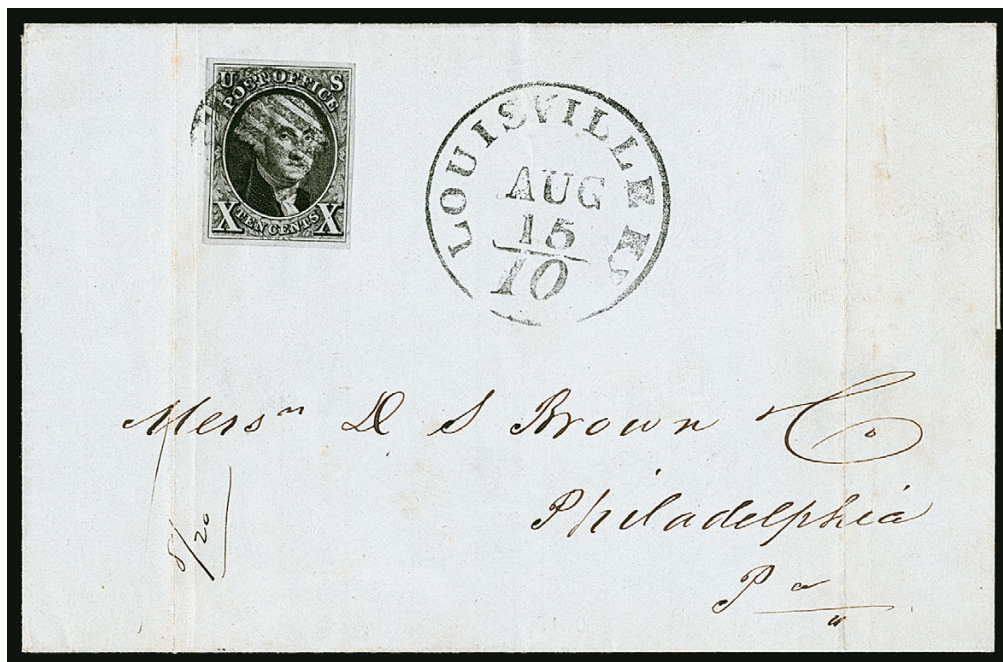
36

- 36 **10c Black (2).** Positions 85-89L1, horizontal strip of five, large margins to in at two small places, tied by red grid cancels on small piece, few flaws including creases, tears and scrapes

FINE-VERY FINE APPEARANCE. AN EXTREMELY RARE STRIP OF FIVE OF THE 10-CENT 1847 ISSUE. VERY FEW LARGE MULTIPLES ARE KNOWN.

Very few intact multiples of this size are recorded. The famous Rush cover (in the William H. Gross Collection and last sold by Siegel in 2006) bears a horizontal strip of six, and there are five other covers containing intact strips of either five (four covers) or six (one other cover). Strips of four are known used, most of which probably paid the transcontinental rate.

Ex Rose. With 1996 P.F. certificate. Scott Retail as strip of three and pair 12,350.00



37

- 37 **10c Black (2).** Large even margins, wonderful proof-like impression and intense shade, tied by black grid cancel with “Louisville Ky. Aug. 15/10” integral-rate circular datestamp also struck in black on folded cover to Philadelphia, 1850 docketing on flap, lightly toned along file folds, Extremely Fine, ex “Sevenoaks” and Schwartz, with 2009 P.F. certificate.
 E. 1,000-1,500

THE J. WILLIAM MIDDENDORF II COLLECTION

ONE-CENT 1851-56 IMPERFORATE

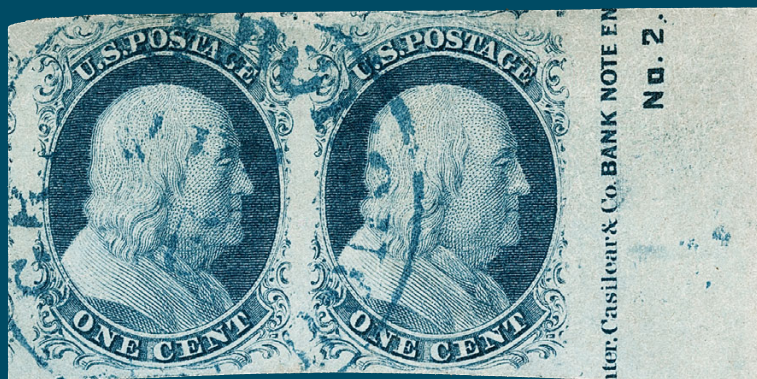




PLATE ONE
TYPE I—POSITION 7R1E

THE ONLY RECORDED COVER BEARING A TYPE I STAMP
PAYING THE SHORT-LIVED 2-CENT PRINTED MATTER RATE

- 38 ☒ **1c Blue, Types I-Ib (5-5A).** Positions 7-8R1E, horizontal pair, large margins to just barely in at top, showing **complete design at bottom and mostly complete ornaments at top**, brilliant Plate 1 Early color, tied by two strikes of “New Haven Conn. ? Mar.” circular datestamp on February 25, 1852 folded printed circular soliciting contributions to the Linonian Society of Yale University, to Columbus, Ohio, light crease between stamps barely touches Type Ib, Type I with couple tiny flaws including a faint diagonal crease

VERY FINE APPEARANCE. THIS IS THE ONLY RECORDED COVER WITH A POSITION 7R1E ONE-CENT 1851 ISSUE COMBINATION PAIR PAYING THE SHORT-LIVED 2-CENT PRINTED MATTER RATE FOR 500 TO 1,500 MILES.

The published census compiled by Jerome S. Wagshal (available at our website at <https://siegelauctions.com/census/us/scott/5>), contains at least 98 unduplicated records of Scott 5. There are probably no more than ten examples existing outside of the Wagshal census population. Therefore, the 1c 1851 Type I is the rarest of all United States stamps issued regularly prior to the 1868 Grills.

The use of this pair is particularly scarce and desirable. The 2c postage pays the 500-1,500 mile unsealed circular rate, examples of which are rare with stamps of any kind. Printed circulars were frequently discarded, and most circulars were mailed within a 500-mile radius, which required only 1c postage. This is the only recorded cover bearing Position 7R1E paying the 2c rate.

Wagshal census no. 5-COV-078. Ex Schenck, Neinken and Wagshal. With 2010 P.F. certificate. Unpriced in Scott as a pair on cover. Scott Retail as an off-cover pair of Ty. I-Ib is \$110,000.00 E. 40,000-50,000



Detail



Toppant, Carpenter, Cassell & Co. BANK NOTE ENGRAVERS, Phila. New York, Boston & Cincinnati.
No. 1

THE UNIQUE PANE OF THE ONE-CENT 1851 ISSUE

- 39 ★田 **1c Blue, Types II and IV (7, 9).** The complete unused (no gum) pane of 100 from the right of Plate 1 Late, with “**Toppan, Carpenter, Casilear & Co. BANK NOTE ENGRAVERS. Phila. New York, Boston & Cincinnati**” imprint and “**No. 1**” plate number at right and interpane margin and centerline at left, Position 4R is Type II—the only subject on the plate that was not recut—and the other 99 positions are Type IV with recut lines at top and/or bottom, the top row includes all of the former Type I (7R1E) and Ib positions (3, 4, 5, 6, 8 and 9R1E) that were transformed into Type IV when the plate was reworked, Position 89R is another interesting plate variety with a wide break in the bottom curved line, choice condition for such a pane with faults that are insignificant in this unique artifact (including two creases between rows, some stamps thinned where the pane was apparently stuck down, patched hole in Position 81R, small piece missing from sheet margin at upper left and stains in three stamps)

THE UNIQUE PANE OF THE ONE-CENT 1851 ISSUE — THE FIRST SCOTT-LISTED POSTAGE STAMP THAT EXISTS IN A COMPLETE SHEET. AN OUTSTANDING CLASSIC RARITY AND THE HIGHLIGHT OF THE AMBASSADOR MIDDENDORF ONE-CENT 1851-57 ISSUE COLLECTION.

Complete sheets of early United States stamps are exceedingly rare, because they represent significant unredeemed value from the time they were valid for postage; and, as stamp collecting grew in popularity, surviving multiples were usually cut apart to supply the market for singles and smaller blocks.

The pane of 100 offered here is the first United States postage stamp listed in the Scott Catalogue that exists as a complete sheet. It comes from the period when stamps were imperforate. Since it was printed from Plate 1 (in the Late state), there are very few plates that could precede it. For the record, there are two panes of the 3c 1851 from plates that have slightly earlier documented dates of use.

In its early state, before 199 of the 200 subjects were recut, there was no imprint on Plate 1. At the time of reworking the plate, the Toppan, Carpenter, Casilear & Co. imprint with the plate number (“No. 1”) was added at the sides, which makes this **the first plate number in United States stamp production.**

In his 1938 two-volume work on the 1c 1851-60 stamps, Stanley B. Ashbrook showed a photograph of this pane (figure 16-Q, page 143) and made special mention of its importance (page 141): “Mr. Samuel W. Richey of Cincinnati possesses what is the finest of all Type IV pieces, a block of 100 including the 4R1L, being a sheet of 100 of the entire right pane, showing not only the whole Imprint at the right but the Center Line as well.”

The Plate 1 Late pane was later acquired by Mortimer L. Neinken, who at one point owned both this and the 1c 1851 pane from Plate 2 (ex Hind). In the early 1970s, Neinken sold a group of large multiples to Ryohei Ishikawa, who was actively building his collection of 1c 1851-60 Issues with an eye toward winning the Grand Prix National at INTERPHIL in 1976. When he lost to Louis Grunin’s 1847-1869 Classics exhibit, Ishikawa set out to form his own 1847-1869 exhibit and in 1980 sold his 1851-60 collection through Sotheby Parke Bernet’s stamp division, then managed by Andrew Levitt.

After the Plate 1 and 2 panes were offered in the June 24, 1980, Sotheby’s auction, the Plate 1 pane was acquired by Roland Cipolla for his specialized 1c 1851-60 exhibit collection, but the Plate 2 pane, containing the rare Position 99R2 Type III, was cut apart, destroying what had long been regarded as one of the most important pieces in classic philately. Its decimation left the Plate 1 pane as the last 1c 1851 Issue pane extant.

Illustrated in Ashbrook’s *The United States One Cent Stamp of 1851-1857* (1938 edition, Vol. 1, p. 141 and fig. 16-Q, p. 143). Illustrated in Neinken’s *The United States One Cent Stamp of 1851 to 1861* (fig. 11-P, p. 128). Ex Samuel L. Richey, Mortimer L. Neinken, Ryohei Ishikawa, Roland H. Cipolla II and William H. Gross..... E. 75,000-100,000

40 ☒

1c Blue, Ty. II (7). Positions 98-100R1E, horizontal strip of three, margins to just into design in places, beautiful bright early Robin's Egg shade, tied by vivid **red grid** cancels, matching "**Cleveland O. Jul. 16**" (1851) **first month** circular datestamp on blue folded letter to New York City, light file fold affects right stamp, still Very Fine, a colorful and scarce first-month use from Cleveland, the righthand position on later impressions yields a Type IIIA stamp, it is only known as Type II from early impressions, ex Hackmey and Gross, signed Ashbrook, with 2005 P.F. certificate E. 750-1,000



40



41

- 41 (★) 田 **1c Blue, Ty. II-IV (7-9).** Blocks of four and six which originally formed a block of ten, later separated and are here rejoined, block of four on left Positions 4-5/14-15R1L, unused (no gum), **Type II at top left (4R)**, others Ty. IV (5R recut once at bottom, 14-15R recut once at top and bottom), large to huge margins including parts of adjoining stamps at left and part of **top sheet margin**, deep shade, tiny thin spot in top left stamp (4R), tiny margin tear top right stamp, few ink spots on back, block of six at right Positions 6-8/16-18R1L, unused (no gum), all Type IV, Positions 6-7R recut once at bottom, 8R recut twice at bottom, bottom row recut once at top and bottom, **Position 7R1L is the recut version of Type I on Plate 1 Early (Scott 5)** and in this state still shows much of the bottom right plume, large margins including part of top sheet margin, deep shade, bright and fresh, vertical crease along left side, small bit of toning top right stamp

EXTREMELY FINE APPEARANCE. EXCEEDINGLY RARE UNUSED BLOCKS OF FOUR AND SIX OF THE ONE-CENT 1851 ISSUE FROM THE TOP ROW OF PLATE ONE LATE, ORIGINALLY FORMING A BLOCK OF TEN — CONTAINING THE ONLY TYPE II POSITION ON THE PLATE AS WELL AS POSITION 7R, WHICH IN ITS EARLIER STATE FURNISHED THE ONLY TYPE I IMPERFORATE ONE-CENT STAMP.

The top row of Plate 1 Late was, with the exception of Position 4R1L (the only Type II position on the plate), recut and transformed into Type IV's. However, the top row right pane positions still retained many of their fascinating features from Plate 1 Early. Among these is Position 7R, which on the early state of the plate produced the only imperforate Type I, Scott No. 5. On Plate 1 Late, most of 7R's unique characteristics are still present: the design is complete at top and still shows the distinctive double transfer at top right; it is almost complete at bottom with only a small part of the balls erased; and the plumes are essentially intact, especially on early printings.

Ex Vogel. Both blocks with 2011 P.F. certificates..... E. 4,000-5,000



42

- 42 (★)田 1c Blue, Ty. IV (9). Positions 41-43/51-53L1L, horizontal block of six with “(Toppan, Carpe)nter, Casilear & Co. BANK NOTE ENGRAVERS. Phila. New York, Bosto(n & Cincinnati)” imprint and “No. 1” plate number at left, large margins to just in at bottom right, small part original gum, deep rich color, tiny margin nicks at top left and lower right

VERY FINE. AN EXCEEDINGLY RARE BLOCK OF SIX FROM PLATE ONE LATE WITH A SIGNIFICANT PORTION OF THE IMPRINT AND THE ENTIRE PLATE NUMBER. A MARVELOUS SHOWPIECE OF THE “FIRST” PLATE NUMBER.

This block is rare for a number of different reasons. The positions show a wide variety of recuts, including the rarest (51L and 52L, see Neinken page 112). The recuts are as follows: Position 41L once at top and twice at bottom with a shift, 42L once at top and twice at bottom, 43L once at top, 51L twice at top and once at bottom (only four such recuts on plate), 52L is recut twice at top and twice at bottom (only two such recuts on plate), and 53L recut once at top and twice at bottom. The plate number position is especially desirable, because it was not present on the early state of the plate.

Ex Neinken and Wagshal. Illustrated and described in Neinken book on pages 125-126. With 2010 P.F. certificate E. 7,500-10,000

43



- 43 ★ **1c Blue, Ty. IV (9).** Positions 51-52L1L, horizontal pair with “(Toppan, Carpenter, Casilear & Co. BANK NOTE E)NGRAVERS. Phila. New York, Bost(on & Cincinnati)” imprint and “(No.) 1” plate number in left sheet margin, the left stamp recut twice at top and once at bottom, the right stamp recut twice at top and bottom (see below for discussion of the rarity of these recuts), original gum, h.r., large margins to just touched, deep rich color, couple insignificant toned specks on right stamp

VERY FINE. A BEAUTIFUL AND VERY RARE PAIR FROM PLATE ONE LATE WITH A PORTION OF THE IMPRINT AND THE PLATE NUMBER “1”.

Positions 51-52L1L show the two rarest recuts on Plate 1 Late. (see Neinken page 112). Position 51L is recut twice at top and once at bottom (only four such recuts on plate), while Position 52L is recut twice at top and twice at bottom (only two such recuts on plate). The presence of the sheet margin with part imprint and the complete “1” portion of plate “No. 1” makes this pair a spectacular artifact of the issue.

With 1975 P.F. certificate E. 3,000-4,000



44

- 44 (★)田 **1c Blue, Ty. IV (9).** Positions 18-20/28-30/38-40/48-50R1L, consisting of block of eight with “(E)NGRAVERS, Phila. New York. Bost(on & Cincinnati)” part imprint and “(No.) 1” plate number and vertical strip of four at left hinged in place, unused (no gum), deep rich colors, few flaws including creases and some soiling at left, internal tear affects Position 50

FINE-VERY FINE APPEARANCE. A RARE LARGE UNUSED MULTIPLE FROM PLATE ONE LATE WITH A SIGNIFICANT PORTION OF THE IMPRINT AND SHOWING THE PLATE NUMBER.

The plate number part of the imprint did not exist on the early state of Plate One. It was added when the plate was reworked.

Ex Frelinghuysen..... E. 2,000-3,000

- 45 (★) 田 **1c Blue, Ty. IV (9)**. Block of 14, consisting of Positions 8-10/18-20/28-30/38-40/49-50L1L, unused (no gum), large margins including **large top sheet margin** and narrow sheet margin at right, other margins to touched at upper left, beautiful intense shade and impression, six stamps have small faults including a few faint creases, two small scissors-cuts in margins

VERY FINE. A BEAUTIFUL AND RARE LARGE MULTIPLE OF THE ONE-CENT 1851 TYPE IV FROM PLATE ONE LATE.

Ex Senchuk. Scott Retail as two blocks of four and three pairs with gum is \$11,950.00. E. 3,000-4,000



45



46

- 46 **1c Blue, Ty. IV (9)**. Position 7R1L, recut once at bottom, enormous margins all around including **sheet margin** at top, significant part of adjoining stamp (17R1L) at bottom and trace of adjoining stamps at sides, rich color on bright paper, detailed impression, neat strike of circular datestamp

EXTREMELY FINE GEM. AN ABSOLUTELY STUNNING USED EXAMPLE OF THE ONE-CENT TYPE IV FROM POSITION 7R1L. THIS POSITION IN THE EARLY STATE OF THE PLATE IS THE ONLY SUBJECT ON ANY ONE-CENT PLATE TO PRODUCE THE IMPERFORATE TYPE I, SCOTT 5. THIS IS ONE OF THE FINEST EXAMPLES IN EXISTENCE.

The top row of Plate 1 Late was, with the exception of Position 4R1L, recut and transformed into Type IV's. However, the top row right pane positions still retained many of their fascinating features from Plate 1 Early. Among these is Position 7R, which on the early state of the plate produced the only imperforate Type I, Scott No. 5. On Plate 1 Late, most of 7R's unique characteristics are still present: the design is complete at top and still shows the distinctive double transfer at top right; it is almost complete at bottom with only a small part of the balls erased; and the plumes are essentially intact, especially on early printings. The example offered here is a later impression, but it still shows the plumes at bottom.

Ex Wagshal. With 2010 P.F. certificate..... 120.00



- 47 ☒ **1c Blue, Ty. IV (9).** Position 73L1L, recut once at top and bottom, ample margins to clear, bright color and paper, neatly tied by "Albany N.Y. Oct. 17" circular datestamp on 1854 folded printed proxy from the New York Central Railroad Company, printed address to Albany, fresh and Very Fine, a choice cover which could have been prepaid as a circular or a drop letter E. 300-400
- 48 ☒ **1c Blue, Ty. IV (9).** Position 97L1L, recut once at top, **major double transfer** which is especially noticeable at bottom, ample margins to clear, tied by "Hartford Ct. Apr. 5" circular datestamp on April 2, 1855 folded circular from Hartford Probate Court addressed locally, Very Fine cover which could have been prepaid as a circular or a drop letter E. 200-300
- 49 ☒ **1c Blue, Ty. IV (9).** Position 72L1L, recut once at top and bottom, with **large double transfer**, large margins to full, bright shade, tied by "New-York Feb. 13" (1856) circular datestamp on folded Cyrus W. Field & Co. circular printed in magenta, addressed to Lee Mass., clear strike of **red "Circular Paid" straightline** handstamp, circular advertises a variety of fine rag papers, Extremely Fine, Field was a prominent businessman and financier, who among other enterprises (including paper manufacturing), created the Atlantic Telegraph Company and laid the first telegraph cable across the Atlantic Ocean in 1858. E. 400-500
- 50 ☒ **1c Blue, Ty. IV (9).** Positions 22/32L1L, vertical pair, both stamps recut once at top and bottom, ample to huge margins including portion of adjoining stamp at top, deep rich color, tied by "Boston Paid 18 Mar." (1857) circular datestamp on printed circular to Windham Maine, the message from Homer Rice & Co. concerning new business opportunities is in script and at first appears as a letter, but it is printed, Extremely Fine E. 300-400
- 51 ☒ **1c Blue, Ty. IV (9).** Position 11L1L, recut once at top and bottom, huge margins all around, small scissors-cut in top margin outside the design, used with **3c Brownish Carmine, Ty. I (11)**, tied by double-struck "Rising Sun Ind. Jan. ?" circular datestamp on **6c Green on Buff Nesbitt entire (U14)** to Coloma Cal., cover with sealed tear at top left and some minor edgewear, Very Fine appearing use for the 10c transcontinental rate E. 400-500



52

- 52 ☒ **1c Blue, Ty. IV, 3c Dull Red, Ty. II, 12c Black (9, 11A, 17).** Vertical pair of 1c, two singles of 3c affixed slightly overlapping, tied by blue "Columbia S.C. 3 Paid Jan. 11" (1853) integral-rate circular datestamps on Jan. 8, 1853 folded letter to **Bremen, Germany**, carried on the Havre Line *Humboldt*, departing New York on Jan. 15, 1853, and arriving Le Havre Jan. 29—red "Outre-Mer Le Havre 29 Janv. 53" arrival datestamp, red crayon "18", "T.T./2 Feb." in circle Thurn & Taxis Bremen receiving datestamp on back, small toned spot at bottom

VERY FINE. A RARE AND BEAUTIFUL "COMPLETE SET" 1851 ISSUE FRANKING ON AN UNUSUAL BREMEN CLOSED MAIL COVER THAT WAS POSTMARKED AT LE HAVRE, FRANCE.

Although the 1851 Issue comprises five values, only three were released in 1851—the 1c, 3c and 12c. The 5c and 10c stamps were issued in 1856 and 1855, respectively. This franking is an outstanding "complete set" use of the three 1851 stamps. The postage paid the 20c Closed Mail rate to Bremen, but for some reason this was postmarked in transit at Le Havre.

Ex Neinken and Wagshal. With 2010 P.F. certificate stating "genuine usage."... E. 3,000-4,000



53

- 53 ☒ **1c Blue, Ty. IV (9).** Positions 21/31R1L, two singles originally forming a vertical pair, 21R recut once at top, twice at bottom, 31R recut once at top and bottom, large to huge margins including **left interpane margin and centerline**, used with **3c Dull Red, Ty. II (11A)**, tied by "24" in circle" and also by "1/-" one-shilling due handstamp, neat strike of "Cleveland O. Jun. 20" (1854) circular datestamp on blue folded letter to **Wolverhampton, Staffordshire, England**, "21" debit handstamp, Liverpool and receiving backstamps struck in green, Very Fine, unusual and striking with the stamps tied solely by the U.S. and British rate markings, with note on back that a previous owner severed the pair and offered the top stamp as an unused single, ex Vogel, with 2011 P.F. certificate E. 750-1,000

PLATE 2



54

- 54 ★田 **1c Blue, Ty. II (7).** Positions 61-66/71-76/81-86/91-96L2, block of 24 with huge bottom left corner sheet margins and **part of imprint** at upper left, shows outline of steel plate along edge of margin, part original gum with some adherences, margins to slightly in at top and ample to large at right, dark shade on bright fresh paper, beautiful impression, vertical crease in center row, slight thins in sheet margin at left and small thin at top, small tears in two other stamps at top

FINE-VERY FINE. AN IMPRESSIVE LARGE UNUSED MULTIPLE OF THE 1851 ONE-CENT IMPERFORATE FROM PLATE 2. ONE OF THE LARGEST SURVIVING MULTIPLES OF THE ONE-CENT TYPE II.

Since the Plate 2 right pane of 100 (ex Hind, Neinken and Ishikawa) was divided into smaller units shortly after its last appearance in 1980, this block of 24 becomes one of the largest intact multiples of the 1c 1851 Type II Imperforate. The unique complete pane of 100 of the 1c Type IV (Scott 9) from Plate 1 Late is offered in lot 39.

Ex Neinken and Wagshal. With 2010 P.F. certificate. Scott value for six blocks of four with original gum is \$57,000.00 E. 10,000-15,000



55

- 55 **1c Blue, Ty. II (7).** Positions 59-60R2, horizontal pair, huge margins to full including part of three adjoining stamps and “**Printer, Casilear & Co. BANK NOTE EN**” **portion of imprint with full plate number “No. 2”**, neat strike of blue circular datestamp, bright color, right stamp inconsequential light diagonal crease at bottom

VERY-FINE-EXTREMELY FINE APPEARANCE. AN IMPORTANT ONE-CENT 1851 IMPERFORATE MULTIPLE SHOWING THE COMPLETE PLATE NUMBER FROM PLATE 2.

As an indication of rarity, the entire Wagshal collection (which included the Neinken collection) did not contain an example of the 1c 1851 Imperforate with the plate number from Plate 2. This example and the single offered in our “Natalee Grace” sale both realized \$8,000 hammer the last time they were offered.

Ex Newbury, Vogel and Sharrer. With 2011 P.F. certificate..... E. 5,000-7,500

- 56 **1c Blue, Ty. II (7).** Reconstruction of the **full left imprint and “No. 2” plate number**, consisting of four horizontal strips of three, the bottom strip also with sheet margin for row above with plate number, the second row from bottom with part imprint but no plate number, top row unused (no gum), few small flaws to be expected, Fine-Very Fine, a rare and desirable reconstruction from Plate 2..... E. 1,500-2,000



56



57

1c Blue, Type II, Major Plate Crack (7 var). Positions 2/12L2, vertical pair with the “**Big Crack**” appearing as a lightning bolt through the top stamp and partly into the bottom stamp, large to ample margins, cleaned manuscript cancel, top stamp with very trivial corner creases, Very Fine appearing pair of this striking variety, with 2011 PF certificate E. 400-500

57



58

58 ☒ **1c Blue, Ty. II (7).** Positions 68-69R2, two singles, used with **3c Dull Red, Ty. I (11)**, tied by “New Orleans La. Mar. 24, 1857” circular date stamps on blue folded letter **to Bordeaux, France**, neat strike of “**GB/1F60c**” **Anglo-French accountancy** handstamp, “8” decimes due handstamp, Boston and Bordeaux backstamps, some light spots of toning not mentioned on accompanying certificate, Very Fine, a choice “Three Months” cover to France, ex Judd and Vogel, with 2011 P.F. certificate E. 750-1,000

CHICAGO PERFORATIONS ON ONE-CENT TYPE II STAMP



59

- 59 **1c Blue, Ty. II, Chicago Perf 12½ (7 var).** Position 41L2, rich color on bright paper, neat strike of Chicago circular datestamp, small corner crease at top right

VERY FINE APPEARANCE. A RARE EXAMPLE OF THE ONE-CENT 1851 ISSUE WITH CHICAGO PERFORATIONS. APPROXIMATELY 19 EXAMPLES ARE RECORDED.

The origin of the Chicago perforation was revealed in an article published by Jerome S. Wagshal in *Chronicle* 130 (May 1986). To briefly summarize Mr. Wagshal's revelations, the inventor of the machine used to create the Chicago Perf stamps was Dr. Elijah W. Hadley, a Chicago dentist. He probably constructed the machine in 1854. Over a two-year period, beginning in November 1854, Dr. Hadley's device was offered for sale to the Post Office Department thru R. K. Swift, a prominent Chicago banker and businessman. The distinctive 12½ gauge Chicago Perf was applied to sheets of the 1c (Plates 1 Late and 2) and 3c 1851 Issue, the former being considerably rarer. According to the census of Chicago Perf items compiled by W. Wilson Hulme II (*Chronicle* 175, Aug. 1997), a total of 19 examples of the 1c Chicago Perf have been recorded, comprising 2 unused, 5 used on covers and 12 used off cover (including a strip of three).

Ex Wagshal. With 1991 P.F. certificate 8,000.00

TYPE III—POSITION 99R2

Type III is defined by breaks in the outer lines at both top and bottom. Many Type III stamps have breaks that were created or enlarged by plate wear. Since the wear occurred over a period of time, a majority of stamps of this type (both unused and used) have small breaks in at least one line. The most notable exception is Position 99R2. According to the Neinken book, "The 99R2 stamp is a fresh entry, that was short transferred both at top and bottom, over an original entry that had been erased... The reason that 99R2 is the finest example of Type III is because of its very short transfer at top and at bottom, giving us the wide breaks in these lines." (p. 184).



60

60 **1c Blue, Ty. III, Position 99R2 (8).** Large margins all around with the type characteristics clearly visible, rich color, pen cancels

EXTREMELY FINE EXAMPLE OF THE RARE 1851 IMPERFORATE ONE-CENT TYPE III FROM POSITION 99R2. THIS POSITION PRODUCED THE BEST EXAMPLE OF TYPE III, WITH THE WIDEST BREAKS AT TOP AND BOTTOM.

With 1984 P.F. certificate. 5,750.00



61

61 ☒ **1c Blue, Ty. III, Position 99R2 (8).** Large margins to just touched at bottom, clearly showing the double transfer that is one of the defining characteristics of the position, tied by "No. Swansea Mass. Nov. 1" circular datestamp on Prospect Hill Family School for Boys printed circular to Newburyport Mass., 1856 docketing above address, cover with trivial toned speck, the stamp is sound

VERY FINE. A DESIRABLE ON-COVER EXAMPLE OF THE RARE 1851 ONE-CENT IMPERFORATE TYPE III FROM POSITION 99R2. THIS POSITION IS THE BEST EXAMPLE OF TYPE III, WITH THE WIDEST BREAKS AT TOP AND BOTTOM.

With 1951 P.F. certificate. Signed and plated by Ashbrook..... 15,000.00

PLATE 3

According to Ashbrook and Neinken, Plate 3 is believed to have been made in March or April 1856, possibly to replace the defective Plate 2 and/or to meet the increased demand for stamps concurrent with the April 1855 change in postal regulations requiring prepayment of postage. For an unknown reason—possibly a severe defect that arose in the plate—very few stamps were printed from the new Plate 3 before it was retired. All positions on Plate 3 are Type II and were entered from the same T-A-B Relief roller used for Plates 1 and 2. Plate 3 stamps bear a close resemblance to those from Plate 2. Surviving Plate 3 examples are rare, and unused examples or multiples of any kind are exceedingly rare.



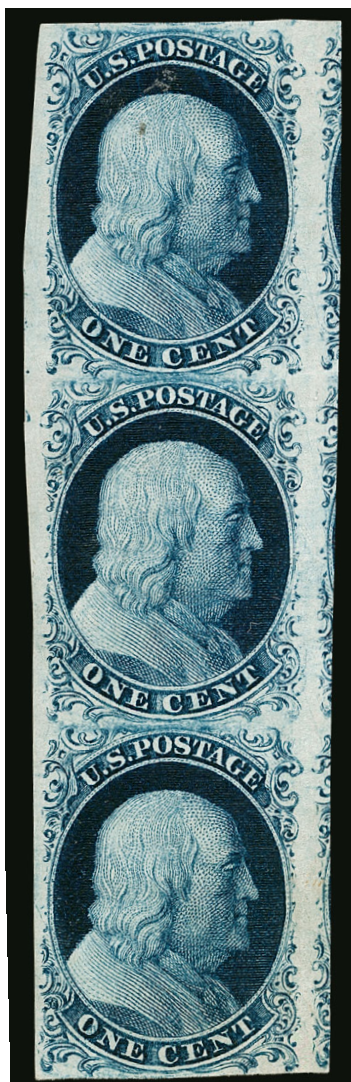
62

- 62 ★ **1c Blue, Ty. II, Plate 3 (7).** Positions 84/94L3, vertical pair from the 9th and 10th rows, original gum, large even margins, marvelous intense Prussian Blue shade, early impression showing numerous fine cracks and other plate marks for these two positions, bright and fresh paper

EXTREMELY FINE GEM PAIR. THIS MAGNIFICENT PAIR IS ONE OF THE FINEST PIECES EXTANT FROM THE SHORT-LIVED 1851 ONE-CENT PLATE 3. HOWEVER, FOR MANY YEARS THIS PAIR WAS MISATTRIBUTED TO PLATE 2. IN 1998 JEROME S. WAGSHAL CORRECTLY IDENTIFIED THE PLATE POSITIONS AS 84L AND 94L ON PLATE 3.

This superb pair was described as a Plate 2 impression in auctions of the collections formed by Caspary, Hetherington and Klein, and it was certified as a Plate 2 pair by The Philatelic Foundation in 1957. It was offered in a 1998 Shreve sale, described again as a Plate 2 printing, and was acquired by Mr. Wagshal, who successfully plated the pair to Positions 84/94L3. Thus, after four decades of misidentification, the true identity of this pair was revealed.

Ex Caspary, Hetherington, Klein and Wagshal. With 1957 and 1988 P.F. certificates (as Plate 2). With 2010 P.F. certificate (as Plate 3). Listed but unpriced in Scott as a pair
..... E. 7,500-10,000



63

- 63 (★) **1c Blue, Ty. II, Plate 3 (7).** Positions 63/73/83L3, vertical strip of three, unused (no gum), large to huge margins showing parts of adjoining stamps at right, clear at bottom, deep rich Plate 3 color, top stamp tiny toned spot (utterly inconsequential), center stamp light horizontal crease

VERY FINE-EXTREMELY FINE APPEARANCE. AN EXTREMELY RARE UNUSED MULTIPLE OF THE 1851 ONE-CENT TYPE II FROM PLATE 3.

Ex Vogel. With 2011 P.F. certificate. Unpriced in Scott as a pair or strip E. 3,000-4,000



64

- 64 ★田 **1c Blue, Ty. II, Plate 3 (7).** Positions 19-20/29-30R3, block of four with **right sheet margin**, right two stamps (Positions 20R and 30R) **major double transfers**, large part original gum which is slightly disturbed, beautiful deep shade and impression, bottom left stamp with small chunk out at left and a tear, vertical crease mostly between stamps just touches design of top right stamp, the bottom right and top left stamps are fresh and sound

OVERALL FINE APPEARANCE WITH TWO SOUND VERY FINE STAMPS. THIS IS ONE OF ONLY FOUR RECORDED UNUSED BLOCKS FROM THE SHORT-LIVED ONE-CENT PLATE 3.

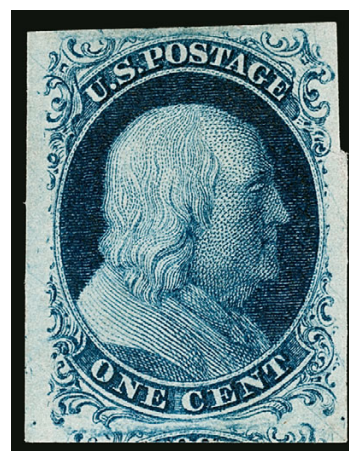
There are four unused Plate 3 blocks confirmed by photo or mentioned in various sources: 1) Positions 17-20/27-30R3, block of eight with original gum, the "Armitage" block and also ex Lilly and Neinken, last offered in our 2010 sale of the Wagshal collection; 2) Positions 39-40/49-50R3, block of four originally joined with the block of eight, part original gum, ex Armitage, West, Ishikawa, Beals and Rogers and from our 1978 and 1989 Rarities sales; 3) Positions 19-20/29-30R3, block of four with part original gum, ex Hollowbush and Cipolla, the block offered here; and 4) Positions 77-78/87-88R3, block of four, condition unknown, ex West. We can confirm that numbers 1-3 on this list remain intact. In addition, there are two recorded used Plate 3 blocks.

Ex Hollowbush and Cipolla. Discussed in Neinken book on page 231. Listed but unpriced in Scott as a block. Scott Retail as singles \$8,000.00, which does not remotely convey the rarity of this block E. 4,000-5,000

- 65 (★) **1c Blue, Ty. II, Plate 3 (7).** Position 82L3, unused (no gum), large margins all around including part of adjoining stamp at bottom, deep rich color and proof-like impression

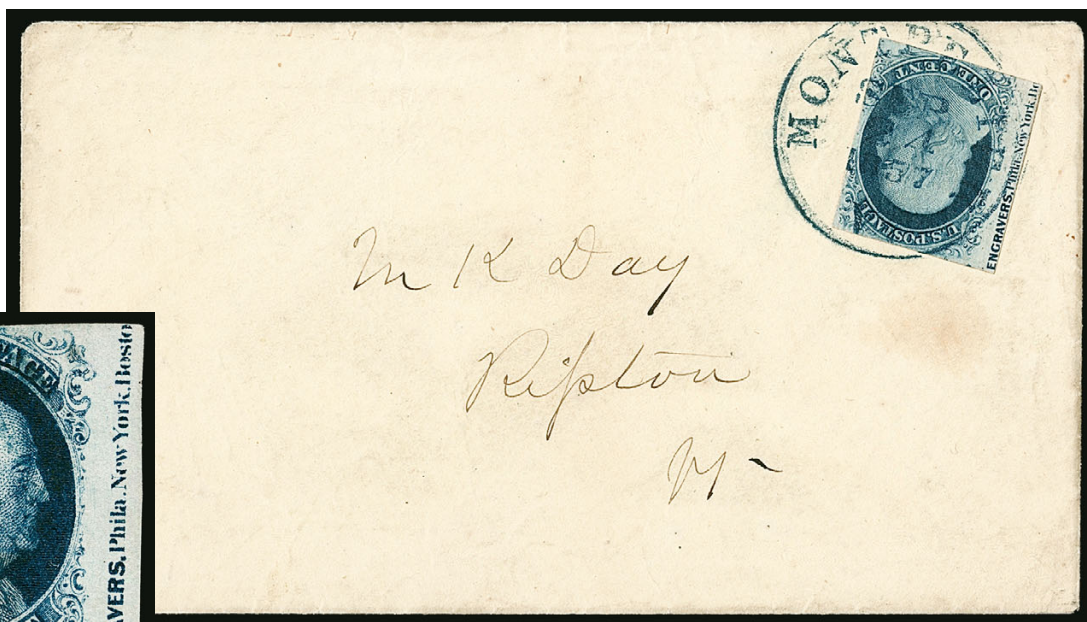
EXTREMELY FINE. A BEAUTIFUL UNUSED EXAMPLE OF THE 1851 ONE-CENT TYPE II FROM PLATE 3.

Ex Vogel. With 2011 P.F. certificate. Scott Retail as original gum 2,000.00



65

66



67



68

- 66 ☒ **1c Blue, Ty. II, Plate 3 (7).** Position 51R3, large margins to just into plumes at bottom including **left sheet margin** with “**ENGRAVERS, Phila. New York. Bo(ston)**” **portion of imprint**, deep luxuriant color distinctive to stamps from Plate 3, tied by **blue** “Montpelier Vt. Sep. 14, 1857” circular datestamp on buff cover to Ripton Vt.

VERY FINE. AN EXCEEDINGLY RARE ONE-CENT 1851 STAMP FROM PLATE 3 SHOWING A PORTION OF THE IMPRINT. ONLY ONE OTHER ON-COVER EXAMPLE IS RECORDED.

Any example of a 1c stamp from Plate 3 showing a portion of the imprint is rare. The Neinken book states that only one stamp is known showing any portion of the actual “3” plate number (ex Wagshal, offered in this sale as lot 67). This on-cover part imprint example is especially rare, and is reportedly one of only two recorded.

Ex Cipolla..... E. 3,000-4,000

- 67 **1c Blue, Ty. II, Plate 3 (7).** Position 50R3 with “**(E)NGRAVERS, Phila. New York. Boston**” **portion of imprint** and **top of plate number “3”** in right margin, others sides ample to slightly cut in, intense shade and impression, blue grid cancel, ink stain on back is barely evident on front at bottom

FINE APPEARANCE. THIS IS THE ONLY EXAMPLE OF A ONE-CENT 1851 STAMP FROM PLATE 3 SHOWING ANY PART OF THE ACTUAL “3” PLATE NUMBER. AN IMPORTANT RARITY OF THE ISSUE.

The Neinken book illustrates this stamp (Fig. 14-C, page 222) and describes it as the “only copy known from Plate 3 which shows any part of the *actual plate number*”.

Ex Neinken and Wagshal. With 2010 P.F. certificate. ... E. 2,000-3,000

- 68 **1c Blue, Ty. II, Plate 3 (7).** Positions 60/70/80L3, vertical strip of three with **right interpane margin**, irregular but mostly large margins all around, neat manuscript cancel and part of town datestamp, bright color, thin spot in bottom pair, Very Fine appearance, an extremely rare interpane margin strip from the left pane of Plate 3, signed Ashbrook, ex Neinken and Wagshal, with 2010 P.F. certificate, Scott Retail as singles..... 1,050.00

PLATE 4—IMPRINTS

The Neinken book states “Stamps from Plate 4 showing part of the imprint are very rare.” A Power Search review reveals that we have offered a handful of unused and used Plate 4 singles with part of the imprint (both Scott 8 and 8A), but these stamps have invariably been cut from the sheet with a portion of the imprint trimmed away in the vertical direction.

- 69 **1c Blue, Ty. IIIa (8A).** Position 51L4, clear break at top and complete outer line at bottom, with “**ENGRAVERS, Phila. New York. Bos(ton)**” **portion of imprint** in left sheet margin, other margins full to just touching the design, deep rich Plate 4 color and detailed impression, circular datestamp cancel, small shallow thin spot at top is barely detectable

VERY FINE APPEARING ONE-CENT 1851 TYPE IIIa FROM PLATE 4. ONE OF THE FEW EXAMPLES FROM THIS PLATE SHOWING A PORTION OF THE IMPRINT, AND ALSO THE MOST COMPLETE PORTION OF THE IMPRINT IN ANY SINGLE STAMP WE HAVE OFFERED.

This stamp, with a wide sheet margin, shows the largest portion of the imprint possible on a single stamp and is matched in our sale records only by the on-cover example offered in lot 70.

Illustrated in Neinken book on p. 278. Ex Cowitt.
..... E. 2,000-3,000



69



70

- 70 ☒ **1c Blue, Ty. IIIa (8A).** Position 60L4, large margins to clear with “**(Carpen)ter, Casilear & Co., BANK NOTE EN(GRAVERS)**” **portion of imprint** in right sheet margin, deep rich Plate 4 color and crisp impression, tied by red “**Paid/U.S. Mail/City Delivery**” **carrier department double-circle datestamp** on June 16, 1857 docketed folded cover to Nassau Street address in New York City, cover with surface rub in address which is inconsequential but mentioned for accuracy

VERY FINE. A SPECTACULAR ONE-CENT 1851 TYPE IIIa FROM PLATE 4, WITH A LARGE AND UNTRIMMED PORTION OF THE IMPRINT, TIED ON COVER BY A RED NEW YORK CITY CARRIER DEPARTMENT DATESTAMP. ALONG WITH THE OFF-COVER SINGLE OFFERED IN THIS SALE, THIS IS THE MOST COMPLETE PORTION OF THE PLATE 4 IMPRINT IN ANY SINGLE STAMP WE HAVE OFFERED.

This stamp, along with the single offered in lot 69, shows the largest portion of the imprint possible on a single stamp. This is also the only cover we have offered with a Plate 4 imprint, though there is reportedly one more in existence.

Ex Cipolla. E. 7,500-10,000

TYPE Ia—PLATE 4, F RELIEF



71

- 71 **1c Blue, Ty. Ia (6).** Position 98L4, margins virtually all around, faintly cancelled at top and at a glance appears unused, pressed diagonal crease, couple small edge tears and small corner repairs with bit of the plume painted in at bottom right, otherwise Very Fine, a rare 1c 1851 Issue type from Plate 4, with 2011 P.F. certificate 9,500.00

TYPE Ic—PLATE 4, E RELIEF



72

- 72 **1c Blue, Ty. Ic-III (6b-8).** Positions 47-48L4, horizontal pair, left stamp Type Ic, right stamp Type III, left stamp with huge margins all around, right stamp with three huge margins and just in at bottom, brilliant color, light strike of circular datestamp, right stamp natural fiber inclusion causing a tiny surface rub, the left Type Ic is completely sound

VERY FINE-EXTREMELY FINE. A RARE COMBINATION PAIR OF THE 1851 IMPERFORATE ONE-CENT COMBINING TYPES Ic AND III. THE LEFT STAMP — THE RARE TYPE Ic — IS A SUPERB GEM AND EASILY ONE OF THE FINEST IN EXISTENCE.

Type Ic occurs on only ten of the 200 positions from Plate 4 and does not occur on any other plate. Two of these ten are “swing positions” (41R4 and 49R4), which can be Type IIIa stamps, depending on the impression. As is well known, most stamps printed from Plate 4 were issued with perforations. Imperforate stamps from Plate 4 are much rarer.

Ex Geary and Vogel. With 2009 P.F. certificate. Scott Retail as singles. 4,600.00

TYPES II AND IIIA
TOP ROW OF PLATE 4, A RELIEF



73

- 73 (★) **1c Blue, Ty. II, Plate 4 (7).** Positions 2-3L4, horizontal pair, unused (no gum), **both stamps have a significant break in the bottom outer line, which meets the definition of Type IIIa**, large margins all around, rich color, small tear at bottom right corner, otherwise Extremely Fine, an attractive and very rare unused multiple from the top row of Plate 4 showing the complete design at top and the breaks in bottom lines, ex Wagshal, with 1980 and 2010 P.F. certificates, the latter states that the stamps are Types II-IIIa (7-8A), but we feel both qualify as Type IIIa, the Scott footnote somewhat illogically states "Regardless of the strength or weakness of the design at bottom, all stamps originating from the top row of Plate 4 are classified as Type II", Scott Retail as original-gum singles
..... 6,500.00



- 74 ★ **1c Blue, Ty. II/IIIa/IIIa, Plate 4 (7/8A/8A).** Positions 7/17/27L4, vertical strip of three with Type II at top and two Type IIIa stamps below, disturbed brownish original gum, sheet margin at top, generously cut from sheet at left showing substantial portions of adjoining positions, large to huge at right for the top pair, clear to ever so slightly in on bottom stamp, few remnants on back including small part of another 1c Blue stamp, few faults including couple light creases and small thin spot, slight soiling at bottom of bottom stamp

VERY FINE-EXTREMELY FINE APPEARANCE. A RARE AND IMPORTANT PLATE 4 MULTIPLE FOR THE ONE-CENT 1851-57 ISSUE SPECIALIST.

The top stamp in this strip is the rare top-row Type II from Plate 4, showing complete ornaments at top. This is the only plate where this occurs and is essentially the equivalent of the extremely rare Type Ia from the bottom row of Plate 4, but the top-row Type II positions are not given a major listing in Scott. This strip was found by us in an old-time collection, and this offering is the second time it has made an appearance at auction.

Scott Retail as Type II Plate 4 top-row single and Plate 4 Type IIIa vertical pair. The Type II/IIIa combination is listed but unpriced..... 15,750.00

74



75

- 75 **1c Blue, Ty. II, Plate 4 (7).** Positions 8-9L4, horizontal pair, large margins to full, pretty shade, unobtrusive strikes of circular datestamp, left stamp small thin spot and corner crease at top left, Very Fine appearance, an attractive and scarce pair from the top row of Plate 4, showing the complete design at top, ex Neinken and Wagshal, with 2010 P.F. certificate..... 2,100.00



76

- 76 ☒ **1c Blue, Ty. II, Plate 4 (7).** Position 10L4, full to large margins, dark Plate 4 shade, tied by "West Waterville Me. Aug. 29" balloon-style circular datestamp on buff cover to Barton Vt., Extremely Fine, a gorgeous cover with the scarce Type II from the top row of Plate 4, ex Vogel, with 2011 P.F. certificate..... 1,250.00



77

- 77 ☒ **1c Blue, Ty. II, Plate 4 (7).** Positions 3-5L4, horizontal strip of three, **Position 3L4 with clear break in outer line at bottom, which meets the definition of Type IIIa**, large margins including part of **top sheet margin** which highlights the complete designs at top, barely in at sides, deep rich Plate 4 color and proof-like impression, tied by light strikes of "Springfield Mass. Jun. 30" (1850) circular datestamps on blue part-printed notice to Hartford Conn., small scissors-cut between right stamps

VERY FINE-EXTREMELY FINE. A STUNNING STRIP OF THREE OF THE IMPERFORATE 1851 ONE-CENT TYPE II FROM THE TOP ROW OF PLATE 4.

Imperforate 1c 1851 multiples from the top row of Plate 4 are extremely rare—probably just as rare as the bottom-row multiples (Type Ia, Scott 6). This strip not only contains three of the desirable Type II "complete design" positions, it also has one of the "break in bottom line" positions (3L4), which most specialists consider to be Type IIIa (Scott footnote illogically states "Regardless of the strength or weakness of the design at bottom, all stamps originating from the top row of Plate 4 are classified as Type II").

Ex Neinken and Wagshal. With 2010 P.F. certificate..... E. 2,000-3,000

PLATE 4—TYPE III



- 78 ☒ **1c Blue, Ty. III (8).** Position 18L4, B Relief, small but definite break in outer line at bottom between “C” and “E” of “Cent” (due to plate wear), huge margins to just touched at sides, tied by large “Paid” grid, red “Boston Jun. 18” circular datestamp on locally-addressed orange cover with **William Read & Son, Hardware and Gun Importer’s illustrated cameo return card** on flap, depicts a pistol and crossed rifles, fresh and Very Fine, a beautiful 1c 1851 Type III illustrated cover, ex Neinken and Wagshal, signed Ashbrook, with 1967, 1987 and 2010 P.F. certificates E. 1,500-2,000
- 79 ☒ **1c Blue, Ty. III (8).** Position 67R4, C Relief, large margins to just in, tied by “Albany N.Y. Paid” handstamp on printed “Astronomical Journal, Comet Circular” dated July 29, 1857, to Boston, contents describe the observance of a comet in the Constellation Camelopardalis, with coordinates and parabolic elements for the advanced astronomer, small tears at top and bottom not noted on accompanying certificate, otherwise Fine, early use of Type III on a fascinating circular, with 2004 A.P.S. certificate E. 750-1,000

THE ONLY REPORTED STRIP OF THREE OF
THE 1851 ONE-CENT TYPE III ON COVER



80

80 ☒ **1c Blue, Ty. III (8).** Positions 64-66R4, C Relief, horizontal strip of three, large margins to slightly in at left and touched at top, intense dark shade and early impression (at this stage, Position 66R4 shows a small break at top), tied by "New Orleans La. Jan. 30, 1858" circular datestamp on cover to Baton Rouge La., right stamp small scuff in margin at upper right outside the design is utterly inconsequential, but mentioned on accompanying certificate

FINE-VERY FINE TYPE III STRIP OF THREE ON COVER. THIS IS REPORTED TO BE THE ONLY KNOWN STRIP OF THREE OF THE IMPERFORATE 1851 ONE-CENT TYPE III ON COVER. A GREAT RARITY.

Multiples of the 1c 1851 Type III Imperforate on cover were the subject of an article by Calvet M. Hahn in the *Chronicle* (November 1982). Hahn's article correctly notes that Type III multiples can only occur in a few places on Plate 4 where two or more contiguous Type III positions were present (in this case, three C Reliefs, Positions 64-66R4). Hahn's article attempts to document the known examples of Type III multiples, but he was apparently unaware of this cover bearing a strip of three from Positions 64-66R4.

Ex Vogel. With 2011 P.F. certificate. Signed and plated by Ashbrook. Scott value for off-cover strip of three is \$8,500.00 E. 5,000-7,500

PLATE 4—TYPE IIIA



81

81 **1c Blue, Ty. IIIa (8A).** Position 88L4, E Relief, large even margins all around, deep shade and proof-like impression, neat strike of circular datestamp

EXTREMELY FINE GEM. A SUPERB USED EXAMPLE OF THE IMPERFORATE 1851 ONE-CENT TYPE IIIa. A DIFFICULT STAMP TO FIND IN SOUND CONDITION WITH SUCH LARGE MARGINS.

This 9th row position is listed in Neinken as a Type IIIa, and it does have the required break in the top outer line, but the line is much more complete than usually seen on Plate 4 positions other than the Type II's in the top row.

Ex Neinken and Wagshal. With 2010 P.F. certificate..... 800.00



82

82 **1c Blue, Ty. IIIa (8A).** Positions 78-79L4, D Relief, horizontal pair, both with wide breaks at top and complete lines at bottom, large margins to ample at top right, gorgeous brilliant shade, each stamp cancelled by neat strike of **"PAID" handstamp**

EXTREMELY FINE. A BEAUTIFUL PAIR OF THE IMPERFORATE 1851 ONE-CENT TYPE IIIa WITH "PAID" HANDSTAMPED CANCELLATIONS.

Ex Wagshal. With 1978 and 2010 P.F. certificates..... 2,480.00

THE J. WILLIAM MIDDENDORF II COLLECTION
1851-56 ISSUE—OTHER VALUES





83

- 83 ☒ **3c Orange Brown, Ty. II (10A).** Two horizontal strips of three, one a **left sheet-margin** strip, deep rich color, both tied by **red** circular grid cancels, matching “**U.S. Express Mail N.Y. N York 19 Dec.**” route agent’s circular datestamp on bluish folded cover to Boston, manuscript “*Paid*” notation and docketed on back “*New York 19th Dec. 1851 Clary & Co*”, file folds thru both strips

A SPECTACULAR SIX-TIMES RATE NEW YORK U.S. EXPRESS MAIL COVER TO BOSTON, FRANKED WITH TWO STRIPS OF THE 1851 3-CENT ORANGE BROWN.

While pairs of the 3c Orange Brown on cover are sometimes encountered, strips of three or larger are rare, particularly paying a domestic rate. The census of 3c 1851-57 stamps paying multiple domestic rates compiled by William K. McDaniel and published in the 1851 Sesquicentennial book contains only two examples bearing multiples of No. 10 or 10A. Both of these examples are franked with three pairs paying a multiple of the over-3,000 miles rate. This cover does not appear in the census. This is the largest on-cover franking we have offered since the strip of six and pair (with brush cancel) on cover to Liverpool in our 1998 “Sevenoaks” sale.

Ex Wagshal. With 2010 P.F. certificate..... E. 4,000-5,000



84

- 84 ☒ **3c Orange Brown, Ty. II (10A).** Position 31R2E, exceptionally deep glowing color, large margins to clear, tied by perfect strike of **blue** "Water Town N.Y. Sep. 27" (1851) circular datestamp on folded letter to Pierpont Manor N.Y., fresh and Extremely Fine, a beautiful cover, ex Wagshal..... E. 400-500



85

- 85 ☒ **3c Orange Brown, Ty. II (10A).** Full to ample margins, bright color, tied by "Sacramento City Cal. 9 Sep." circular datestamp, choice strike of "**Cram Rogers & Cos. Shasta Express**" blue double-line oval handstamp on brown cover to Shasta Cal., cover with small stain and tear in corner, still Very Fine, very rare California express cover with 3c 1851 stamp, sent by government mail from Sacramento to Shasta, and then by express to the addressee, ex Piller and Wagshal..... E. 750-1,000



86

- 86 (★) 田 **3c Experimental Orange Brown, Ty. II (11A var).** Positions 1-2/11-12L1L, top sheet margin block of four, unused (no gum), beautiful vivid color, margins other sides clear to in at lower right, light corner crease bottom left, light horizontal crease between the two rows

FINE-VERY FINE APPEARANCE. AN EXTREMELY RARE UNUSED BLOCK OF THE 3-CENT 1851 ISSUE FROM PLATE 1 LATE IN THE EXPERIMENTAL ORANGE BROWN SHADE.

A Power Search review of unused examples of this shade found only a single (ex Piller) and a pair (ex Wagshal). This is the only block, either used or unused, that we have encountered.

Ex Wagshal. Accompanied by a 1987 letter and note from Dr. Amonette confirming the color and positions and stating "your block of Exp. OB is unique as far as I am concerned." Unlisted in Scott Catalogue as a block (listed but unpriced as unused single)..... E. 3,000-4,000



87

- 87 ★ **3c Dull Red, Ty. I (11).** Positions 40/50R4, vertical pair with "(E)NGRAVERS. Phila. New York, Boston & Cincinnati" part imprint and "(No.) 4" plate number at right, thickly applied original gum slightly disturbed and washes over onto sheet margin, large margins with portions of five adjoining stamps, still Extremely Fine, a rare original-gum plate number and imprint pair from Plate 4, the left plate number on this plate was a mirror-image "4" (see Sale 996, lot 3073), the right plate number offered here was right-reading, ex Wagshal..... E. 1,500-2,000

- 88 ★田 **3c Dull Red, Ty. I (11).** Positions 59-60/69-70/79-80R4, vertical block of six, large part original gum, two small h.r., bright color, bottom right stamp with couple tiny thin spots, Very Fine appearance, Position 69R4 has an extra frameline at right and is discussed and illustrated in the Chase book on pp.115-116, ex Wagshal, Scott Retail as a block and pair..... 2,750.00



88



89

- 89 ★田 **3c Dull Red, Ty. I (11).** Block of nine, original gum, multiple h.r., one of which covers a deep thin spot in top middle stamp, bright color, light horizontal crease thru middle stamps, Fine-Very Fine appearance, Scott Retail as block of four, two pairs and a single..... 3,650.00



90

- 90 ★田 **3c Brownish Carmine, Ty. II (11A).** Block of fifteen, original gum, most with h.r., large margins to just in including **left interpane margin with centerline**, deep rich color and proof-like impression, few flaws including creases throughout and scissors-cut at bottom between stamps, Fine-Very Fine appearance, a scarce large original-gum multiple, Scott Retail as two blocks of four, two strips of three and a single..... 6,350.00



91



92

- 91 ☒ **5c Red Brown (12).** Three singles, large margins all around (center and left stamps partly lifted to ascertain size of margins), tied by "New Orleans La. Jun. 28, 1857" circular datestamps on blue folded letter **to Brest, France**, red "New York Paid 12 Jul. 8" credit datestamp also ties stamps, red French transit (Jul. 18), receiving backstamp, right stamp small manuscript ink spot at top right

VERY FINE AND ATTRACTIVE 15-CENT TREATY-RATE COVER TO FRANCE, BEARING THREE LARGE-MARGINED SINGLES OF THE 5-CENT 1856 ISSUE. A BEAUTIFUL COVER.

This was carried on the Cunarder *Persia*, which departed New York July 8, 1857, and arrived at Liverpool July 17.

Ex Waterhouse, Meroni, Mayer and Eubanks. With 1969 and 2009 P.F. certificates..... E. 2,000-3,000

- 92 ☒ **5c Red Brown, Defective Transfer (12 var).** Positions 3/13/23R1, vertical strip of three, bottom stamp (Position 23R) showing the distinctive white "cloud" **Defective Transfer** to right of portrait, large margins to just touched including part of adjoining stamp at bottom, tied by "New Orleans La. May 10, 1857" circular datestamps on blue folded letter **to Reims, France**, red "New York 12 May 19" 12c credit datestamp, appropriate French transits front and back, some staining at bottom from tape

FINE AND RARE USE OF THE 5-CENT 1856 ISSUE WITH DEFECTIVE TRANSFER ON A COVER — ESPECIALLY DESIRABLE AS PART OF A MULTIPLE.

Ex Moody and Mayer. With 2011 P.F. certificate. Scott Retail for a normal strip of three on cover to France is \$5,750.00..... E. 1,500-2,000



93

- 93 田 **10c Green, Ty. III/I (15/13).** Block of four, top stamps Type III, bottom stamps Type I, large margins to in at bottom, rich color, neat strikes of "New Orleans La. Feb. 8" circular datestamp, 2mm scissors-cut at left between stamps

FINE-VERY FINE. A SCARCE COMBINATION BLOCK OF FOUR CONTAINING TYPES III AND I.

Ex Twigg-Smith and Curtis. With 1973 P.F. certificate 10,500.00



94

- 94 ☒ **10c Green, Ty. I (13).** Horizontal pair, large margins to just in at bottom, rich color, tied by **blue** large segmented grid cancel, matching "Marysville Cal. Paid By Stamps Oct. 4" circular datestamp on cover to York Pa., Fine and scarce pair of the imperforate 10c Type I on cover, ex Gehrmann (1926 Doane sale), with 2017 P.F. certificate, Scott Retail as a pair off cover is \$1,800.00 E. 400-500



95

- 95 ☒ **10c Green, Ty. II (14).** Positions 4-5R1, horizontal pair, large to huge margins all around, deep rich color and impression, used with **1c Blue, Ty. IV (9)**, Position 38R1L, recut once at top and bottom, full to large margins with traces of adjoining stamps at top and left, tied by circular **blue** grid cancels with matching "Skaneateles N.Y. Feb. 16" (1857) circular datestamp on **3c Red on Buff Nesbitt entire (U10)** to **Manchester, England**, sharp red "19" credit handstamp and vivid red Liverpool transit, receiving backstamp

EXTREMELY FINE. A COLORFUL FRANKING ON AN IMMACULATE NESBITT ENTIRE PAYING THE 24-CENT TREATY RATE TO ENGLAND.

Ex "Sevenoaks", Hillmer and Vogel. With 1986 P.F. certificate. E. 2,000-3,000

END OF MORNING SESSION

THE J. WILLIAM MIDDENDORF II COLLECTION
ONE-CENT 1857-61 PERFORATED

AFTERNOON SESSION—LOTS 96-248
TUESDAY, FEBRUARY 25, 2020, AT 1:30 P.M.



PLATE ONE LATE



96

- 96 ☒ **1c Blue, Ty. IV (23).** Position 84R1L, recut once at top and twice at bottom, tied by **red "Paid/U.S. Mail/City Delivery/1"** New York City carrier datestamp on small cover to local street address, small piece of flap missing, Very Fine, ex Dr. Graves ("Argentum") and Vogel, Scott Retail \$1,100.00 E. 300-400



97

- 97 ☒ **1c Blue, Ty. IV (23).** Recut once at top and bottom, used with **horizontal strip of three of 3c Rose, Ty. I (25) and single of 10c Green, Ty. II (32), 3c strip with selvage at right,** tied by three strikes of "Chicago Ill. Nov. 10, 1857" circular datestamp on buff cover **to Montreal, Canada,** red "U. States" in arc handstamp with matching "20" and "PAID" handstamps, transit and receiving backstamps, opened roughly at top which eliminates most of backflap and causes small nicks and a tear at top not affecting stamps, 1c and right 3c stamps lightly creased, otherwise Fine and scarce use of better types of the perforated 1c and 3c 1857 Issues on a double-rate cover to Canada E. 750-1,000

PLATE 2—THE “BIG CRACK”



98

98 (★)田 1c Blue, Ty. II, Major Plate Crack (20 var). Block of 17 containing Positions 3-5/12-15/21-25/31-35L2, plus Position 2L2 hinged in place at top left (original gum), block is unused (no gum), with **part imprint selvage** at left, showing all positions of the plate crack, rich color, detailed impression which clearly shows the plate flaw, few perf separations sensibly reinforced, couple faults including one stamp at right with perf flaws, Position 21 surface scrape, light crease at top right and tiny thin specks, Position 2L2 lightly toned

FINE APPEARANCE. THE ONLY RECORDED UNUSED BLOCK OF THE 1857 PERFORATED ONE-CENT SHOWING THE “BIG CRACK” IN PLATE 2. ONE OF THE MOST IMPORTANT PERFORATED ONE-CENT MULTIPLES IN EXISTENCE. A MAGNIFICENT SHOWPIECE.

The fracture on Plate 2 began above Position 2 on the left pane and continued downward in a “lightning bolt” jagged line across Positions 12-13L, 23L and at a later stage just into 33L (as seen in this block). It was probably caused by an inherent flaw created during the manufacture of the steel plate.

Regarding this block, Ashbrook wrote (personal letter to Neinken): “It is not only very rare but so far as I am aware it is unique... In all my years with the One-Cent I never even heard of a block of four which included any of the Plate 2 crack positions.” It is also described and illustrated by Morris Fortgang in his article “The One Cent Stamp of 1857: Types-Varieties-Rarities” (1957 *Congress Book*, p. 126) and by Neinken in his book (p. 196).

Ex Neinken and Wagshal. Position 2L2 with 1978 P.F. certificate E. 10,000-15,000



99



100

- 99 **1c Blue, Ty. II (20).** Position 41L2 with “er, Casilier & Co. BANK NOTE E” part imprint and “No. 2” plate number in selvage at left, rich color on bright paper, light strike of blue circular date-stamp, perfs rejoined between selvage and stamp, light crease in selvage, stamp with small thin spot at bottom, still Very Fine, an elusive perforated plate number single from Plate 2 (Neinken on p. 191 states “Perforated imprint copies are extremely rare”), ex Neinken and Wagshal..... E. 750-1,000
- 100 ★ **1c Blue, Ty. II, Major Plate Crack (20 var).** Position 2L2, rich color which clearly shows the lightening bolt “Big Crack”, centered to bottom, face-free cork cancels, couple unpunched perfs at right, Very Good example of this distinctive variety 1,400.00

TYPE III—POSITION 99R2

On Plate 2, 198 of the 200 positions were Type II. Position 100R was Type II in the early stage of the plate, but as wear began to weaken the bottom line, it became Type IIIa with a small break at bottom. Positions 89R and 99R on Plate 2 have been the focus of special attention, because of their unusual nature. Ashbrook states: “A study of the double transfers of 89R2 and 99R2 is most interesting, because here in a vertical pair of positions we have two distinct varieties... The 99R2 stamp is a fresh entry that was short transferred both at top and bottom over an original entry that had been erased... The stamp, 89R2, is a re-entry, but not in the proper sense of this term, because the re-entry on the lower part of this position was an error, as it was not made to correct an existent fault of the original 89R transfer.”

Plate 2 stamps were issued imperforate from December 1855 through June 1857. Beginning in July 1857 stamps from Plates 1 Late, 2 and 4 were issued with perforations, and Plates 2 and 4 continued to produce stamps through late 1857. Plate 2 stamps are rarer perforated than in imperforate form; conversely, Plate 4 stamps are rarer imperforate than in perforated form. However, perforated 99R2 stamps are of extreme rarity.

Our census, which incorporates the records of noted student Jerome S. Wagshal, The Philatelic Foundation, the Levi records and our own work, is available at our website at <https://siegelauctions.com/census/us/scott/21-99R2>. Only two unused examples are known—the better known is in a block of nine in our 2019 William H. Gross Multiples sale. 13 used singles are recorded, as are three on covers and two in strips, for a total of 20 known in any form. Virtually all have faults or are very off-center—only three off-cover singles and one on cover are confirmed as sound and not all have decent centering.



101

- 101 **1c Blue, Ty. III, Position 99R2 (21).** Rich color, face-free strike of circular datestamp, light diagonal crease at bottom left
- VERY FINE APPEARING EXAMPLE OF THE EXTREMELY RARE PERFORATED ONE-CENT 1857 ISSUE FROM POSITION 99R2.
- Census no. 21(99R2)-CAN-04. Ex Wagshal. With 1986 P.F. certificate 8,000.00

PLATE 4
TYPE Ia—F RELIEF WITH WIDE-SPACED PERFORATIONS



102

102 **1c Blue, Ty. Ia (19).** Position 92R4, F Relief, rich color and proof-like impression, **wide-spaced perforations** at top and bottom, light strike of circular datestamp, thin spots top left and along lower left

VERY FINE APPEARANCE. AN EXCEEDINGLY RARE EXAMPLE OF THE 1857 ONE-CENT TYPE Ia WITH WIDE-SPACED PERFORATIONS. EXAMPLES WITH WIDE-SPACED PERFORATIONS ARE EXTREMELY RARE AND DESIRABLE.

The exceptions to the normal cut-into condition of top-row and bottom-row Plate 4 stamps are those with *wide-spaced* perforations. It has been assumed for years that the pins of the bottom row of the perforator were reset to create more space, but some students of the 1851-57 Issue have begun to reexamine this aspect of Plate 4 production to seek alternative explanations for wide-spaced perforations. Whatever the cause, wide-spaced stamps are extremely rare and highly desirable, because they exhibit all of the features that define their respective types.

Ashbrook states: "I consider perforated Type Ia stamps that are not touched by perforations as the rarest stamps in the 1857 perforated issue." (Neinken book, p. 279). The Scott Catalogue contains a footnote to the basic price quotes: "Copies of this stamp exist with perforations not touching the design at any point. Such copies command very high prices."

Illustrated in Neinken book (p. 281). Ex Neinken and Wagshal. With 2011 P.F. certificate.
Scott Retail for normal Type Ia 9,000.00

TYPE Ia—F RELIEF



103

- 103 ☒ **1c Blue, Ty. Ia (19).** Plate 4, F Relief, bright color, tied by red “U.S. Mail/City Delivery/1/ May 18” carrier department circular datestamp on folded letter **carried privately across the Atlantic from Marseille, France**, to New York City and mailed through carrier department, sender’s blue oval handstamp and manuscript routing directive “*per Steamer ‘Arago’ via Havre*”, minor ink erosion

VERY FINE. A REMARKABLE USE OF THE 1857 ONE-CENT TYPE Ia ON A LETTER TRANSPORTED OUTSIDE THE MAILS FROM FRANCE TO NEW YORK CITY AND DELIVERED BY CARRIER.

Type Ia was produced by only 18 of the 20 bottom-row positions on Plate 4. This folded letter was carried privately aboard the Havre Line’s *Arago*, which left Le Havre on May 4, 1859, and arrived in New York on May 17. It was put into the mails and postmarked the next day at the New York City carrier department.

With 1987 P.F. certificate. Scott Retail on cover \$9,500.00 E. 3,000-4,000

TYPE Ic—E RELIEF



104

- 104 ☒ **1c Blue, Ty. IIIa/IIIa/Ic (22/22/19b).** Positions 69/79/89R4, Position 89R4 is Type Ic from E Relief, top stamp with **scratch on shoulder**, bright color, tied by "Water Town N.Y. Dec. 8" circular datestamps on cover to Philadelphia Pa., top stamp has tiny nick at top, cover slightly reduced at left, fresh and Very Fine, a rare and desirable combination strip of three of Type IIIa and Ic, ex Wagshal, with 2011 P.F. certificate E. 1,500-2,000

PLATE 4—IMPRINTS



105

- 105 **1c Blue, Ty. IIIa (22).** Position 41L4 with “(Carp)enter, Casilier & Co. BANK NOTE” part imprint and “N” of plate number in left selvage, crisp impression, neat manuscript “X” cancel, completely sound

VERY FINE. THIS IS BELIEVED TO BE THE ONLY PERFORATED 1857 ONE-CENT STAMP SHOWING PART OF THE PLATE 4 NUMBER AT LEFT. A GREAT RARITY.

The Neinken book (p. 277) states that “No stamp, imperforate or perforated, showing the imprint and the plate number ‘4’ from the left pane has ever been recorded.” He also notes that apart from this stamp there are only two other perforated examples known from this same position, but that both show only a small part of the imprint. This is, therefore, the only perforated example which shows any part of the left plate number.

Illustrated in Neinken book (p. 278). Ex Neinken and Wagshal. With 2011 P.F. certificate
..... E. 1,500-2,000



106

- 106 **1c Blue, Ty. III (21).** Positions 61-62L4, horizontal pair with “(Bo)ston & Cincinnati” portion of imprint at left, deep color, unobtrusive circular date stamp cancels

FINE. THIS IS THE ONLY REPORTED PERFORATED STAMP FROM THIS POSITION SHOWING THE END OF THE LEFT IMPRINT. A GREAT RARITY.

Parts of the left imprint can be seen on Positions 31, 41, 51 and 61L. No copies are known showing the full plate number—the closest one can get is the stamp offered in lot 105. This is the only recorded perforated example which shows the bottom of the imprint at left.

Ex Wagshal. With 1980 and 2011 P.F. certificates..... E. 1,500-2,000

TYPE II—TOP ROW PLATE 4, A RELIEF



107



108

- 107 **1c Blue, Ty. II (20).** Position 9R4, with **wide-spaced horizontal perforations**, bold strike of New York circular datestamp, small repair at upper left, Fine appearance, the exceptions to the normal cut-into condition of top-row and bottom-row Plate 4 stamps are those with *wide-spaced* perforations, the Scott Catalogue footnote after No. 19 (Ty. Ia) describes this characteristic, but it is not noted after No. 20 from Plate 4, ex Wagshal, with 2011 P.F. certificate 1,250.00
- 108 **1c Blue, Ty. II (20).** Positions 1-3R4, horizontal strip of three with **perforated interpane margin at left**, left stamp with **curl in hair**, intense shade, manuscript cancels and also part of circular datestamp at center, minor toned spots caused by pen cancel not mentioned on accompanying certificate, Fine and very rare Top Row Plate 4 perforated strip, ex Chase, Neinken and Wagshal, with 2011 P.F. certificate, listed but unpriced in Scott as a strip of three, Scott Retail as pair and single..... 4,000.00



109

- 109 ☒ **1c Blue, Ty. II (20).** Position 9L4, intense shade, tied by "Washington D.C. May 3" circular datestamp on buff circular-rate cover to Richmond Va., trivial edgewear, Fine and scarce Top Row Plate 4 stamp on cover, ex Wagshal, with 2011 P.F. certificate..... 1,500.00



110

- 110 (★) **1c Blue, Ty. III/III/IIIa/IIIa (21/21/22/22).** Positions 59/69/79/89L4, vertical strip of four, top two stamps Type III (F/C Reliefs), bottom two Type IIIa (D/E Reliefs), unused (no gum), bright color, two toned spots straddling perfs at center, Position 69L4 also with horizontal crease

FINE-VERY FINE APPEARANCE. A RARE UNUSED VERTICAL STRIP OF FOUR COMBINING TYPES III AND IIIa FROM PLATE 4.

Ex Wagshal. With 2011 P.F. certificate. Scott Retail as four singles without gum is \$13,650.00 (a pair of Type III with original gum catalogues \$40,000.00) ... E. 3,000-4,000

PLATE 5



111

- 111 **1c Blue, Ty. V (24).** Positions 31/41L5, vertical pair with “**Toppan, Carpenter & Co. BANK NOTE E(NGRAVERS)**” portion of imprint and “**No. 5**” plate number in selvage at left, rich color and fine impression, cancelled by two strikes of “Dec. 19” town datestamp, few faults including tear in selvage and creases in stamps and selvage

FINE APPEARANCE. AN IMPORTANT PLATE 5 MULTIPLE, SHOWING A LARGE PORTION OF THE IMPRINT AND PLATE NUMBER FROM THE LEFT PANE.

This pair is illustrated in the Neinken book on page 332 and is regarded as one of the most significant imprint and plate number examples from Plate 5. Only one example of a right pane imprint copy from Plate 5 is known with any part of the plate number (see Wagshal Sale 1006, lot 1219). At this point in time the plates were produced with the imprint omitting “Casilier” from the firm name, but traces of it can still be seen in the “&”.

Illustrated in Neinken book (p. 332). Ex Neinken and Wagshal..... E. 3,000-4,000



THE PLATE 5 “ROSETTA STONE”

112 ★田 **1c Blue, Ty. V (24).** Irregular-shaped **block of 21**, Positions 22/32/42/52-53/62-65/72-75/82-85/92-95L5, original gum which is slightly disturbed, h.r. primarily where some perf separations sensibly reinforced (top two stamps rejoined), few light toned spots

FINE-VERY FINE. THE CELEBRATED “ROSETTA STONE” BLOCK OF THE ONE-CENT 1857 ISSUE FROM THE LEFT PANE OF PLATE 5. THIS IS THE LARGEST RECORDED MULTIPLE FROM PLATE 5, AND ITS DISCOVERY BY MORTIMER L. NEINKEN BROUGHT ABOUT THE REVELATION THAT TYPES V AND Va ORIGINATED FROM THE SAME PLATE. ONE OF THE MOST IMPORTANT PERFORATED ONE-CENT 1857-60 ISSUE ITEMS.

The Neinken book describes the importance of this block in great detail on pages 326-329. Prior to the discovery of this block of 21 from the left pane of Plate 5, it was believed that Plate 5 produced Type V stamps and Plate 6 produced Type Va stamps. By matching certain positions in this block to known Plate 5 positions, the earlier Plate 6 reconstruction was reassigned to Plate 5. This advanced the reconstruction of Plate 5, revealing the unusual nature of its creation from two different transfer rolls (or one drastically transformed roll). However, it also created a void for Plate 6, which remains today.

Illustrated and described in Morris Fortgang’s article “The One Cent Stamp of 1857: Types-Varieties-Rarities” (1957 *Congress Book*, pp. 130-133). Ex Neinken (who acquired the block in 1955) and Wagshal. E. 15,000-20,000

LAYOUT OF PLATE 5 LEFT AND RIGHT PANES

Orange = Type V Yellow = Type Va

Vertical row numbers arranged in order of entry on the plate (from right to left)

		Transition to side scratches on B, C and D Reliefs																			
		20	19	18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
Relief Types A-F	A	1 Va	2 Va	3 Va	4 Va	5 Va	6 Va	7 Va	8 Va	9 Va	10 Va	1 Va	2 Va	3 Va	4 Va	5 Va	6 Va	7 Va	8 Va	9 Va	10 Va
	B	11 V	12 V	13 V	14 V	15 V	16 V	17 Va	18 Va	19 Va	20 Va	11 Va	12 Va	13 Va	14 Va	15 Va	16 Va	17 Va	18 Va	19 Va	20 Va
	C	21 V	22 V	23 V	24 V	25 V	26 V	27 Va	28 Va	29 Va	30 Va	21 Va	22 Va	23 Va	24 Va	25 Va	26 Va	27 Va	28 Va	29 Va	30 Va
	D	31 V	32 V	33 V	34 V	35 V	36 V	37 Va	38 Va	39 Va	40 Va	31 Va	32 Va	33 Va	34 Va	35 Va	36 Va	37 Va	38 Va	39 Va	40 Va
	E	41 V	42 V	43 V	44 V	45 V	46 V	47 V	48 V	49 Va	50 Va	41 Va	42 Va	43 Va	44 Va	45 Va	46 Va	47 Va	48 Va	49 Va	50 Va
	F	51 V	52 V	53 V	54 V	55 V	56 V	57 V	58 V	59 Va	60 Va	51 Va	52 Va	53 Va	54 Va	55 Va	56 Va	57 Va	58 Va	59 Va	60 Va
	C	61 V	62 V	63 V	64 V	65 V	66 V	67 Va	68 Va	69 Va	70 Va	61 Va	62 Va	63 Va	64 Va	65 Va	66 Va	67 Va	68 Va	69 Va	70 Va
	D	71 V	72 V	73 V	74 V	75 V	76 V	77 Va	78 Va	79 Va	80 Va	71 Va	72 Va	73 Va	74 Va	75 Va	76 Va	77 Va	78 Va	79 Va	80 Va
	E	81 V	82 V	83 V	84 V	85 V	86 V	87 V	88 V	89 V	90 V	81 Va	82 Va	83 Va	84 Va	85 V	86 V	87 Va	88 V	89 V	90 Va
	F	91 V	92 V	93 V	94 V	95 V	96 V	97 Va	98 Va	99 Va	100 V	91 Va	92 Va	93 Va	94 Va	95 Va	96 Va	97 Va	98 Va	99 Va	100 Va

Heavy outline = Block of 21 (lot 112)



113

113

1c Blue, Ty. Va (24). Position 60R5 with part of selvage at right showing “(Carpenter & Co. BANK NOTE” portion of imprint, light cancel, couple small flaws including light corner crease and small thin spot in imprint, otherwise Very Good, a collectible and rare Type Va imprint example from the right pane of Plate 5, illustrated in Neinken book (p. 332), ex Neinken and Wagshal E. 400-500



114

- 114 ☒ **1c Blue, Ty. Va (24).** Positions 61-62R5, horizontal pair with left **straddle-pane margin and centerline**, tied by “New Orleans La. Oct. 18” (1858) circular datestamp on blue folded circular **to Marseille, France**, sender’s directive “per first steamer for Liverpool” at top, stamps also tied by red “15c” due handstamp and French arrival datestamps, light cover creases including one that slightly affects right stamp, stamps with some light toning, otherwise Very Fine, a scarce Type Va pair on circular to France paying the 2c printed matter rate, illustrated in Ashbrook, ex Alexander and Wagshal, with 2011 P.F. certificate..... E. 500-750

PLATES 7-10



115

- 115 ★田 **1c Blue, Ty. V (24).** Positions 30/39-40/49-50R7, block of five with “(E)NGRAVERS. Phila. New York, Boston & Cincinnati” portion of imprint and “7 P.” plate number at right, part original gum, few flaws including top stamp surface scuffs, center row horizontal crease at top and few telescoped perfs

FINE-VERY FINE APPEARANCE. A RARE AND ATTRACTIVE MULTIPLE FROM PLATE 7 WITH PLATE NUMBER AND PART IMPRINT SELVAGE AT RIGHT.

Ex Neinken and Wagshal. E. 3,000-4,000



116

- 116 (★) 田 1c Blue, Ty. V (24). Positions 31-32/41-42/51-52/61-62L8, block of eight from the left pane of Plate 8 with “**Toppan, Carpenter & Co. BANK NOTE ENGRAVERS. Phila. New York, Boston & Cincinnati**” imprint and “**No. 8 P.**” plate number, unused (no gum), bright color, few expertly rejoined perf separations, few light creases are barely noticeable

FINE-VERY FINE. THIS IS THE ONLY REPORTED COMPLETE PLATE BLOCK FROM THE LEFT PANE OF PLATE 8. THIS IMPRINT IS ESPECIALLY DESIRABLE AS IT SHOWS THE “R” SLIGHTLY DOUBLED AND THE “&” TRANSFERRED OVER ANOTHER LETTER.

On the left pane of Plate 8, the “r” of “Carpenter” is doubled and the “&” was transferred over the “C” of “Co.” This does not occur on the right pane. On the left pane, the imprint is also only 1.75 mm away from the designs, so the plate block offered here, with wide margin showing the full imprint not affected by the perfs, is a remarkable item. It is also the only recorded intact plate block from the left pane of Plate 8.

A detail of this plate block is illustrated in the Neinken book (p. 397). Ex Neinken and Wagshal E. 4,000-5,000



117

117 (★) 田 1c Blue, Ty. V (24). Block of 35, Positions 3-10/12-20/22-30/32-40R8, unused (no gum), Positions 8R8 and 10R8 **double transfer at top**, attractive centering throughout, light creasing affects bottom two rows, top right stamp with few short perfs

FINE-VERY FINE. A LOVELY LARGE UNUSED MULTIPLE OF THE ONE-CENT TYPE V FROM PLATE 8.

With 1992 Holcombe certificate not noting any flaws. Scott Retail as singles without gum is \$2,100.00 (as eight original-gum blocks \$6,400.00)..... E. 1,500-2,000



118

- 118 ☒ **1c Blue, Ty. V (24).** Positions 51-52L8, horizontal pair with part **captured imprint**, tied by **"Paid/U.S. Mail/City Delivery/2/Jun. 9"** carrier datestamp on folded cover to New York City street address, "U.S. Paid Delivery 1 P.M." timestamp, missing backflap, tear at upper right and some wear, still Very Fine, this marking is scarce struck in black, ex Emerson, Neinken and Wagshal E. 400-500



119

- 119 **1c Blue, Ty. V (24).** Position 50R9 with part imprint and **"9 P."** of plate number in selvage at right, appears unused but P.F. certificate notes a trace of a manuscript mark at center so counted as used, bright color, Very Fine, scarce plate number from Plate 9, ex Neinken and Wagshal, with 2011 P.F. certificate E. 400-500



120

- 120 ☒ **1c Blue, Ty. V (24).** Position 41L9 with **part imprint and “No.”** in selvage at left, tied by “Trumansburg N.Y. Oct. 2?” circular datestamp on locally addressed cover, expertly repaired, Very Fine appearance, scarce, ex Neinken and Wagshal..... E. 500-750



121

- 121 **1c Blue, Ty. V (24).** Positions 50 and 60R9, two singles reconstructing much of the **imprint and “9 P.” plate number** at right, top stamp original gum, h.r., bottom stamp with “Mobile Ala. May 9, 1860” double-circle datestamp, Very Fine, ex Neinken and Wagshal..... E. 750-1,000



122

- 122 ★田 **1c Blue, Ty. V (24).** Positions 31-34/41-44/51-54/61-64L8, block of sixteen from the left pane of Plate 10 with “**Toppan, Carpenter & Co. BANK NOTE ENGRAVERS. Phila. New York, Boston & Cincinnati**” imprint and “**No. 10.P.**” plate number, original gum, six stamps Mint N.H. including block positions 5-7 and 9-11, bright color and detailed impression, few sensibly reinforced perf separations

FINE-VERY FINE. A BEAUTIFUL AND RARE IMPRINT AND PLATE NUMBER BLOCK OF SIXTEEN OF THE 1857 ONE-CENT TYPE V FROM PLATE 10. A WONDERFUL SHOWPIECE.

Ex Neinken and Wagshal. With 2011 P.F. certificate..... E. 5,000-7,500

PLATE 11



123

- 123 ★ **1c Blue, Ty. IIIa (22).** Positions 31/41L11, A/B Reliefs, vertical pair with “**TOPPAN CARPENTER**” portion of imprint and complete “**No. 11**” plate number at left, original gum, h.r., rich color, detailed impression, top stamp horizontal crease

VERY FINE APPEARANCE. THIS IS THE ONLY RECORDED EXAMPLE OF A PLATE 11 STAMP SHOWING EITHER THE IMPRINT OR THE PLATE NUMBER. ONE OF THE KEYS TO A SPECIALIZED COLLECTION OF TOPPAN CARPENTER'S 1851-57 ISSUE.

For Plates 11 and 12, the style and placement of the imprint was altered from previous 1c plates. The Second Type imprint with negative lettering and “Toppan Carpenter & Co.” was first used in June 1860 on the 12c Plate 3, followed shortly after by its use on the 30c and 90c plates made during the summer of 1860. Its next use was on the 1c Plate 11, probably toward the end of 1860. Casilear's name, which appeared in the imprint on earlier plates, even after he had retired, was omitted from the new Second Type imprint. The use of this imprint and the reversion to a 3-relief transfer roll (and absence of significant relief trimming) have led specialists to theorize that Plates 11 and 12 were made by different engravers than the ones who made Plates 4 through 10.

For Plate 11, no imprint copies or plate number copies are known from the right pane of the plate. Neinken notes that the discovery of this left-pane pair proved that the imprint used on Plate 12 was also used on Plate 11. This pair also confirmed much of the earlier plating work, because it tied various positions to the two stamps in this pair, which have the “No. 11” plate number.

Illustrated in Neinken book (p. 479). Ex Neinken and Wagshal. With 2011 P.F. certificate
..... E. 7,500-10,000



124

- 124 ★田 **1c Blue, Ty. II-II/IIIa-IIIa (20-20/22-22).** Plate 11, block of four, T/A Reliefs from the top two rows of the plate (probably left pane), top stamps Type II, **top right stamp has prominent double transfer**, bottom stamps Type IIIa, original gum, h.r., rejoined along horizontal perforations, bottom pair light horizontal crease not mentioned on certificate

FINE APPEARANCE. A RARE 1857 ONE-CENT TYPE II/IIIa ORIGINAL-GUM BLOCK OF FOUR FROM THE TOP ROWS OF PLATE 11.

The top right stamp shows a very prominent double transfer, which is most noticeable to the right of the vignette. As far as we are aware, this top-row Plate 11 double transfer has not yet been assigned to a position. If our plating analysis of the blocks offered in lots 1419-1421 in our 2011 Wagshal sale is correct, then this block must come from the left pane of Plate 11, since the top two positions do not match any top-row positions from the right pane.

Ex Ashbrook, Neinken and Wagshal. With 2011 P.F. certificate. Scott Retail \$12,500.00 for a block of four with Types II/IIIa E. 2,000-3,000

PLATE 12



125

- 125 ★ **1c Blue, Ty. I/II (18/20).** Positions 41/51L12, C/B Reliefs, vertical pair with full “**Toppan Carpenter & Co. Philadelphia**” **Second Type imprint** and “**No. 12.P.**” **plate number** in selvage at left, top stamp Type I, bottom stamp Type II, original gum, tear in selvage only at upper left, top stamp tiny thin spots

FINE APPEARANCE. A BEAUTIFUL AND RARE ORIGINAL-GUM PAIR SHOWING THE COMPLETE IMPRINT FROM THE LEFT PANE OF PLATE 12. A WONDERFUL SHOWPIECE.

For Plates 11 and 12, the style and placement of the imprint was altered from previous 1c plates. The Second Type imprint with negative lettering and “Toppan Carpenter & Co.” was first used in June 1860 on the 12c Plate 3, followed shortly after by its use on the 30c and 90c plates made during the summer of 1860. Its next use was on the 1c Plate 11, probably toward the end of 1860. Casilear’s name, which appeared in the imprint on earlier plates, even after he had retired, was omitted from the new Second Type imprint. The use of this imprint and the reversion to a 3-subject transfer roll (and absence of significant relief trimming) have led specialists to theorize that Plates 11 and 12 were made by different engravers than the ones who made Plates 4 through 10.

Illustrated in Neinken book (p. 498). Ex Neinken and Wagshal E. 4,000-5,000



126

- 126 ★田 **1c Blue, Ty. I-I/II-II (18-18/20-20).** Positions 29-30/39-40L12, C/B Reliefs, block of four with **interpane margin and center-line** at right, Position 39L is a swing position and it looks as though both bottom stamps might have small breaks in the top outer lines, original gum, h.r., radiant color, well-balanced margins, few minor perf separations sensibly hinge rejoined

VERY FINE AND CHOICE. A BEAUTIFUL ORIGINAL-GUM INTERPANE MARGIN BLOCK OF THE 1857 ONE-CENT TYPES I AND II FROM PLATE 12.

Ex Neinken and Wagshal. With 2011 P.F. certificate. Scott Retail \$8,750.00 as a Type I/II block..... E. 2,000-3,000

- 127 (★)田 **1c Blue, Ty. I, II (18, 20).** Positions 66-67/76-77/86-87/96-97L12, block of eight with **selvage at bottom**, second and bottom rows Type I (C Relief), others Type II (B Relief), unused (traces of original gum), 67L and 86L are swing positions, rich color, choice centering, Position 77L small tear at right, P.F. certificate also mentions a small internal paper rift near center of Position 96L, which we fail to detect

VERY FINE APPEARANCE. A SCARCE UNUSED BLOCK OF EIGHT OF THE 1857 ONE-CENT FROM PLATE TWELVE, COMBINING TYPES I AND II.

Ex Neinken and Wagshal. With 2011 P.F. certificate..... E. 2,000-3,000



127



128

- 128 ★田 **1c Blue, Ty. II-IIIa-IIIa-I/I-I-I-I (20-22-22-18/18).** Positions 81-84/91-94L12, block of eight, top left stamp Type II (B Relief), both top center stamps Type IIIa (B Reliefs with very small breaks), other five stamps including the entire bottom row Type I (C Relief), original gum, h.r., bright color, perfs scissors-separated at left causing s.e. at lower left, that stamp also has a large thin at left, creases affect four stamps, the two stamps at top right are choice and sound

FINE-EXTREMELY FINE CENTERING. AN EXCEEDINGLY RARE ORIGINAL-GUM BLOCK OF EIGHT FROM PLATE 12, COMBINING TYPES I, II AND IIIa.

Some of the Type IIIa positions on Plate 12 developed a small break at top resulting from wear as the plate was used. Certain positions started out as Type II and became Type IIIa. Combination blocks such as the one offered here are very rare.

Ex Neinken and Wagshal. With 2011 P.F. certificate. Scott Retail \$21,000.00 as two blocks of four..... E. 5,000-7,500



129

- 129 ☒ **1c Blue, Ty. I (18).** Position 22L12, C Relief, **double transfer**, bright color, used with **3c Dull Red, Ty. III (26)**, s.e. at right and cancelled by **"Paid"** in oval on red and blue Zouave with Waving Flag and **"Washington via Baltimore"** Patriotic cover to Kensington N.H., red **"Boston Mass. May 20"** (1861) double-circle datestamp also ties 1c, barely reduced at right, minor edgewear, Very Fine, attractive carrier use, this design refers to Union Zouaves who raced to Washington, D.C. in the spring of 1861 to protect the capital against Confederate invasion and who were attacked by an anti-Federal mob in Baltimore, ex Neinken and Wagshal E. 400-500



130

- 130 **1c Blue, Ty. I-II, II (18-20, 20).** Positions 53-54L12 and 52L12, horizontal pair (C-B Reliefs), left stamp Type I, others Type II, rich color, cancelled by grids and tied by **"Mount Holly N.J. Jul. 31, 1861"** circular datestamp on cover front only to U.S.S. *Union* at Old Point Comfort Va., barely reduced, Very Fine, attractive combination, the *Union* was commissioned by the U.S. Navy in May 1861 and served in the Atlantic Blockading Squadron with some distinction during the start of the Civil War, ex Wagshal, with 1972 A.P.S. certificate E. 400-500

POSITIONS 46L12 (TYPE III) AND 56L12 (QUASI-TYPE Ia)

According to the Neinken book (p. 495), Position 46L12 is different from all other positions on Plate 12. Given the normal method of entering the positions, it should be a B Relief. However, the bottom left of 46L12 is more complete than it should be if the entry was made from the B Relief. Neinken states that after much study by himself and Elliott Perry, they concluded that it is an exact match for the T Relief used to enter the top row of Plate 11, which was created around the same time as Plate 12. Characteristics of the T Relief include a greater degree of completeness at the bottom left than is present on the B Relief. Neinken theorizes that the siderographer used the T-A-B transfer roll (used for Plate 11), and entered a single position on Plate 12—46L—perhaps to correct an earlier mistake in entering the position. Other students disagree with the idea that the T Relief was used, and the matter awaits resolution.

Position 56L12 was discussed in an article by Jerome S. Wagshal ("The One Cent Stamp of 1851-57: A Reconsideration of Types I Through IIIa", 1973 *Congress Book*, pp. 113-115), in which he presented his case for reclassifying some of the 1c 1851-57 types. Regarding Position 56L12, Wagshal opined, "[it] requires separate recognition. Its characteristics are unlike those of any other position on any plate of the One Cent stamp. Judged by the standards which are the basis of the One Cent classification system, 56L12 must be given a separate status as a subtype of Type I."



131



Detail

- 131 ☒ **1c Blue, Ty. III (21).** Position 46L12, clear breaks in outer lines at both top and bottom, used with **3c Dull Red, Ty. III (26)**, straddle-pane margin and centerline at left, tied by grid cancel and "New-York Aug. 16, 1861" circular datestamp on cover to Lancaster Pa., fresh and attractive

VERY FINE. ONE OF TWO REPORTED COVERS WITH POSITION 46L12, WHICH PRODUCED THE ONLY PERFORATED TYPE III ON ANY PLATE OTHER THAN PLATE 4.

Ex Wagshal. With 2011 P.F. certificate. Accompanied by 1988 note from William Hatton stating that this example was used as the basis for the Scott listing. Scott Retail \$6,000.00 for an off-cover used Position 46L12..... E. 4,000-5,000

POSITION 56L12—QUASI-TYPE Ia



132

- 132 ★ **1c Blue, Quasi-Type Ia (18 var).** Position 56L12 which shows **complete design at bottom and erasures of part of the design at top**, also a double transfer, original gum, small h.r., bright shade and fresh

FINE. A CHOICE AND RARE ORIGINAL-GUM EXAMPLE OF POSITION 56L12, WHICH PRODUCED A DESIGN TYPE THAT DEFIES CURRENT SCOTT CLASSIFICATION — THE BOTTOM IS COMPLETE, BUT THE TOP IS PARTLY ERASED. WE REFER TO THIS AS A QUASI-TYPE Ia, BECAUSE IT COMES CLOSEST TO TYPE Ia FROM THE BOTTOM OF PLATE FOUR.

Ex Wagshal. With 2011 P.F. certificate as No. 18 var, describing the complete design at bottom and erasures at top E. 1,500-2,000

- 133 **1c Blue, Quasi-Type Ia (18 var).** Position 56L12 which shows **complete design at bottom and erasures of part of the design at top**, also a double transfer, rich color nicely complemented by **red New York City carrier datestamp**

VERY FINE. A BEAUTIFUL USED EXAMPLE OF POSITION 56L12, WHICH PRODUCED A DESIGN TYPE THAT DEFIES CURRENT SCOTT CLASSIFICATION — THE BOTTOM IS COMPLETE, BUT THE TOP IS PARTLY ERASED. WE REFER TO THIS AS A QUASI-TYPE Ia, BECAUSE IT COMES CLOSEST TO TYPE Ia FROM THE BOTTOM OF PLATE FOUR.

Ex Wagshal. With 2011 P.F. certificate as No. 18 var, describing the complete design at bottom and erasures at top E. 2,000-3,000



133



134

- 134 **1c Blue, Quasi-Type Ia (18 var).** Position 56L12 which shows **complete design at bottom and erasures of part of the design at top**, also a double transfer, bright shade, cancelled by double-circle datestamp, Fine, with 2011 P.F. certificate as No. 18 var, describing the complete design at bottom and erasures at top E. 1,000-1,500

- 135 **1c Blue, Quasi-Type Ia (18 var).** Position 56L12 which shows **complete design at bottom and erasures of part of the design at top**, also a double transfer, neat grid cancel, thin spot at center, small tear at left and reperfed at top, otherwise Very Fine, ex Wagshal, with 2011 P.F. certificate as No. 18 var, describing the complete design at bottom and erasures at top..... E. 500-750



135

PLATE 12—TYPE II



136

- 136 (★) **1c Blue, Ty. II (20).** Positions 1-5L12, A Relief, horizontal strip of five with **top left corner sheet selvage**, unused (no gum), rich color, choice centering, few perf separations sensibly reinforced, faint toning between Positions 2-3, still Very Fine, an impressive 1857 1c Type II top left corner-margin strip from Plate 12, ex Neinken and Wagshal, with 2011 P.F. certificate, Scott Retail \$4,800.00 as original-gum strip and pair..... E. 1,000-1,500



137

- 137 ★田 **1c Blue, Ty. II (20).** Positions 5-6/15-16L12, B Relief, block of four, original gum with adherences covering significant portion, bright color, bottom left stamp tear at right, Fine appearance, a bright and fresh original-gum block from Plate 12, Type II blocks from Plate 12 can only come from the top row, the bottom stamps in this block have very small breaks in the top outer lines, ex Wagshal, with 2011 P.F. certificate, Scott Retail \$6,000.00 E. 750-1,000



138

- 138 ★田 **1c Blue, Ty. II (20).** Positions 1-2/11-12L12, A/B Reliefs, block of four, all Type II (11L is a swing position), large part original gum, bright color, few minor perf separations sensibly reinforced, top left stamp small faults, Fine-Very Fine, a well-centered and attractive block from Plate 12, Type II blocks from Plate 12 can only come from the top row, the bottom left stamp in this block might have a very small break in the top outer line, ex Neinken and Wagshal, with 2011 P.F. certificate, Scott Retail \$6,000.00 E. 750-1,000

THE J. WILLIAM MIDDENDORF II COLLECTION
1857-60 ISSUE—OTHER VALUES





139

- 139 **3c Claret, Ty. II (25A).** Lovely rich color, unusually well-balanced margins, neat circular datestamp cancel leaves the vignette fully visible

EXTREMELY FINE. A SCARCE SOUND AND CENTERED EXAMPLE OF THIS BETTER 3-CENT 1857 TYPE, WHICH HAS RECUT INNER LINES.

With 2012 P.F. certificate (VF-XF 85). By way of comparison, P.S.E. has awarded only seven grade 85's for Scott 25A — the highest grade awarded.... 850.00



140

- 140 ✉ **5c Brick Red (27).** Used with vertical pair of **1c Blue, Ty. V (24)**, also single **3c Dull Red, Ty. III (26)** and block of four and single **10c Green, Ty. V (35)**, cancelled or tied by "Tolono Ill. Dec. 13" circular datestamp mostly on back of cover to **Duisburg, Prussia, Germany**, red "N. York Am. Pkt. Paid 14 Dec. 17" 14c credit datestamp, red boxed "Aachen Franco" datestamp, flap opened causing tear in 1c, 5c and top right 10c stamps, opened for display

VERY FINE APPEARANCE. AN IMPRESSIVE FRANKING INCLUDING THE 5-CENT BRICK RED TO PAY THE DOUBLE 30-CENT PRUSSIAN CLOSED MAIL RATE TO PRUSSIA.

With 2014 P.F. certificate E. 2,000-3,000



141



142



143

- 141 ☒ **5c Red Brown (28).** Horizontal pair and single, typical 1856 shade (prior to 1858 Red Brown printing), perfs scissors-separated in places but intact including portion of adjoining stamps to right of pair, tied by two strikes of "New Orleans La. Nov. 13, 1857" circular datestamp on blue folded cover **to Paris, France**, red "New York Paid 12 Nov. 25" 12c credit circular datestamp, boxed "P.D." handstamp and French transit and arrival datestamps, small tear at bottom of cover and minor stain at right, Very Fine E. 500-750
- 142 ☒ **5c Brown, Ty. II (30A).** Used with **1c Blue, Ty. V (24)**, Position 18L10 and **10c Green, Ty. V (35)**, s.e. at right, 5c and 10c tied by red grid cancels, matching strike on 1c, red "N. York Paid 10 Hamb. Pkt. Apr. 15" (1861) 10c credit datestamp on cover **to Berlin, Prussia**, neat "FRANCO" handstamp at lower left, Hamburg and receiving backstamps, 1c light creases, 5c tiny tear at top, still Very Fine, colorful and scarce combination to pay the 15c rate by Hamburg Packet **plus 1c carrier fee**, ex Neinken and Wagshal E. 1,000-1,500
- 143 ☒ **5c Orange Brown (30).** Used with **10c Green, Ty. V (35)** and **30c Orange (38)**, cancelled or tied by red grids, matching "New York Paid 18 Jul. 13" (1861) 18c credit circular datestamp on blue folded cover **to Lyon, France**, sender's ship-name directive "*Depart par steamer Hammonia*" at top and carried aboard that HAPAG vessel, transit and receiving backstamps, file crease thru 5c and 10c stamps, appears Very Fine, a colorful triple-rate franking, covers from this correspondence are more commonly found with the 5c Ty. II Brown (Scott 30A), this is a far more desirable example franked with the Orange Brown, which is much scarcer on cover, ex Frelinghuysen, with 2012 P.F. certificate E. 1,000-1,500

- 144 ★田 **10c Green, Ty. V (35)**. Positions 66-70/76-80/86-90/96-100R2, block of 20 with **bottom right corner sheet selvage**, original gum, few h.r., bright color, few perf separations sensibly reinforced, few patches of missing gum at top and bottom and with few thin spots, Position 79, small perf flaw

FINE-VERY FINE APPEARANCE. A SCARCE AND HIGHLY-EXHIBITABLE ORIGINAL-GUM BLOCK OF 20 OF THE 1857 10-CENT TYPE V.

The largest known multiple is a block of 42 from the bottom left of Plate 2, followed by a block of 40 from the right pane of the plate (with no selvage). Blocks of nine and twelve are also known. The block of 20 offered here is one of the largest multiples.

Ex Frelinghuysen. Several with Colson backstamp. Scott Retail as four blocks of four and two pairs does not adequately convey the rarity of this large multiple 5,300.00



144



145

- 145 (★)田 **90c Blue (39)**. Block of six, unused (no gum), straight edge at left, deep rich color and proof-like impression, far nicer centering than normally seen

EXTREMELY FINE. A RARE AND BEAUTIFUL UNUSED BLOCK OF SIX OF THE 1860 90-CENT ISSUE.

The 90c stamp was issued in 1860, along with the 24c and 30c values, all of which were needed to prepay high international letter rates established by various postal treaties. When supplies of current postage stamps were declared invalid in the South and ultimately demonetized by the Federal government, the 90c had been in use for only one year. Most unused multiples probably come from supplies recovered from Southern post offices.

Since the breakup of the Caspary block of 21, the largest recorded multiple is a block of nine (three known).

Scott Retail as original-gum block of four and pair \$81,250.00. E. 7,500-10,000

THE FAMOUS ASHBROOK RECONSTRUCTED BLOCK OF
THE 90-CENT 1860 ISSUE



146

- 146 田 **90c Blue (39).** Reconstructed block of five consisting of horizontal strip of three and a horizontal pair, **interpane margin and centerline at right**, cancelled by neat **magenta wavy-line manuscript cancel**, few flaws including right stamps with defective perfs, few small thin spots

VERY FINE APPEARANCE. THE CELEBRATED RECONSTRUCTED USED BLOCK OF FIVE OF THE 90-CENT 1860 ISSUE.

The 90c stamp was issued in 1860, along with the 24c and 30c values, all of which were needed to prepay high international letter rates established by various postal treaties. The basic 24c and 30c rates to England, France and Germany created a large volume of mail franked with those values. However, the 90c saw much more limited use, partly due to the rates in effect, but more because of the American Civil War. When supplies of current postage stamps were declared invalid in the South and ultimately demonetized by the Federal government, the 90c had been in use for only one year. For this reason, genuinely cancelled copies and covers bearing the 90c are extremely rare.

This reconstructed block has a fascinating history. The top strip of three was originally in the collection of Henry C. Needham where it was marked as “used from Shanghai,” but on what basis we do not know. The strip then passed into the collection of Representative Ernest Ackerman, and in 1922 it was purchased by Stanley B. Ashbrook. In the summer of 1940, the bottom pair was discovered in the stock of noted dealer Philip Ward, who had owned it for some years after acquiring it from George Walcott. Ashbrook purchased the pair from Ward and rejoined the two multiples.

Based on the start of the wavy-line manuscript cancel partway through the stamps and the straight edge at right, Ashbrook did not think that any other stamps were part of this multiple as used on the original package. If no other stamps were present, this would have paid ten-times the 45c rate by British Mail via Marseille. The block on piece offered in Siegel Sale 1000 (lot 1036) has a Hong Kong backstamp, which corroborates Ashbrook’s theory about this block.

The strip ex Needham and Ackerman. The pair ex Walcott. The reconstructed block ex Ashbrook, Neinken, Ishikawa and DuPuy. With 1971 and 1993 P.F. certificates. Accompanied by Ashbrook’s original album page as well as his explanation of its history. E. 7,500-10,000



147

- 147 **90c Blue (39).** Used on piece of blue cover with **horizontal pair and single of 3c Dull Red, Ty. III (26), 10c Green, Ty. V (35) and 12c Black, Plate 1 (36)**, all stamps tied by Boston large “Paid” grid cancels, each slightly overlapping, piece shows part of address and red London transit datestamp, stamps with few trivial faults

VERY FINE APPEARANCE. THIS IS THE LARGEST RECORDED PIECE BEARING THE 90-CENT 1860 ISSUE. AN EXCELLENT ALTERNATIVE TO A FULL COVER.

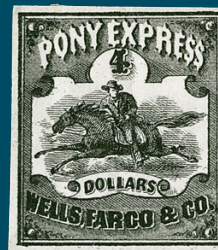
The highest value of the 1851-60 series—the 90c stamp with a portrait of the youthful George Washington in military uniform—was issued in 1860 (earliest recorded use is September 11). Its use was limited by the high denomination and the stamp’s relatively short life, due to the demonetization of all United States stamps in August 1861, a measure designed to preclude the sale of stamps originating in rebel states’ post offices. There are just seven recorded complete covers with the 90c 1860 (see Sale 1211, lot 333, for a list of the seven covers).

The total postage present on this piece is \$1.21. We believe an additional 1c stamp was originally on the cover, making a \$1.22 quadruple 33c rate, and probably addressed to Edwin Howland at the Cape of Good Hope.

Signed and dated by Ashbrook (Dec. 19, 1955). With 1956 and 2011 P.F. certificates. Ex Krug, Haas, Rose and DuPuy..... E. 5,000-7,500

THE J. WILLIAM MIDDENDORF II COLLECTION

PONY EXPRESS



Washington City
June 4 1860

W. A. Carter Esq
Fort Bridger

Sir

Yrs of 24 Apl was
forwarded to me from Leavenworth
and recd last week too late to answer
by the Pony. The authority you
suggest to agents to employ extra
riders when necessary I supposed
had been given. I now give it
and trust you will see that all
goes along your portion of the road.
I am really under many obligations
for your promptness in forwarding
the first express.

You shall not be forgotten
when Grain is wanted.

Very confident & Very respectfully
obtaining a daily
mail service

Wm H Russell

148

148 **William H. Russell.** One of the three co-founders of the Pony Express, autograph letter signed "Wm. H. Russell" and datelined at Washington D.C., June 4, 1860, to Judge William A. Carter at Fort Bridger, which reads:

"Yrs of 24th Apl was forwarded to me from Leavenworth and recd last week too late to answer by the Pony. The authority you suggest to agents to employ extra riders when necessary I supposed had been given. I now give it and trust you will see that all goes along your portion of the road. I am really under many obligations for your promptness in forwarding the first express. You shall not be forgotten when grain is wanted. We feel confident of obtaining a daily mail service. Very respectfully, Wm. H. Russell"

Some minor splits along folds and light stains

A RARE AND SIGNIFICANT LETTER FROM WILLIAM H. RUSSELL, ONE OF THE PRINCIPALS IN THE PONY EXPRESS, TO JUDGE WILLIAM A. CARTER, THE STATION AGENT AT FORT BRIDGER, THANKING HIM FOR HIS ROLE IN MAKING THE FIRST PONY EXPRESS TRIP RUN PROMPTLY. IRONICALLY, AS RUSSELL PENNED THESE WORDS, THE PONY EXPRESS IN CALIFORNIA AND NEVADA WAS SHUT DOWN DUE TO THE INDIAN WAR.

While William H. Russell, the chief lobbyist for the Central Route mail contract and promoter of the Pony Express, was in Washington D.C. in May and June 1860, the Paiute Indian War in present-day Nevada effectively shut down the route west of Ruby Valley. Pony Express runs continued in both directions between St. Joseph and as far west as Diamond Springs, but the loss of business along the route between San Francisco and Carson Valley from May 31 to July 7 put the entire operation in jeopardy. In his June 4th letter to Judge Carter at Fort Bridger, Russell seems blissfully unaware of the problems and blindly optimistic about the prospects for obtaining the much-needed government mail contract over the Central Route.

Ex "New Helvetia"..... E. 1,500-2,000



149

- 149 (★) **Wells Fargo & Co. Pony Express, \$2.00-\$4.00 Horse & Rider Issues (143L1-143L5).** All five Horse & Rider stamps including \$2.00 Red and \$4.00 Green, First Issue (April 1861) and \$1.00 Red, \$2.00 Green and \$4.00 Black, Second Issue (July 1, 1861), unused (no gum), large margins, bright and fresh

EXTREMELY FINE SET OF WELLS FARGO & COMPANY'S HORSE & RIDER STAMPS ISSUED FOR USE ON THE LEGENDARY PONY EXPRESS. VERY FEW SETS OF THIS SUPERB QUALITY COULD BE ASSEMBLED.

The Pony Express was launched in 1860 by the overland freight express firm operated by William H. Russell, Alexander Majors and William B. Waddell. In an attempt to secure the lucrative government mail contract, the Central Overland California & Pikes Peak Express Company announced that it would carry letters between California and the railroad terminus at St. Joseph, Missouri, in less than ten days. The earliest advertisements appeared in January 1860, and the first pony rider left the Alta Telegraph office in San Francisco at 4:00 p.m. on April 3, 1860. The expressman and his bag of mail did not actually ride off toward St. Joseph. He first boarded the steamer *New World* and traveled up the Sacramento River to Sacramento. From there another horse and rider galloped off on the first leg of the journey. Several riders and horses were used along the arduous journey, and the mail reached St. Joseph ten days later, on April 13. The operation continued until October 1861.

Commencing July 1, 1861, the Pony Express was authorized by Congress to carry mail at the rate of \$1.00 per half ounce. An additional fee was charged by Wells Fargo & Co. to carry mail from San Francisco to the western terminus at Placerville. The contract also stipulated the mandatory U.S. postage charge of 10c per half ounce. Although the Scott Catalogue lists the July 1861 issue Pony Express stamps (143L3-143L6) with other private post issues, we wish to emphasize that these stamps were issued under the terms of a government mail contract; therefore, they have semi-official status.

Although some of the Horse & Rider stamps were remaindered, they are scarce, and the vast majority do not have four margins or have faults. This superb set would be extremely difficult to duplicate. 1,280.00



150

- 150 ★ **Wells Fargo & Co. Pony Express, \$1.00-\$4.00 Horse & Rider Issues (143L1-143L5).** All five Horse & Rider stamps including \$2.00 Red and \$4.00 Green, First Issue (April 1861) and \$1.00 Red, \$2.00 Green and \$4.00 Black, Second Issue (July 1, 1861), each stamp plated, all but No. 143L4 **original gum**, large margins, No. 143L2 light stain at top center, No. 143L4 tiny margin thin, overall bright and fresh

EXTREMELY FINE (OR APPEARING) SET OF WELLS FARGO & COMPANY'S HORSE & RIDER STAMPS ISSUED FOR USE ON THE LEGENDARY PONY EXPRESS. VERY FEW SETS OF THIS HIGH QUALITY COULD BE ASSEMBLED.

Each stamp with 2007 P.S.E. certificate..... 1,280.00



151

- 151 **Wells Fargo & Co. Pony Express, \$1.00-\$4.00 Horse & Rider, \$1.00 Garter Issues (143L1-143L6).** All five Horse & Rider stamps plus the "Garter" stamp: \$2.00 Red and \$4.00 Green, First Issue (April 1861), \$1.00 Red, \$2.00 Green and \$4.00 Black, Second Issue (July 1, 1861), each with **blue San Francisco Running Pony oval datestamp**, and \$1.00 Blue, Garter (July 1, 1861) used with magenta and black pen cancels, margins to just in, bright colors, faults as always for used examples but each in collectible condition (see below for specific condition notes)

FINE APPEARANCE. AN EXTREMELY RARE COMPLETE SET OF THE 1861 WELLS FARGO & COMPANY PONY EXPRESS STAMPS GENUINELY USED. WITH THE POPULATIONS OF THE TWO \$4.00 VALUES AND THE \$2.00 GREEN IN THE SINGLE DIGITS, THESE ARE VERY RARELY IF EVER OFFERED AS A COMPLETE SET.

The \$2.00 Green and both of the \$4.00 values, each of which paid a multiple of the standard single rate in effect at the time of issue, are very rare in used condition, with populations of fewer than ten, including only five recorded for the \$4.00 Black. Since keeping computerized records more than 25 years ago, we have never offered the complete set in used condition.

Condition notes: \$2.00 Red (143L1), light creases; \$4.00 Green (143L2), thinned at top right, small repair at bottom left, 2013 P.F. certificate; \$1.00 Red (143L3), thin spot; \$2.00 Green (143L4), defective with repairs; \$4.00 Black (143L5), couple non-obvious small flaws, 2013 P.F. certificate; \$1.00 Blue, Garter (143L6), cut to shape, red and black pen cancels, lightly stained, 2011 P.F. certificate.

Total Scott Retail 22,450.00

- 152 **Wells Fargo & Co. Pony Express, \$2.00 Red (143L1).** Position 11, full to large margins, lightly struck blue "Pony Ex(press) Sac(ramento)" oval datestamp with "MA" of month (May 1861), small thin spots, Very Fine appearance, a choice and scarce used example of the \$2.00 Horse & Rider First Issue, ex Twigg-Smith 800.00



152



153

- 153 **Wells Fargo & Co. Pony Express, \$4.00 Green (143L2).** Position 6, full to large margins, cancelled by blue San Francisco Running Pony oval datestamp with trace of manuscript, tiny thin spot and small tear at left

EXTREMELY FINE APPEARANCE. FEWER THAN TEN USED EXAMPLES OF THE \$4.00 GREEN HORSE & RIDER FIRST ISSUE HAVE BEEN REPORTED. THIS IS QUITE POSSIBLY THE FINEST USED COPY EXTANT.

The \$4.00 Green was used briefly during Rate Period 3 to pay the double \$2.00 per half-ounce rate. Only one cover is recorded, recently offered in our sale of the George J. Kramer collection (Sale 1207, lot 16, realized \$150,000 hammer). We have seen approximately seven genuinely cancelled stamps off cover.

Ex Twigg-Smith and "New Helvetia". With 2009 P.F. certificate. 6,000.00

- 154 **Wells Fargo & Co. Pony Express, \$4.00 Green (143L2).** Position 19, clear margins to touched at bottom right, rich color, cancelled by rim and "SA" of blue San Francisco Running Pony oval datestamp, small thin spots

FRESH AND FINE APPEARANCE. A RARE USED EXAMPLE OF THE \$4.00 GREEN HORSE & RIDER FIRST ISSUE. FEWER THAN TEN EXIST IN USED CONDITION.

The \$4.00 Green was used briefly during Rate Period 3 to pay the double \$2.00 per half-ounce rate. Only one cover is recorded, recently offered in our sale of the George J. Kramer collection (Sale 1207, lot 16, realized \$150,000 hammer). We have seen approximately seven genuinely cancelled stamps off cover.

With 2014 P.S.E. certificate. 6,000.00



154



155

- 155 ☒ **Wells Fargo & Co. Pony Express, \$2.00 Red (143L1).** Large margins to just touched including part of adjoining stamps at top and right, tied by well-struck blue “Pony Express San Francisco Jun. 5” (1861) Running Pony handstamp on 10c Green on Buff Star Die entire (U33) to New York, the entire cancelled by blue grid and matching indistinct St. Joseph Mo. circular datestamp, top flap with small part missing and small part sensibly repaired, the stamp with expert repair at top right, the cover gently cleaned

VERY FINE APPEARANCE. A BEAUTIFUL EXAMPLE OF THE \$2.00 PONY EXPRESS STAMP TIED BY THE BLUE SAN FRANCISCO RUNNING PONY OVAL.

This cover was carried on the Wednesday, June 5, 1861, trip from San Francisco, which arrived at St. Joseph on June 17. When Phase II (Interim Phase) started on April 1, 1861, the rate for a Pony Express letter was substantially reduced to \$2 per half-ounce, down from the \$5 per half-ounce or \$2.50 per quarter-ounce rates in effect during the previous year. At the same time, the new agents—Wells Fargo & Company—had special stamps and envelopes printed for use on Pony Express letters. The \$2 rate was in effect for a brief period, from April 1 to June 30, 1861. The FKW census records 37 \$2.00 Red covers.

The \$2 and \$4 were printed in sheets of 20 (5 wide by 4 high). Rather than build up the printing stone from intermediate transfer groups or from a primary matrix containing the denomination, the printers used a blank matrix to enter each subject on the stone for each value. This required a total of 40 transfers (20 for each value). The denomination (shaded numerals “2” and “4”) then had to be individually transferred to each subject on both stones, thus requiring another 40 separate transfers. It seems incredible that experienced lithographers such as Britton & Rey did not simplify the process by using intermediate transfers. Based on the fact that the \$2 and \$4 of the July 1861 issue (in Green and Black) were printed from the same stones as the April 1861 issue, it is certain that the printers had retained the two original stones. Lithographic stones were usually re-used by erasing the image and repolishing the surface, but in the case of the Pony Express stones, they were evidently preserved for future printings.

FKW Census E93. Ex Lyons. With 1992 P.F. certificate E. 15,000-20,000



156

156 (★)田 Wells Fargo & Co. Pony Express, \$1.00 Red (143L3). Complete sheet of 40 in two panes, Position 9 in the Right Pane shows the “Broken Leg” flaw, unused (no gum), bright color, mostly ample to large margins all around, just into the frame of the top left stamp and touching the stamp at top right, some faults that are mostly small including a vertical fold between the panes ending in closed tears at top and bottom not affecting any stamps, two spindle holes in bottom corner stamps, to help the appearance of the sheet an additional genuine single and horizontal pair (crease) have been lightly hinged behind so that the designs appear complete

VERY FINE APPEARANCE. A VERY RARE COMPLETE SHEET OF 40 OF THE \$1.00 PONY EXPRESS STAMP. POSITION R9 IS THE “BROKEN LEG” LITHOGRAPHIC FLAW.

When Britton & Rey received Wells Fargo & Co.’s order for new stamps needed for the July 1861 rate change, they were apparently instructed to produce a new \$1.00 value in Red and to print more \$2.00 and \$4.00 stamps in different colors. Since the printers still had the stones for the \$2.00 and \$4.00, it was a simple matter to make more impressions in Green (\$2.00) and Black (\$4.00). Their approach to the \$1.00 Red, evident in the product itself, is more complicated.

Instead of 20 subjects, there were 40 subjects on the \$1.00 stone, which suggests that the print order anticipated a much higher volume of usage. In fact, the average number of letters per east-bound trip jumped from 201 in Rate Period 3 (prior to the government contract) to 305 in the Rate Period 4 (as a government contractor). The total number of eastbound letters, documented at 10,057, indicates that at least an equal number of \$1.00 stamps were printed, so doubling the size of the stone meant half the number of impressions (250 vs. 500 for a print run of 10,000). The process of creating the \$1.00 printing stone is described in our pamphlet on the Pony Express (the Stockholmia presentation PDF is available on our website).

This sheet of 40—one of four recorded—contains the most distinctive plate flaw found on any Pony Express stamp: the “Broken Leg” flaw, in which the horse’s front right leg is cut off where the background shading lines end.

The Broken Leg flaw occurs only on Position R9 (Group A Type V). The fact that it does not occur on the other three Type V positions (L9/11 and R11) indicates that it was not present on the Transfer Groups A or B. It may have occurred as the re-transfer of Group A/B was made on the printing stone, possibly due to a flaw in the transfer paper. It also could have resulted from damage to the printing stone, possibly during the erasure process to remove “s” from “Dollars”.

We have seen approximately ten examples of the Broken Leg, including four in sheets. The two used examples offered in this sale prove the Broken Leg flaw was present when the stamps were actually used on Pony Express mail. One unresolved question is whether Position R9 exists without the flaw, which would prove that some sheets were printed before the flaw occurred on the printing stone. E. 5,000-7,500



157



158

- 157 (★)田 Wells Fargo & Co. Pony Express, \$1.00 Red (143L3). Block of four, unused (no gum), large even margins, bright color, Extremely Fine, very scarce in completely sound condition, with 1984 P.F. certificate..... 750.00
- 158 (★)田 Wells Fargo & Co. Pony Express, \$1.00 Red (143L3). Block of four, unused (no gum), full to large margins, bottom left stamp small thin spot, overall Very Fine-Extremely Fine, with 2011 P.F. certificate 750.00



159

- 159 (★)田 Wells Fargo & Co. Pony Express, \$1.00 Red (143L3). Right margin block of nine, unused (no gum), large to ample margins other sides to just clear on middle stamp at bottom, light vertical crease visible in fluid and tiny corner crease at top left, small scissors-cuts at bottom between vertical rows, couple tiny soiling spots, Very Fine appearance, Scott Retail as block of four and five singles..... 1,250.00



160



161



162



163

- 160 (★) **Wells Fargo & Co. Pony Express, \$1.00 Red, Broken Leg (143L3 var).** Position R9, clearly showing the “Broken Leg” flaw, in which the horse’s front right leg is cut off where the background shading lines end, unused (no gum), large to ample margins, bright color, horizontal crease, Very Fine appearance and rare, this is the most distinctive plate flaw found on any Pony Express stamp with only approximately 10 examples known to us (including four locked up in full sheets), with 2014 P.S.E. certificate (VG 50) 1,750.00
- 161 **Wells Fargo & Co. Pony Express, \$1.00 Red, Broken Leg (143L3 var).** Position R9, clearly showing the “Broken Leg” flaw, in which the horse’s front right leg is cut off where the background shading lines end, affixed to piece, cancelled by blue San Francisco Running Pony oval datestamp, margins to slightly in, few tiny edge tears, Fine appearance and extremely rare, this is one of only two used examples of this plate variety we have encountered (approximately ten in total are known)..... 6,000.00
- 162 **Wells Fargo & Co. Pony Express, \$1.00 Red, Broken Leg (143L3 var).** Position R9, clearly showing the “Broken Leg” flaw, in which the horse’s front right leg is cut off where the background shading lines end, cancelled by neat strike of blue San Francisco Running Pony oval datestamp, margins clear to touching, stained at top left over small shallow thin spot, Fine appearance and extremely rare, this is one of only two used examples of this plate variety we have encountered (approximately ten in total are known) 6,000.00
- 163 **Wells Fargo & Co. Pony Express, \$1.00 Red (143L3).** Large margins to barely touching at top right, clear strike of blue San Francisco Running Pony oval datestamp, tiny thin spot and faint corner crease, appears Very Fine..... 900.00



164

- 164 **Wells Fargo & Co. Pony Express, \$1.00 Red (143L3).** Position R3, huge bottom margin and full at right, cut in at upper left and barely in at top, scissors-cut at bottom right, tied by blue “Pony Express, San Francisco, Oct. 16” (1861) Running Pony oval datestamp on large piece of 10c Green on Buff entire (U41) with Wells Fargo & Co. red frank, clear strike of “Atchison, Kan. Oct. 30” double-circle datestamp applied on arrival after 14-day trip (ET-144)
- A FINE AND VERY ATTRACTIVE REPRESENTATIVE EXAMPLE OF THE \$1.00 HORSE & RIDER STAMP WITH THE SAN FRANCISCO RUNNING PONY OVAL AND ATCHISON DATESTAMP.
- Starting with the Pony Express mail that left San Francisco on September 11, 1861 (ET-134, arriving September 23), the entry point for mail became Atchison. The FKW census records 25 covers, including one with the Pony Express stamp missing. By the time this Pony mail arrived at Atchison, the Pony Express had ended.
- Ex “Alyeska”. E. 2,000-3,000



165

- 165 ✉ **Wells Fargo & Co. Pony Express, \$1.00 Red (143L3).** Position L16 with break in “1”, large margins at top, other sides slightly into framelines, bright color, tied by sharp strike of blue “**Pony Express San Francisco Jul. 13**” (1861) **Running Pony** oval datestamp on 10c Green on Buff Star Die entire (U33) with red Wells Fargo & Co. printed frank, sender’s endorsement “*Per Pony*”, clearly struck “St. Joseph Mo. Jul. 25” circular datestamp cancels entire, trivial opening tear at upper right corner, stamp with tiny tear at top right

A FRESH COVER WITH CLEARLY STRUCK MARKINGS AND A FINE EXAMPLE OF THE \$1.00 RED STAMP ISSUED BY WELLS FARGO & COMPANY FOR THE OFFICIAL GOVERNMENT CONTRACT PERIOD BEGINNING IN JULY 1861. THIS IS A VERY EARLY USE OF THE STAMP.

Commencing July 1, 1861, the Pony Express was authorized by Congress to carry mail at the rate of \$1.00 per half ounce. An additional fee was charged by Wells Fargo & Co. to carry mail from San Francisco to the western terminus at Placerville, which is represented by the printed frank on this entire. The contract also stipulated the mandatory U.S. postage charge of 10c per half ounce. This cover beautifully combines all three postage elements and was carried on the fourth eastbound Pony Express trip after the new rates and stamps were introduced. Although the Scott Catalogue lists the July 1861 issue Pony Express stamps (143L3-143L6) with other private post issues, we wish to emphasize that these stamps were issued under the terms of a government mail contract; therefore, they have semi-official status.

FKW Census E112. Ex Knapp and Kuphal. With 2007 P.F. certificate.. E. 10,000-15,000



166

- 166 ✉ **Wells Fargo & Co. Pony Express, \$1.00 Red (143L3).** Margins slightly in and repaired top right corner, tied by blue “Pony Express San Francisco Sep. 11” Running Pony oval datestamp on 10c Green on Buff Star Die entire (U33) with Wells Fargo & Co. red printed frank, “Atchison Kan. Sep. 23” (1861) double-circle datestamp, addressed to Baltimore Md., reduced at left and small edge tear

FINE APPEARING PONY EXPRESS STAMP ON AN ATTRACTIVE COVER. A RARE USE VIA THE ATCHISON POST OFFICE.

Commencing July 1, 1861, the Pony Express was authorized by Congress to carry mail at the rate of \$1.00 per half ounce. An additional fee was charged by Wells Fargo & Co. to carry mail from San Francisco to the western terminus at Placerville, which is represented by their printed frank on this entire. The contract also stipulated the mandatory U.S. postage charge of 10c per half ounce. This cover nicely combines all three postage elements and was carried on the Sep. 11, 1861 eastbound Pony Express trip after the new rates and stamps were introduced. By September 1861 the transcontinental railroad reached Atchison, Kansas, which became the post-office entry point for Pony Express mail.

Although the Scott Catalogue lists the July 1861 issue Pony Express stamps (143L3-143L6) with other private post issues, we wish to emphasize that these stamps were issued under the terms of a government mail contract; therefore, they have semi-official status.

FKW Census E156. Ex Luff, Knapp, Barkhausen LeBow and Lyons. With 2015 P.F. certificate..... E. 7,500-10,000



167

167 **Wells Fargo & Co. Pony Express, \$1.00 Red (143L3).** Position L10, ample to large margins, rich color, tied by blue **"Pony Express, San Francisco, Aug. 24"** (1861) **Running Pony** oval datestamp on expertly rebacked 10c Green on Buff Star Die entire (U33) with Wells Fargo & Co. red printed frank, to W. J. Widdleton at 17 Mercer Street in New York City, bold strike of "St. Joseph Mo. Sep. 5" circular datestamp applied on arrival after 12-day trip, small sealed nick at top and the back has been skillfully added to create a complete envelope (not noted as such on certificate)

AN EXTREMELY FINE REBACKED FRONT WITH ALL OF THE ESSENTIAL ELEMENTS OF AN EASTBOUND PONY EXPRESS USE INTACT, INCLUDING THE WELLS FARGO & COMPANY \$1.00 HORSE & RIDER STAMP TIED BY THE SAN FRANCISCO RUNNING PONY OVAL.

This cover was carried on the trip that departed San Francisco on Saturday, August 24, 1861, and arrived at St. Joseph on September 5. The addressee, W. J. Widdleton, was a New York City publisher. In 1861 Widdleton acquired the rights to publish the collected works of the late Edgar Allan Poe.

Congress awarded the mail contract along the Central Route to the Overland Mail Company on March 12, 1861, effective July 1. The contract paid \$1,000,000 per year for mail/passenger service along the Central Route and required the company "...during the continuance of their Contract, or until completion of The Overland telegraph, to run a Pony Express semi-weekly at a Schedule time of ten days eight months of the year and twelve days four months of the year..." This period of operation is known as Phase III, which corresponds to Rate Period 4 (July 1- October 26, 1861). During this period, Wells Fargo & Co. issued new stamps and envelopes to reflect the agreed upon government contract rate of \$1 per half-ounce for the Pony Express. In addition, Wells Fargo & Co. charged a fee for service in California (represented by the red frank) and U.S. postage (10c per half-ounce).

FKW Census E146. Ex "Alyeska". With 1976 P.F. certificate as a complete cover.....
..... E. 5,000-7,500

- 168 (★)田 Wells Fargo & Co. Pony Express, \$2.00 Green (143L4). Block of four, unused (no gum), large margins to clear including sheet margin at bottom, bright color, Very Fine, ex Chapin, with 1973 P.F. certificate..... 1,500.00



168



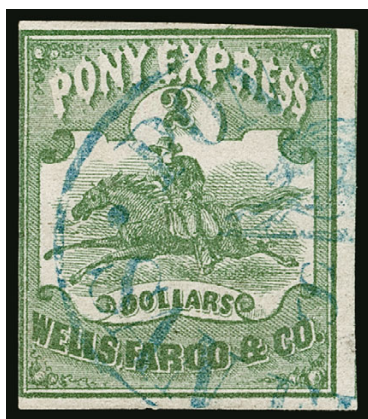
169

- 169 Wells Fargo & Co., Pony Express, \$2.00 Green (143L4). Position 6, clear margins to just in at bottom right corner, rich color, light strike of blue San Francisco Running Pony oval datestamp, faint horizontal crease at upper left, Fine appearance, the \$2.00 Green Second Issue is extremely scarce in used condition, only two covers are recorded (one offered in our recent sale of the Kramer collection), and we have seen only approximately ten or fewer genuinely cancelled stamps off cover, with 2014 P.S.E. certificate..... 6,000.00

- 170 Wells Fargo & Co. Pony Express, \$2.00 Green (143L4). Clear margins to touched at right, strongly struck blue San Francisco Running Pony oval datestamp slightly indents stamp, manuscript offset on back from cover including "Pony", Fine and rare, only two covers are recorded (one offered in our recent sale of the Kramer collection), and we have seen only approximately ten or fewer genuinely cancelled stamps off cover 6,000.00



170



171



172

- 171 **Wells Fargo & Co. Pony Express, \$2.00 Green (143L4).** Ample margins to touched at left and top right, neat blue San Francisco Running Pony oval datestamp, completely sound, Fine and rare, only two covers are recorded (one offered in our recent sale of the Kramer collection), and we have seen only approximately ten or fewer genuinely cancelled stamps off cover, with 2011 P.F. certificate..... 6,000.00

- 172 **Wells Fargo & Co. Pony Express, \$2.00 Green (143L4).** Ample margins to touched or slightly in at bottom left, light strike of blue San Francisco Running Pony oval datestamp, small faults including tiny tear, crease and slight soiling, otherwise Fine, only two covers are recorded (one offered in our recent sale of the Kramer collection), and we have seen only approximately ten or fewer genuinely cancelled stamps off cover, this is an affordable example, with 2004 P.F. certificate 6,000.00



173

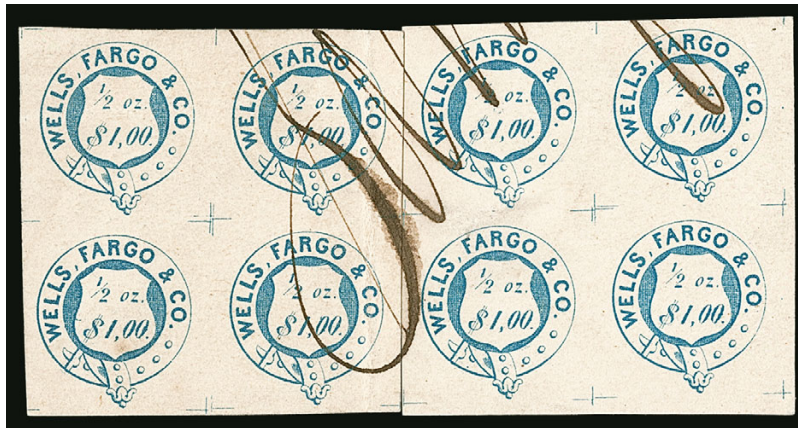
- 173 **Wells Fargo & Co. Pony Express, \$4.00 Black (143L5).** Position 9, full margins all around, cancelled by blue San Francisco Running Pony oval datestamp, faint manuscript offset on back, tiny negligible scrape at top right

VERY FINE APPEARANCE. ONE OF FIVE RECORDED USED EXAMPLES OF THE \$4.00 BLACK PONY EXPRESS STAMP. THIS IS THE RAREST PONY EXPRESS STAMP IN GENUINELY USED CONDITION.

Only two covers with the \$4.00 Black (Second Issue) are recorded, including one offered in our recent sale of the George J. Kramer collection (Sale 1207, lot 23). This is one of only five off-cover used examples recorded.

Ex Caspary, "Golden Oak" and "New Helvetia". With 2005 P.F. certificate. 7,500.00

PONY EXPRESS \$1.00 GARTER ISSUE



174

174 田 **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter, Plate Proof on Card (143L6).** Positions 12-13/17-18 and 14-15/19-20 in two blocks of four with part of manuscript "Sample" applied to original proof sheet, large margins, left block has creases

A VERY FINE AND UNIQUE ENSEMBLE OF BLOCKS FROM THE WELLS FARGO & CO. \$1.00 GARTER PROOF SHEET WITH THE "SAMPLE OVERPRINT."

The iconic Horse & Rider stamps were never used on westbound Pony Express mail. In Rate Period 3, Wells Fargo & Co. introduced a special franked envelope for westbound mail, but its use was extremely limited. On July 1, 1861, the new contract rate went into effect. On August 12, 1861, Wells Fargo & Co. announced in the New York papers that "Pony Express Envelopes" were "Now ready and for sale at our office." Although this announcement refers only to "envelopes," in fact both the franked entires and \$1 Garter adhesive stamps were put on sale in August 1861.

The small belt-shaped Garter stamp looks nothing like the Horse & Rider issues and omits the words "Pony Express." The Garter was printed from a lithographic stone of 20 subjects, arranged 5 across and 4 high, based on the known corner margin strip of three and the three blocks printed on card with the word "Sample" written across them. The contiguous blocks offered here represent two of the three recorded plate proof blocks. A digital reconstruction of the sheet layout is shown below.

Left block ex Schwartz and Kuphal. Right block ex Golden and with 1983 P.F. certificate. Both blocks together ex Twigg-Smith..... E. 5,000-7,500



Reconstruction of Garter sheet with three proof blocks marked "Sample"



175

- 175 (★) **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Unused, (no gum), large margins to full at top, Very Fine, a fresh and choice unused example of the Pony Express \$1.00 Garter stamp, also very rare, despite the Scott Catalogue assigning a higher value to used examples unused are just as elusive, signed Sloane in pencil..... 800.00

- 176 (★) **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Unused, (no gum), large margins to barely touching at bottom, we described a tiny thin spot when we last sold this stamp in 2011, but the P.F. did not agree and issued a sound opinion, Very Fine and also very rare, despite the Scott Catalogue assigning a higher value to used examples unused are just as elusive, with 2011 P.F. certificate 800.00



176



177

- 177 **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Huge margins, cancelled by single wavy-line pen stroke, small faint thin at top and two tiny pinholes

EXTREMELY FINE APPEARANCE. A BEAUTIFUL AND RARE USED EXAMPLE OF THE PONY EXPRESS GARTER STAMP. PROBABLY THE FINEST KNOWN OFF-COVER USED GARTER STAMP.

Any example of the \$1.00 Garter stamp is rare, either unused or used. In comparing the used examples (without a red line across the face, which are listed as a footnote in Scott), our records do not contain a single sound example. This stamp, with large margins, a neat pen cancel and non-obvious faults, is easily one of, if not the finest, off-cover used example extant.

Ex Hall, Twigg-Smith and "Golden Oak". With 2009 P.F. certificate. E. 4,000-5,000

- 178 **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Large margins all around, bold strike of New York "Aug. 24" (1861) circular datestamp, small corner creases and faint thinning

EXTREMELY FINE APPEARANCE. AN OUTSTANDING USED EXAMPLE OF THE PONY EXPRESS GARTER STAMP WITH A BOLD NEW YORK CIRCULAR DATESTAMP CANCEL.

Any example of the \$1.00 Garter stamp is rare, either unused or used. In comparing the used examples (without a red line across the face, which are listed as a footnote in Scott), our records do not contain a single sound example. This is the only stamp we have offered with a clear New York circular datestamp cancel.

Ex Knapp. With 2003 P.F. certificate E. 1,500-2,000



178

- 179 **Wells Fargo & Co., \$1.00 Blue, Garter (143L6).** Full to large margins showing trace of adjacent stamp at top, trace of circular datestamp at right, small crease not mentioned on accompanying certificate, appears Extremely Fine, one of the finest of the small population of used \$1.00 Garter stamps (without the red pen line), ex Kuphal, with 2020 P.F. certificate 1,250.00



179



180

- 180 ★ **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Large margins, cancelled by single wavy-line pen stroke, thins and large adherence, appears Very Fine, a rare stamp in any condition, with 1996 P.S.E. certificate 1,250.00

- 181 **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Position 20 from bottom right corner of the sheet, red pen line across shield, large margins showing parts of all four dividing crosses, "Pony Ex" written in bottom margin, Extremely Fine, wonderful quality and very rare in this condition 300.00

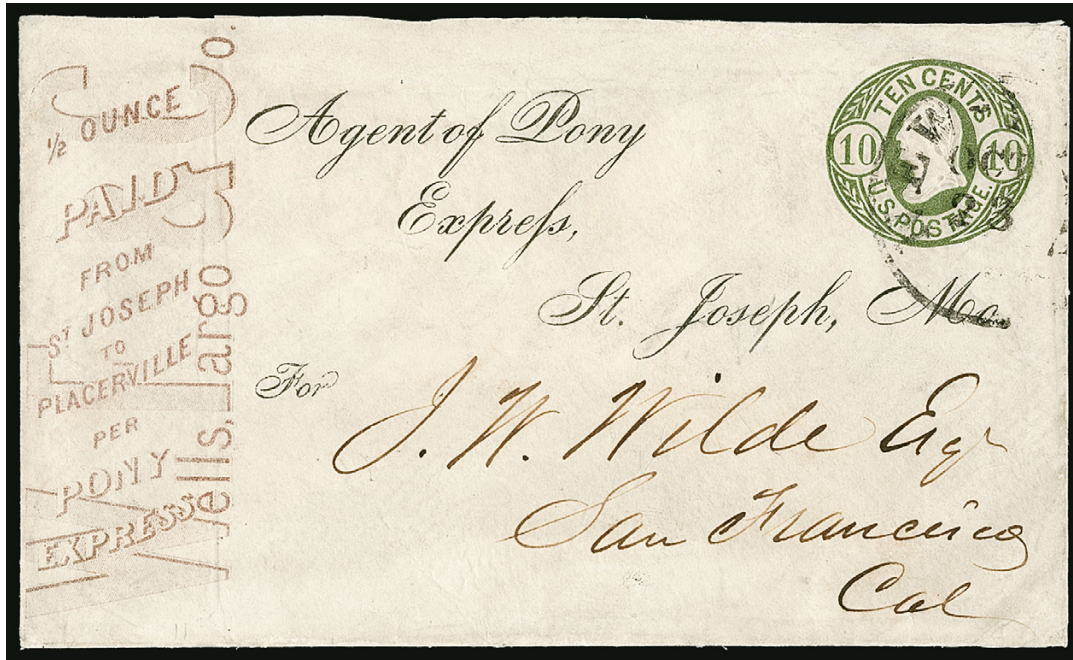


181



182

- 182 **Wells Fargo & Co. Pony Express, \$1.00 Blue, Garter (143L6).** Red vertical pen line across shield, ample to large margins, Very Fine and choice, ex Fekete, with 1960 and 2014 P.F. certificates 300.00



183

- 183 ☒ **Wells Fargo & Co. Pony Express, (\$1.00) Red Type II East-to-West Frank, 10c Green on Thin Hard White Entire (unlisted in Scott).** Printed address to the "Agent of Pony Express, St. Joseph, Mo." and handwritten address to J. W. Wilde at San Francisco, "New-York Oct. 23" (1861) circular datestamp, opening tear at upper right expertly repaired, lightly cleaned with other minor edge improvements

VERY FINE APPEARANCE. ONE OF THE LAST FRANKED PONY EXPRESS ENVELOPES SENT TO ST. JOSEPH, MISSOURI, BUT RECEIVED AFTER THE TERMINATION OF PONY EXPRESS SERVICE. A FASCINATING "QUASI-PONY" COVER THAT WAS CARRIED BY STAGECOACH.

The chart in our Stockholmia pamphlet on the Pony Express (available as a PDF on our website) contains information about the advancement of the eastern and western telegraph terminals. On August 6, 1861, the San Francisco *Bulletin* printed over its dispatches, "By telegraph to Fort Kearney from St. Louis, thence by Pony Express to Robert's Creek Station, thence by telegraph to San Francisco." In the August 13, 1861, edition, the same paper reported that the Pony Express rider was leaving his dispatches for the *Bulletin* and other Pacific Coast newspapers at Dry Creek station. By the beginning of September, hundreds of miles were cut from the distance between telegraph terminals on the Pony route. The eastern section of the telegraph was completed on October 17, 1861, and just one week later the final connection was made on October 24.

As soon as messages could be sent by wire, the need for the Pony Express was eliminated, and the Overland Mail Company was contractually free to discontinue the money-losing service. The last trip from San Francisco left on October 23. On October 25 the Wells Fargo & Co. office in San Francisco announced that the "Last Pony coming this way left Atchison, Kansas, yesterday [October 24]." They probably received that news by wire.

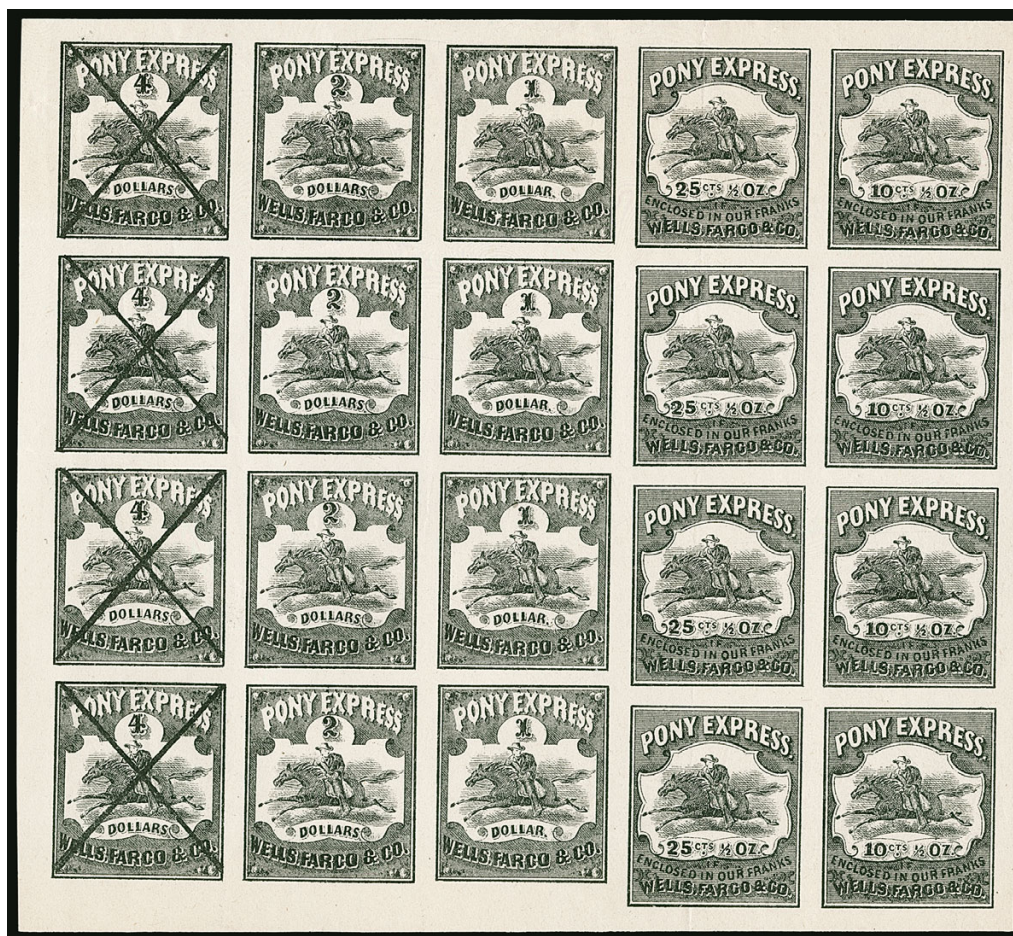
A few westbound covers are recorded that arrived in Saint Joseph after the October 24 trip departed. The letters bagged at St. Joseph for the October 27 and 31 trips were probably carried part or all of the way by regular mail stagecoach, because they arrived in San Francisco on November 18 and 21, in line with the usual 20-day transit time by stage.

FKW Census W69. Trip WT-152 (FKW "likely carried by stage"). Ex Twigg-Smith
 E. 4,000-5,000



184

- 184 P **San Francisco Running Pony Handstamp.** Proof strike on paper, faint creases, a rare posthumous strike from the original device, ex "Alyeska" E. 300-400



185

- 185 P **Wells Fargo & Co., 10c-\$4.00 Pony Express 1897 Reprint, Black Plate Proof on Wove (143L1P/143L9P).** Complete sheet with defaced \$4.00 column at left, margins reduced from full-size but still large, light creases including vertical thru 25c row, top left stamp small tear, appears Very Fine, rare, this is only the third example we have offered in more than 25 years..... E. 1,000-1,500

- 186 **Pony Express, Balance.** (143L2/143L9). Unused stamps include one \$4.00 Green (143L2), three \$2.00 Green (143L4), two \$4.00 Black (143L5), also single examples of the Virginia City Pony stamps (143L7-143L9), used includes one \$1.00 Red (143L3) with clear strike of blue Wells Fargo & Co. San Francisco oval datestamp, a few small flaws to be expected, overall Fine-Very Fine or appear so, also includes proof strike of San Francisco Running Pony Handstamp with "APR 60" anniversary date on piece of gummed paper with some faults, a rare posthumous strike from the original device, Scott Retail not counting the last item \$2,645.00.....*Not illustrated* E. 1,000-1,500
- 187 **Pony Express, Forgeries.** Single album containing an wide array of forgeries as well as correspondence between collectors and dealers on the subject of the Pony Express, probably more than 200 items in total covering the Running Pony stamps and the Garter stamp, includes a few genuine unused stamps as well and some cancel cuts, also many photographs for plating purposes, a fascinating study which originates with Ambassador Middendorf's original Carriers and Locals collection from the 1950s*Not illustrated* E. 300-400

VIRGINIA CITY PONY EXPRESS



- 188 (★)田 **Wells Fargo & Co. Virginia City Pony Express, 10c Brown (143L7).** Positions D1-2/3-4/5-6/7-8, vertical block of eight, unused (no gum), ample to large margins, top right stamp with small toned spot in margin, still fresh and Very Fine, ex Twigg-Smith E. 1,000-1,500



189

189 (★)田 Wells Fargo & Co. Virginia City Pony Express, 10c Brown (143L7). Part sheet of 25, consisting of two groups of ten and a right half group of five, each group separated by wide gutters, unused (no gum), huge margins to just clear at left, full sheet margins at top and bottom, vertical folds affect the second and far right vertical rows, some toning in top sheet margin goes into stamp at top right

VERY FINE APPEARANCE. AN EXTREMELY RARE LARGE MULTIPLE OF THE 10-CENT BROWN VIRGINIA CITY PONY EXPRESS ISSUE, WONDERFULLY SHOWING HOW THE STONE WAS LAID OUT.

The Virginia City Pony express stamps were printed in sheets of 40 subjects, consisting of four groups of 10 (each group 2 x 5). We have not encountered another multiple of the 10c Brown larger than the block of eight offered in lot 188..... E. 2,000-3,000



191



190

- 190 ☒ **Wells Fargo & Co. Virginia City Pony Express, 10c Brown (143L7).** Large margins to full at top, tied by blue "Wells, Fargo & Co. Virginia City. N.T. Oct. 22" (1862) oval datestamp on 3c Pink on Buff entire (U35) with Wells Fargo & Co. printed frank to San Francisco, slightly reduced at left where opened, stamp lifted and reaffixed

VERY FINE. A BEAUTIFUL AND SCARCE COVER WITH THE VIRGINIA CITY PONY EXPRESS 10-CENT BROWN.

The 10c Brown Virginia City Pony Express issue is scarce on cover, with an approximate ratio versus 25c Blue covers of 1:3 (according to the Gamett census and confirmed by our auction history).

With 2013 P.F. certificate. Scott Retail \$5,500.00..... E. 3,000-4,000

- 191 ☒ **Wells Fargo & Co., Virginia City Pony Express, 10c Brown (143L7).** Three large margins, just into the frame at right, tied by blue "Wells, Fargo & Co. Carson City Jan. 9" oval datestamp with additional strike over indicia of 3c Pink on Buff entire (U35) with Wells Fargo & Co. printed frank, addressed to Virginia City, original letter enclosure references the sender's "watch dog" snarling when the express man arrives, stamp with light crease at top right, the envelope with large sealed tears from rough opening affecting the backflap (small portion missing) and the top of the printed frank

VERY FINE APPEARANCE. A SCARCE USE OF THE VIRGINIA CITY PONY EXPRESS 10-CENT BROWN.

The 10c Brown Virginia City Pony Express issue is scarce on cover, with an approximate ratio versus 25c Blue covers of 1:3 (according to the Gamett census and confirmed by our auction history).

With 2013 P.F. certificate. Scott Retail \$5,500.00..... E. 2,000-3,000



192

- 192 ☒ **Wells Fargo & Co., Virginia City Pony Express, 25c Blue (143L8). Three singles**, each with clear to full margins, used with **10c Green (68)**, beautiful rich color, all stamps tied by four strikes of “Wells, Fargo & Co. Virginia City, N.T. Feb. 15” (1863-64) blue oval datestamp on 3c Pink on Buff entire (U35) with Wells Fargo & Co. printed frank, used as a paste-up, therefore unad-dressed and with adherences on back, this was undoubtedly carried to San Francisco, 10c two short perfs, left 25c faintly creased from fold (not noted on certificate), cover slightly wrinkled as usual for paste-up usage

A SPECTACULAR TRIPLE-RATE VIRGINIA CITY PONY EXPRESS COVER WITH THREE 25-CENT BLUE HORSE & RIDER STAMPS USED WITH THE 10-CENT 1861 ON A WELLS FARGO & CO. 3-CENT FRANKED ENTIRE—UNLIKE THE FEW OTHER MULTIPLE-RATE COVERS, THIS IS A SMALL AND ATTRACTIVE PASTE-UP ENTIRE.

The gold and silver strikes in Utah Territory between 1859 and 1863 brought a huge influx of miners and settlers into the Carson and Washoe Valley region. At the beginning of the Civil War, the federal government moved quickly to ensure that the population of eastern California and western Utah Territory—along with its mineral wealth—remained loyal to the Union. Congress created Nevada Territory on March 2, 1861, carving out a portion of Utah Territory that included Carson City (the new capital of Nevada Territory), Genoa, Virginia City, Gold Hill, and Aurora.

Wells Fargo & Co. and other express companies had long served this region. The transcontinental Pony Express of 1860-1861 passed through Carson City and Virginia City. Therefore, when there was demand for a fast express service between San Francisco and Washoe, it was relatively easy for Wells Fargo to organize a horse-and-rider relay along their existing routes. The “Pony Express to Washoe—Through in 24 Hours” was announced by Wells Fargo to start running on Monday, August 11, 1862. The schedule provided for a 4 p.m. departure from San Francisco and arrival in Virginia City by “the next evening.” Letters sent westbound from Virginia City would leave at 6 p.m. and arrive in San Francisco the “next evening, by Sacramento Boat.”

From August 1862 through January 1863, the rate for the Virginia City Pony Express was 10c per half ounce, which was paid by the brown stamp. Sometime shortly after January 1863, the rate was increased to 25c, and a new stamp was issued in blue. The 25c rate continued through to the end of the express service, but the stamp color was changed to red around March 1864.

Multiple-rate Virginia City Pony Express covers are rare. Typically, they are large envelopes that held documents weighing a few ounces. The cover offered here is the exception to the rule. It was stamped with the necessary postage and express fee, and affixed to a larger envelope bearing the address (later removed). The three 25c Horse & Rider stamps indicate the 75c rate for a letter weighing between one and one-and-a-half ounces. The 10c stamp would have covered the triple 3c postage (1c overpaid), but the franked entire was required, so the 3c embossed stamp was wasted.

Ex Wunderlich, Ishikawa, Craveri and Gross. With 1993 P.F. certificate. Signed on back by Henry Chaloner (collector) E. 15,000-20,000

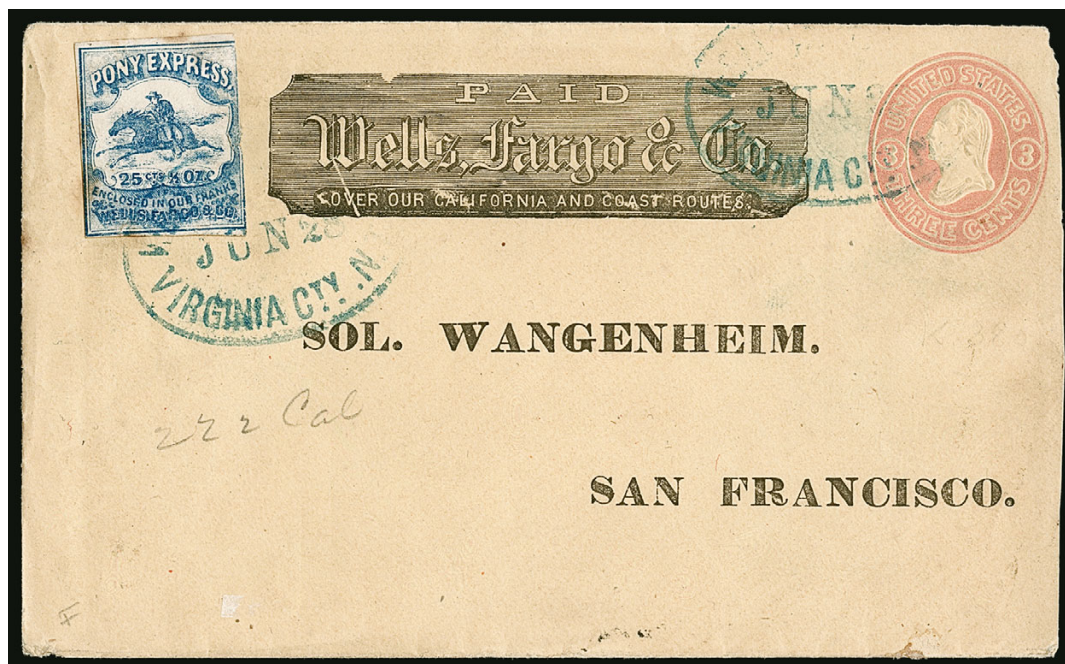


193

- 193 ☒ **Wells Fargo & Co. Virginia City Pony Express, 25c Blue (143L8).** Large margins to clear, tied by blue “Wells, Fargo & Cos. Express 26 Feb” double-circle datestamp on 3c Pink on Buff entire (U35) with **Bamber & Cos. Express printed frank** to Virginia City N.T., stamp affixed over **red Bamber & Co. circular datestamp**, reduced at left into printed frank

VERY FINE APPEARANCE. AN EXTREMELY RARE CONJUNCTIVE USE OF BAMBER & COMPANY'S EXPRESS AND WELLS FARGO & COMPANY'S 1862-65 PONY EXPRESS TO NEVADA.

With 2010 P.F. certificate E. 4,000-5,000



194

- 194 ☒ **Wells Fargo & Co. Virginia City Pony Express, 25c Blue (143L8).** Tied by blue "Wells, Fargo & Co. Virginia City. N.T. Jun. 28" oval datestamp on 3c Pink on Buff entire (U34) with Wells Fargo & Co. printed frank to Sol. Wangenheim (a furniture dealer) in San Francisco, second strike over indicia, stamp with couple small edge tears and light toned spots, cover slightly reduced at right, otherwise Very Fine, according to <http://www.sfgenealogy.com>, Solomon Wangenheim was an immigrant from Saxony, Germany, who was naturalized on April 30, 1855, he is listed as a merchant at 211 Powell in San Francisco as of July 25, 1866, ex Crocker and Beals, with clear 1968 P.F. certificate, Scott Retail \$4,500.00..... E. 1,500-2,000



195

195 (★)田 Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9). Complete sheet of 40 in four groups of ten, unused (no gum), vivid color, two very faint vertical creases, bright and fresh

EXTREMELY FINE. ONE OF THE FINEST OF THE FEW SURVIVING SHEETS OF THE 25-CENT RED VIRGINIA CITY PONY EXPRESS STAMP. A MAGNIFICENT EXHIBITION ITEM.

Outside of this sale, we have offered only two other complete sheets of 143L9 in our auctions since 2000: 1) sheet with stains and other faults (2003 Fall Rarities sale); and 2) ex Hall and Twigg-Smith sheet which last realized \$12,500 hammer in 2009. This sheet is equal to the Hall sheet in terms of condition.

Ex Fekete. With 1985 P.F. certificate..... E. 7,500-10,000



196

196 (★)田 Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9). Complete sheet of 40 in four groups of ten, unused (no gum), typical flaws for a sheet of this nature, including two vertical folds, one mostly between the left two panes and the other affecting the right row of the third pane, light stains in the left pane and at the bottom of the right two panes

VERY FINE APPEARING AND RARE SHEET OF THE 25-CENT RED VIRGINIA CITY PONY EXPRESS STAMP.

Outside of this sale, we have offered only two other complete sheets of 143L9 in our auctions since 2000: 1) sheet with stains and other faults (2003 Fall Rarities sale); and 2) ex Hall and Twigg-Smith sheet which last realized \$12,500 hammer in 2009. This sheet is equal to the Hall sheet in terms of condition.

Ex Knapp..... E. 3,000-4,000



197

197 (★) 田 Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9). Block of 20 with wide gutters between the two groups of ten, unused (no gum), large margins, few creases, still Very Fine and attractive multiple, Scott Retail as four blocks of four and two pairs..... 1,060.00

198 (★) 田 Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9). Block of 20 with wide gutters between the two groups of ten, unused (no gum), rich color, several creases in margins and thru several stamps, some ending in small tears in margins, otherwise Very Fine, ex Golden, Scott Retail as four blocks of four and two pairs 1,060.00



198



199

- 199 ☒ **Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9).** Position A4, full to large margins all around, rich color, tied by blue "Wells, Fargo & Co. Virginia Cty. N.T. Jul. 12" (1864) oval datestamp on 3c Pink on White entire (U34) with Wells Fargo & Co. printed frank to Clara C. Crittenden in San Francisco, 1864 letter enclosed, small gum smear

EXTREMELY FINE. A CHOICE STAMP AND BEAUTIFUL VIRGINIA CITY PONY COVER FROM THE FAMOUS CRITTENDEN CORRESPONDENCE. THE RED 25-CENT VIRGINIA CITY PONY STAMP IS MUCH SCARCER ON COVER THAN THE BLUE.

This cover was sent to Clara C. Crittenden by her husband, Alexander Parker Crittenden, a prominent West Coast attorney, while he was residing in Virginia City. The move to Nevada became necessary after California passed a law prohibiting the practice of law by anyone who would not take the loyalty oath. "Parker" Crittenden was a pro-Southerner who chose to relocate to Virginia City, rather than swear allegiance to the federal government. His wife stayed in San Francisco for some time, and the two corresponded frequently while he was away. A few years later he was shot dead by his mistress in front of his wife and son.

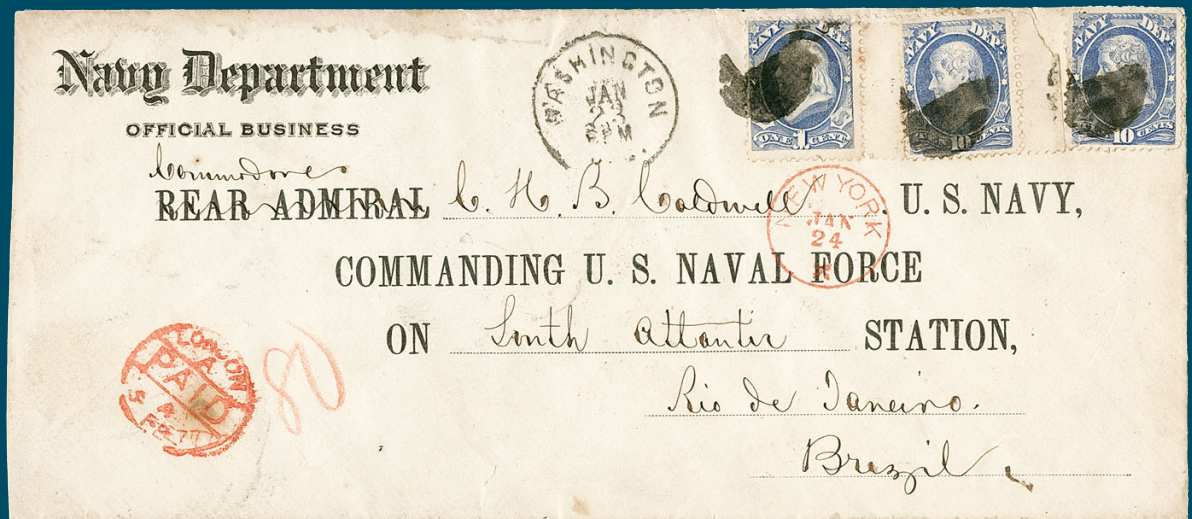
Ex "D.K." Collection and Twigg-Smith. With 1981 P.F. certificate. E. 7,500-10,000



200

- 200 ✉ **Wells Fargo & Co. Virginia City Pony Express, 25c Red (143L9).** Ample to large margins including portion of adjoining stamp at bottom, affixed upside down and tied by bold blue "Wells, Fargo & Co. Virginia Cty. N.T. Jun. 9" (1864) oval datestamp, second strike cancels indicia on 3c Pink on Buff entire (U35) with Wells Fargo & Co. printed frank to San Francisco, edge of stamp with slight gum soak, missing part of backflap
- VERY FINE. A BEAUTIFUL 25-CENT RED VIRGINIA CITY PONY COVER WITH TWO BOLD STRIKES OF THE WELLS FARGO VIRGINIA CITY DATESTAMP.
- Most of the 25c Red covers originate from the famous Crittenden correspondence. This cover, from the Shattuck correspondence, was off the market for more than 60 years before emerging in our 2013 Rarities sale.
- With 2013 P.F. certificate E. 3,000-4,000

THE J. WILLIAM MIDDENDORF II COLLECTION OFFICIAL NAVY DEPARTMENT ISSUES





201EX

201 TC **1c-90c Navy, Atlanta Trial Color Plate Proofs (O35TC4-O45TC4).** Complete set of 55 proofs for all of the five issued colors, ample to large margins throughout, lovely bright colors, Very Fine-Extremely Fine, a beautiful set.....(Photo Ex) 2,692.00



202EX

202 TC **1c-90c Navy, "Goodall" Small Die Trial Color Proofs on India (O35TC2-O45TC2).** 50 proofs, including complete sets in the five listed colors less the 10c denominations and a single 1c in Dull Gray Blue, also includes an extra 2c Black in a larger size (unlisted), vivid colors

VERY FINE GROUP OF THE NAVY DEPARTMENT SMALL DIE TRIAL COLOR PROOFS.

In 1879, President Albert G. Goodall of the American Bank Note Company, successor to the Continental Bank Note Company, ordered sets of small die proofs for display purposes.....(Photo Ex) 15,000.00

- 203 ★田 **1c Navy (O35)**. Block of four, original gum, h.r.'s, each stamp with choice centering, one with natural inclusion, Extremely Fine, ex Markovits and Curtis..... 750.00



203



204

- 204 ★田 **3c Navy (O37)**. Block of four with right selvage, original gum, lightly hinged, bright color, handsomely centered, Very Fine, with 2011 P.F. certificate..... 775.00

- 205 ★田 **10c Navy (O40)**. Block of four, original gum which has some light toned spots, one stamp with some gum loss due to hinge removal, otherwise lightly hinged, stunning centering, bright color, Extremely Fine, ex Markovits and Curtis.... 1,000.00



205



206

206 ★田 **24c Navy (O43)**. Horizontal block of six, slightly glazed original gum, incredibly fresh color, well-centered throughout, sensible hinge sliver perf reinforcements

VERY FINE. AN EXTREMELY RARE ORIGINAL-GUM BLOCK OF SIX OF THE 24-CENT NAVY ISSUE.

Blocks of this value are very rare and seldom offered. This may be the largest extant.

Ex Curtis. Scott Retail as a block of four and two singles..... 2,850.00

207 ★田 **30c Navy (O44)**. Block of four, original gum, deep rich shade on bright paper, Fine-Very Fine, ex Curtis, with 2001 P.S.E. certificate 1,600.00



207



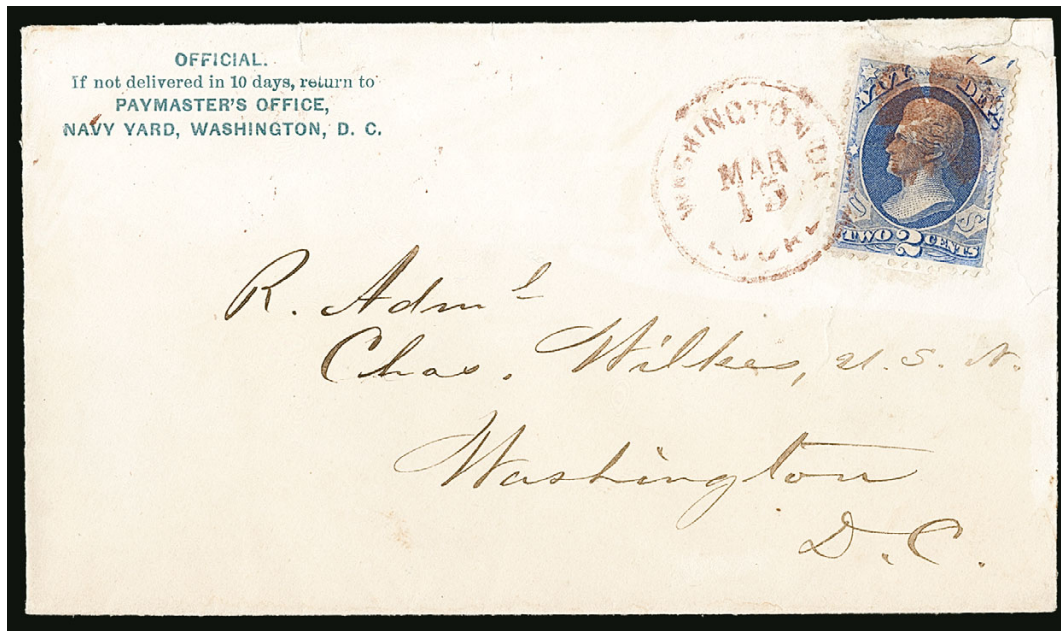
208

208 ★田 **90c Navy (O45)**. Block of four, original gum, slight gum loss from hinge removal, well-centered for a multiple of this high value, bright color, light crease along bottom pair, small hinge reinforcement and couple perf separations, Fine-Very Fine, blocks of the 90c Navy Department are rarely encountered, ex Curtis 6,250.00



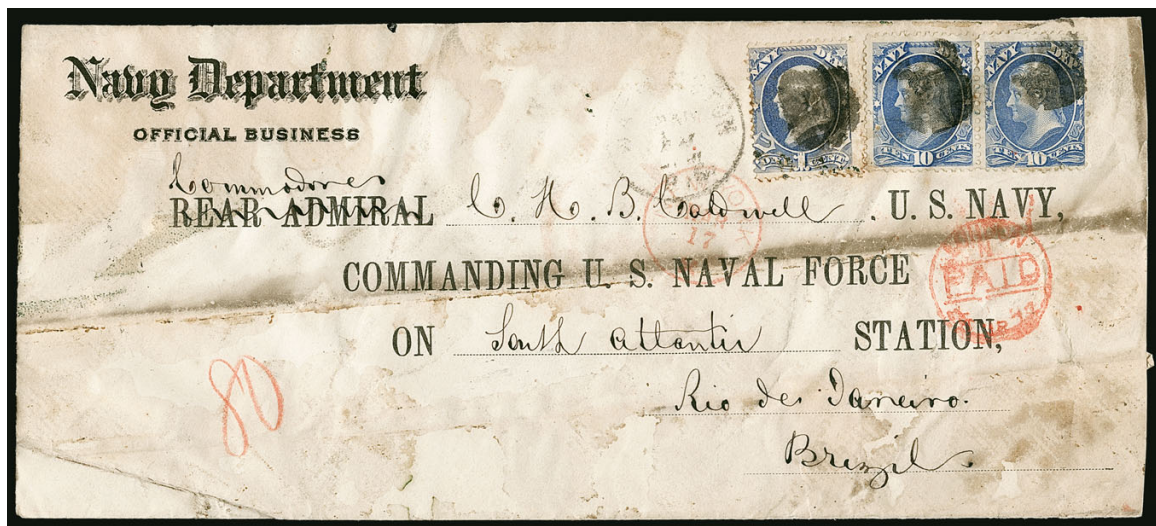
209EX

- 209 ☒ **Navy Department, Secretary of the Navy Free Franks, 1800-1860s.** Group of 23 covers, wonderful range including 1800 Benjamin Stoddert (cover front only), 1801 Robert Smith, 1810 Paul Hamilton with ALS, also second Hamilton franked cover, 1813 William J. Jones with ALS, 1817 Benjamin W. Crowninshield with ALS, 1820 Smith Thompson, 1822 **John C. Calhoun as Secretary of War** (on a cover from the Navy Dept.), 1825 and circa 1829 Samuel Southard, 1830 John Branch, circa 1832 Levi Woodbury, 1829 Mahlon Dickerson, 1839 James K. Paulding, 1843 Abel P. Upshur, 1844 David Henshaw, 1844 John Y. Mason, circa 1851 William A. Graham, 1850s James C. Dobbin and 1860s Gideon Welles, also includes additional 1799 William Winder free frank as Navy purchaser, some typical cover faults and folds to be found, overall Fine-Very Fine, a fascinating look into the early leadership of the U.S. Navy, ex Graham.....(Photo Ex) E. 3,000-4,000



210

- 210 ☒ **2c Navy (O36).** Well-centered with wide top margin showing trace of **plate number**, tied by **red** quartered cork cancel and "Washington D.C. Local Mar. 15" circular datestamp on Paymaster's Office corner card cover to Rear Admiral Charles Wilkes in Washington, red "Carrier 15 Mar. 7PM" backstamp, opening tears on back and small repair at top right not affecting stamp, otherwise Very Fine, only a few 2c Navy covers cancelled in red are known (there are four listed in the 2002 Campbell census in *Chronicle* 193), ex Ackerman, Starnes and Curtis..... E. 750-1,000



211

- 211 ☒ **1c and 10c Navy (O35, O40).** Horizontal pair of 10c, tied by cork cancels, light strike of "Washington D.C. Mar. ? PM" circular datestamp on legal-size cover with "Navy Department, Official Business" imprint, addressed to "Commodore C. H. B. Caldwell, U.S. Navy, Commanding U.S. Naval Force on South Atlantic Station, Rio de Janeiro, Brazil", red "New York Mar. 17" and London transit datestamps, red crayon "80" (centimes) credit, "Rio de Janeiro 27 Abr. 77" receiving datestamp on back, stamps and cover with flaws, staining which affects the cover but is mostly clear of the stamps, Fine appearance and extremely rare 10c Navy Dept. cover to Brazil, with the group of Caldwell covers that emerged through Siegel Auctions in 2013, there are now four known complete franking 10c Navy covers, all to foreign destinations E. 1,000-1,500



212

- 212 ☒ **1c and 10c Navy (O35, O40).** Two of 10c, one with right sheet margin, 1c also with right sheet margin, tied by cork cancels, "Washington D.C. Jan. 23 8PM" (1877) circular datestamp on legal-size cover with "Navy Department, Official Business" imprint, addressed to "Commodore C. H. B. Caldwell, U.S. Navy, Commanding U.S. Naval Force on South Atlantic Station, Rio de Janeiro, Brazil", red "New York Jan. 24" and "London Paid 5 Feb 77" transit datestamps, red crayon "80" (centimes) credit, "Rio de Janeiro 2 Mar. 77" receiving datestamp on back, some slight perf toning or tiny flaws, tiny selvage tear in 10c, cover with small edge tear at bottom

VERY FINE. THIS RARE 10-CENT NAVY "COMMODORE CALDWELL" COVER IS OFFERED TO THE MARKET FOR ONLY THE SECOND TIME. PRIOR TO THE EMERGENCE OF THE CALDWELL COVERS OFFERED IN OUR 2013 RARITIES SALE, THERE WAS ONLY ONE 10-CENT NAVY COVER KNOWN.

In 2013, a descendent of Commodore Caldwell was performing genealogical internet research on his ancestor and found the Siegel description for the Starnes collection Caldwell 30c Navy cover. He realized his family possessed several similar covers and consigned the group to Siegel for auction. In addition to this 10c Navy cover, the collection included two other 1c and 10c (2) combination covers (one other offered in this sale as lot 211), as well as the twin to the heretofore unique 30c cover (offered as lot 214). Les Lanphear, in his census of overseas Official covers, records 19 Navy Department covers, not counting the six Caldwell covers that emerged in 2013. Prior to this find the only 10c Navy cover known was the famous U.S. Naval Observatory cover to Paris. The total now stands at four complete franking covers plus one with the missing 1c stamp.

The 21c postage pays the single rate to Brazil via Great Britain. At this time Brazil was not a member of the U.P.U. Therefore, the U.S. retained 5c of the 21c postage and credited G.B. with 16c for carrying the letter to Brazil. The credit is expressed in centimes, as required by the U.P.U. regulations. E. 5,000-7,500

- 213 ☒ **6c and 10c Navy (O38, O40).** Two covers with Navy Department official imprints, each addressed to "Commodore C. H. B. Caldwell, U.S. Navy, Commanding U.S. Naval Force on South Atlantic Station, Rio de Janeiro, Brazil" and paying the 21c British mail rate, first franked with 6c stamp and missing a 15c, second franked with pair 10c and missing a 1c, Jan. and Mar. 1877 Washington D.C. circular datestamps, red New York and London transits, Rio de Janeiro receiving datestamps on back and second also with "2. a" rectangular backstamp, stamps and covers with flaws, Fine appearance and despite the missing stamps extremely rare Navy Dept. covers to Brazil.....Not illustrated E. 750-1,000

THE COMMODORE CHARLES H. B. CALDWELL CORRESPONDENCE

When Siegel Auction Galleries sold the Starnes collection in 2007, the Caldwell 30c Navy Department cover was believed to be the only on-cover use of this stamp extant. The Starnes cover is an almost exact match to the cover offered here (lot 214), but it was mailed in January 1877. A descendent of Commodore Caldwell was performing genealogical internet research on his ancestor and found the Siegel description for the Starnes 30c cover. He realized his family possessed several similar covers and consigned the group to Siegel for auction. Les Lanphear, in his updated census of overseas Official covers, records 19 Navy Department covers, not counting the six Caldwell covers which emerged in 2013.

Commodore Charles H. B. Caldwell (1823-1877) was the commander of the U.S. Navy's South Atlantic Station, commanding the flagship U.S.S. *Richmond* from 1876-1877. As an officer during the Civil War, commanding the U.S.S. *Itasca* in the Gulf Blockading Squadron, Caldwell captured the Confederate schooner *Lizzie Weston* off Florida in January 1862 en route to Jamaica with a cargo of cotton. An obituary describes his heroics in the subsequent campaign to capture New Orleans in April 1862: "He personally commanded and directed the hazardous operation of cutting the chains across the Mississippi, between the forts, under heavy fire, which opened the way for Farragut in his memorable passage of the batteries." This action was memorialized in a drawing by M. J. Burns of Lt. Caldwell cutting the chains, which appeared in Scribner's *Popular History of the United States* in 1898 (p. 11). In late 1862, Caldwell commanded the USS *Essex* in the Union Mortar Flotilla which opened the bombardment of the Confederate works at Port Hudson, Louisiana.

These Navy Department Caldwell covers originate from the commander's son, Henry, who served as secretary aboard the *Richmond*. Henry kept a staff journal in 1876 and 1877 which recorded weather, location, and other information. The journal abruptly ends in August 1877, close to the time of his father's death. Henry took the covers off the *Richmond* and they remained with him until his death in 1906. The covers then passed to Henry's younger brother, the descendants of whom retained them until our 2013 Rarities sale.



Commodore Charles H. B. Caldwell
(1823-1877)



214

- 214 ☒ **12c and 30c Navy (O41, O44).** Tied by cork cancels, 12c also tied by "Washington D.C. Apr. 6 4PM" (1877) circular datestamp on legal-size cover with "Navy Department, Official Business" imprint, addressed to "Commodore C. H. B. Caldwell, Commanding South Atlantic Station, Rio de Janeiro, Brazil", red "New York Apr. 7" and "London Paid 17 AP 77" transit datestamps, red crayon "160/2" (160 centimes) credit, partly clear Rio de Janeiro receiving datestamp on back, some perf toning mostly confined to 12c stamp, damp staining on back, minor edge nick at top right

AN IMPORTANT RECENT FIND IN UNITED STATES OFFICIAL ISSUES AND OFFERED TO THE MARKET FOR ONLY THE SECOND TIME SINCE ITS EMERGENCE IN 2013. THIS "COMMODORE CALDWELL" COVER IS ONE OF ONLY TWO RECORDED EXAMPLES OF THE 30-CENT NAVY DEPARTMENT STAMP ON COVER AND ONE OF THREE 12-CENT NAVY COVERS. ITS TWIN COVER FROM THE STARNES COLLECTION HAS LONG BEEN WIDELY REGARDED AS ONE OF THE "TOP TEN" OFFICIAL COVERS EXTANT. THIS COVER TAKES ITS PLACE ALONGSIDE THE STARNES COVER AS ONE OF THE MOST IMPORTANT UNITED STATES OFFICIAL COVERS.

The 42c postage pays the double 21c rate to Brazil via Great Britain. At this time Brazil was not a member of the U.P.U. Therefore, the U.S. retained 5c of the 21c postage and credited G.B. with 16c for carrying the letter to Brazil. The double credit (32c) is expressed in centimes, as required by the U.P.U. regulations.

Accompanied by background information, some unpublished, provided by the family on Commodore Caldwell and his son Henry. E. 15,000-20,000



215

- 215 ☒ **24c Navy (O43).** Well-centered with selvage at left, rich color, tiny margin tear between perfs at right, tied by quartered cork cancel, "Washington D.C. Sep. 11 4PM" circular datestamp on legal-size cover with "Navy Department, Official Business" imprint, addressed to "Commo. C. H. B. Caldwell, Command'g U.S. Naval Force So. Atlantic Station, Montevideo, Uruguay", red "New York 110 Sep. 27" (110 centimes = 22c) credit datestamp, red "London Paid 7 Oct. (76?)" transit datestamp, "20" in circle due handstamp, cover toned along bottom with small sealed tear at bottom left

ONE OF THREE RECORDED COVERS BEARING THE 24-CENT NAVY DEPARTMENT STAMP, INCLUDING TWO TO URUGUAY. THIS COVER FROM THE COMMODORE CALDWELL CORRESPONDENCE IS ONE OF THE MOST OUTSTANDING OFFICIAL COVERS EXTANT.

In an article on Navy Department covers, Alan C. Campbell wrote: "Despite the obvious need for regular communication with station squadrons in foreign waters, little foreign mail from the Navy Department has survived. I record only six covers, of which three were in the Starnes collection stolen in 1983 and may have been lost to philately forever. These were the celebrated pair to Commodore Caldwell (24c to Uruguay, 12c, 30c combination to Brazil) and a 2c, 3c combination on an 1875 cover to Italy." ("Usages of Navy Department Stamps", Alan C. Campbell, *Chronicle* 193, Feb. 2002, pp. 44-58). This cover was also listed as No. 20 in Campbell's census of high-value Official stamps on cover (*Chronicle* 188, Nov. 2000, pp. 287-299). Campbell's article was published before the recovery of the Starnes collection and the emergence of additional covers from the Caldwell correspondence in 2013.

Commodore Charles H. B. Caldwell was the commander of the U.S. Navy's South Atlantic Station (the flagship was the U.S.S. *Richmond*). As a lieutenant during the Civil War, commanding the U.S.S. *Itasca*, Caldwell captured the Confederate schooner *Lizzie Weston* off Florida en route to Jamaica with a cargo of cotton. The following year, the Union Mortar Flotilla under his command opened the bombardment of the Confederate works at Port Hudson, Louisiana.

At the time of mailing, Uruguay was not a U.P.U. member. There were two rates to Uruguay: 23c by U.S. Packet via Brazil and 27c by British mail (effective 7/1/1875 to 7/1/1880). The April 1877 *U.S. Postal Guide* only lists the 27c rate with a note that U.S. Packet service was infrequent and unreliable. The 27c rate via G.B. included 5c for the U.S. and a 22c credit to G.B. for carrying the letter to Uruguay (the credit is expressed in centimes, as per the U.P.U. regulations). Although underpaid 3c for the 27c rate, this Navy Department cover was treated as fully prepaid.

Ex Starnes and Curtis. With 2014 P.F. certificate..... E. 10,000-15,000

THE J. WILLIAM MIDDENDORF II COLLECTION
HAWAII



EARLY HAWAIIAN STAMPLESS MAIL



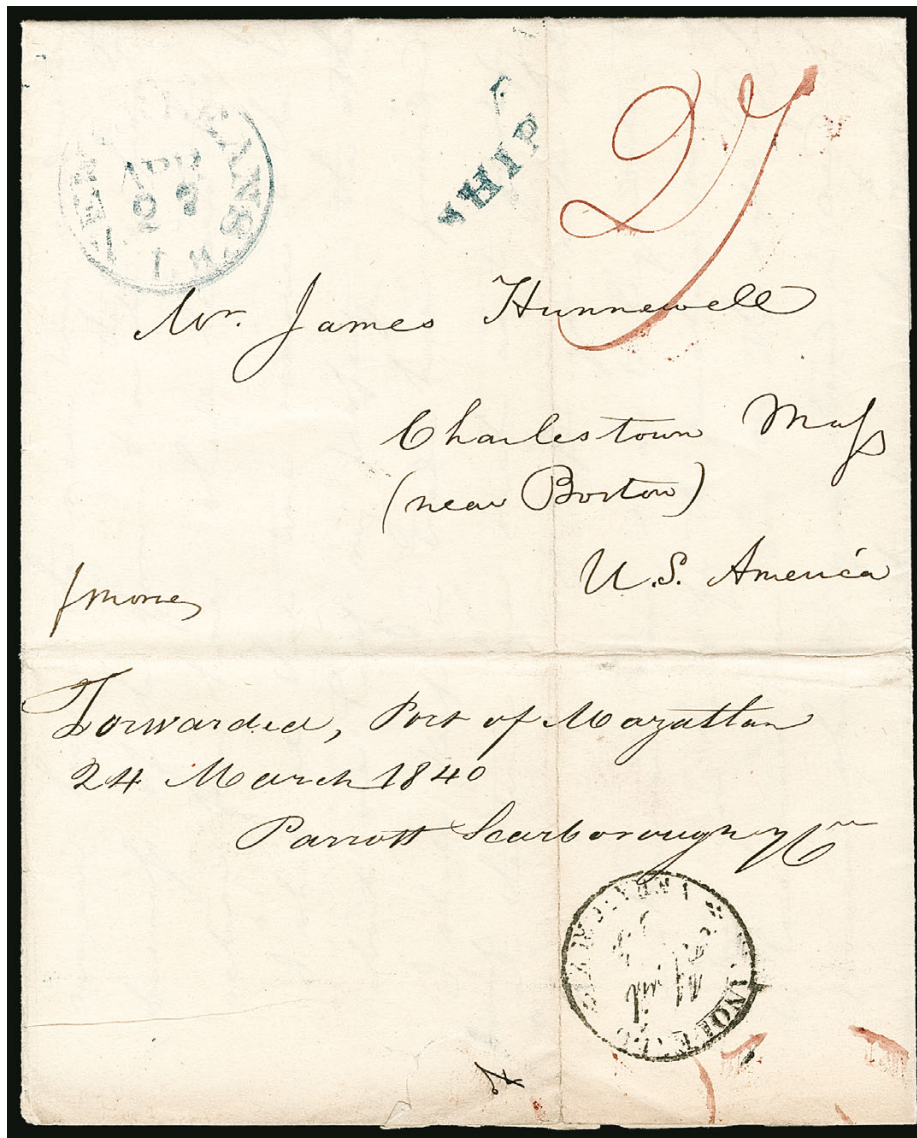
216

- 216 ☒ **"Oahu (Waahoo) Sand. I. Oct. 8, 1822."** Dateline on four-page folded letter from Elisha Loomis, the missionary printer who arrived with the Pioneer Company of missionaries, to his brother Chester, the postmaster of Rushville N.Y., sender's ship-name directive "Pr. Ship Spermo Capt. Bunker Nantucket", "Edgartown Ms. March 28" (1823) manuscript postmark with "Ship Free" (postmaster free frank), light soiling

FINE AND RARE EARLY MISSIONARY LETTER FROM ELISHA LOOMIS IN HAWAII, TO HIS BROTHER IN RUSHVILLE, NEW YORK.

In his letter Loomis acknowledges receiving a letter from his brother sent Dec. 3, 1821. He notes that the Reverend Bingham for the last two months has been preaching in the Hawaiian language, that a second edition of 2,000 copies of "our Spelling Book" is being printed, and regrets not having the time to learn the language himself. In January 1822 the missionaries' first publication, a 16-page spelling and reading pamphlet, was printed in an edition of 500 copies. Over the next few years the printing office, with the help of native apprentices, issued a number of pamphlets, primers and scripture tracts, in addition to a translation of the Bible which was finally completed by May 10, 1839.

Ex Ishikawa, Honolulu Advertiser and Golden..... E. 5,000-7,500



217

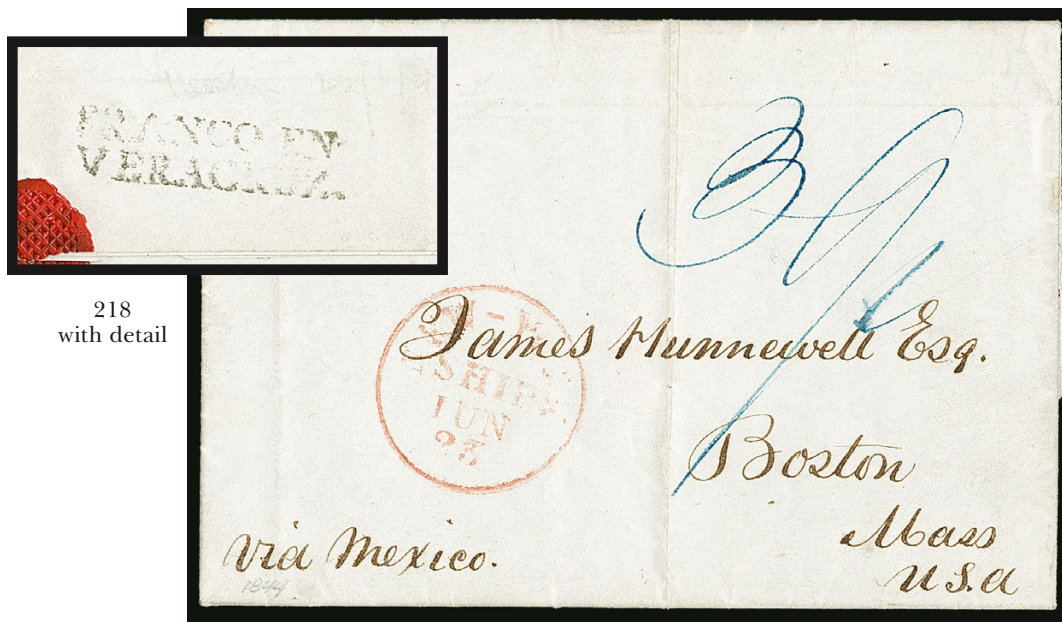
- 217 ☒ **"Honolulu Oahu, November 18 1839"**. Dateline on folded letter from Peirce & Brewer to James Hunnewell in Charlestown Mass. **via Monterey (Alta California), Mazatlan, Vera Cruz and New Orleans**, sender's ship-name directive "*P Morse*", forwarder's manuscript marking on back **"Forwarded, Port Mazatlan 24 March 1840, Parrott Scarborough & Co."**, partly clear strike of "**Franqueado Vera Cruz April (?)**" circular datestamp on back, entered U.S. post office with blue "**New Orleans La. Apr. 27**" (1840) circular datestamp and matching "**SHIP**" handstamp, red manuscript "**27**" rate (25c postage plus 2c ship fee), neatly docketed on back with May 9 receipt date, file folds

VERY FINE. A RARE AND ATTRACTIVE COVER FROM HAWAII TO THE UNITED STATES WITH MAZATLAN, MEXICO, FORWARDER'S MARKING AND VERA CRUZ DATESTAMP.

This and another letter we offered in our sale of the Golden collection (Sale 1008, lot 22) were carried on the schooner *Morse*, which sailed from Honolulu on Dec. 1, 1839. It carried the mail to Mazatlan via Monterey. After reaching Vera Cruz, both letters were carried to New Orleans, where they entered the U.S. mail as ship letters.

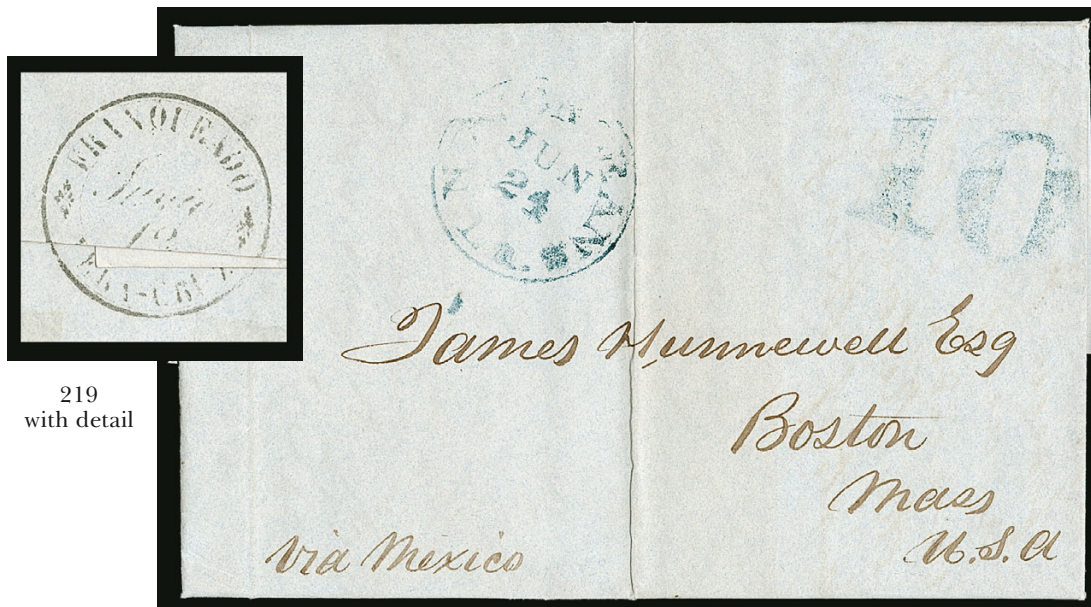
This letter contains some interesting comments about local events: "*There is a great change in the times here — some things for the worse, some for the better — the native population are dying off very fast — nothing like before — the American Missionaries are fast having their influence. The Catholic Priests will doubtless soon supplant them...*", followed by further remarks about the appeal of the Catholic Church to native Hawaiians.

Gregory census eastbound no. 13. Ex Golden E. 3,000-4,000



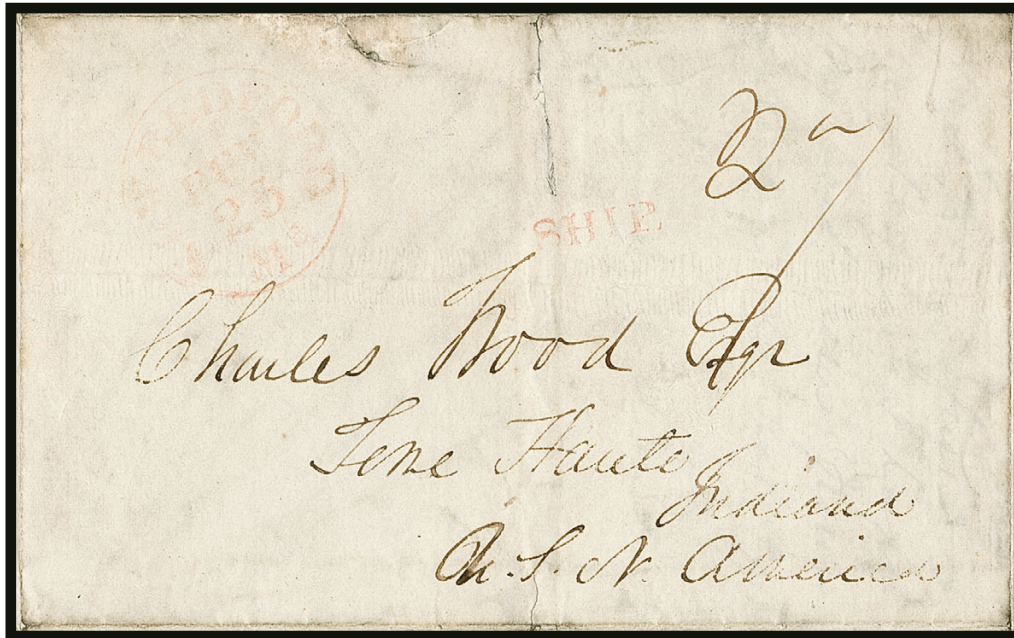
218
with detail

- 218 ☒ **"Honolulu, February 28/44"**. Dateline on folded letter from Brewer & Co. to James Hunnewell in Boston **via Mazatlan, Vera Cruz and New York**, sender's route directive **"Via Mexico"**, mostly clear strike of **"FRANCO EN/VERACRUZ."** two-line handstamp on back, entered U.S. post office with red **"New-York Ship Jun. 23"** circular datestamp, blue manuscript **"39½"** double rate (37½c postage plus 2c ship fee), June 25 receipt docketing, file folds, Very Fine, carried on the Hawaiian schooner *Hooikaika*, which sailed from Honolulu on Feb. 28, 1844, only five covers recorded with the two-line **"FRANCO EN/VERACRUZ."** handstamp, Gregory census eastbound no. 42, ex Golden..... E. 1,500-2,000



219
with detail

- 219 ☒ **"Honolulu March 10/46"**. Dateline on blue folded letter from C. Brewer & Co. to James Hunnewell in Boston **via Mazatlan, Vera Cruz and New Orleans**, letter headed with ship-name directive **"per Brig Glide Via Mazatlan"** and addressed **"via Mexico"**, **"Franqueado Vera Cruz Junio 12"** circular datestamp on back, entered U.S. post office with blue **"New Orleans La. Jun. 24"** (1846) circular datestamp and matching **"10"** rate handstamp (without 2c ship fee), receipt docketing on flap, file folds, Very Fine, as directed this was carried on the brig *Glide*, which departed Honolulu on Mar. 11, 1846—within a few months this route was shut down when the U.S. blockaded Mexican ports—Gregory census eastbound no. 51, ex Golden..... E. 1,000-1,500



220

- 220 ☒ **"U.S. Frigate Savannah, Honolulu Oahu, Octo. 2nd 1844"**. Dateline on folded letter from Maxwell Wood to his brother in Terre Haute Ind., light strike of red "New Bedford Ms. Feb. 25" (1845) circular datestamp with matching "SHIP" handstamp, manuscript "27" rate (25c postage plus 2c ship fee), letter discusses visit to Marquesas Islands and hike to see volcano, cover tear at top and some wear, still Very Fine, interesting U.S. naval letter from Hawaii..... E. 300-400



221

- 221 ☒ **Honolulu*Hawaiian Islands*Nov. 14 (1854)**. Red circular datestamp on blue folded letter to Alice Wilbur in North Dartmouth Mass., neat "San Francisco Cal. 16 Dec." circular datestamp, "SHIP" and "12" handstamps (10c collect plus 2c ship fee), minor wear, Very Fine, carried on the *Lady Jane*, which departed Honolulu Nov. 14 and arrived in San Francisco Dec. 8, ex Golden..... E. 500-750



ONE OF TEN UNUSED EXAMPLES OF THE 1851 5-CENT
HAWAIIAN MISSIONARY AVAILABLE TO COLLECTORS

- 222 (★) **1851, 5c Blue (2).** Type I (left-hand position in form), unused (no gum), repaired with portions of paper and design added at lower left and along right side, two tears (one interior)

ONE OF TWELVE RECORDED UNUSED EXAMPLES OF THE HAWAIIAN 1851 5-CENT MISSIONARY, OF WHICH ONLY TEN ARE AVAILABLE TO COLLECTORS

This is a respectable example of this rare Missionary, of which there are only twelve unused copies recorded (two of which are in the Tapling collection at the British Library). Our census of Hawaii No. 2 is available at our website at <http://www.siegel-auctions.com/census/hawaii/scott/2>.

Census no. 2-I-UNC-18. Ex Burrus, Ostheimer III, Honolulu Advertiser and "Laila". With 1995 P.F. certificate. Scott value for an unused example with minor repair 55,000.00



Thos. Groswell & Son

Farmington Falls

Maine U.S.A.

THE ONLY RECORDED MIXED FRANKING
WITH THE 13-CENT “HAWAIIAN POSTAGE” MISSIONARY
AND UNITED STATES STAMPS

223 ☒ **1851, 13c Blue, “Hawaiian Postage” (3).** Type II, the righthand position in setting of two, three huge margins at top, bottom and right, the left margin (between two positions) is ample, slight creasing and small tears typical of the fragile pelure paper have been treated by a paper restorer, used with pair of **United States 1851 3c Dull Red, Ty. II (11A)**, this pair has been lifted from its original position over 13c stamp, the left U.S. 3c stamp is repaired with piece added at top, cancelled by part strike of San Francisco circular datestamp, both stamps paying United States and Hawaiian postage—composite 5c Hawaiian, 2c ship captain’s fee and 6c U.S. transcontinental rate—on buff cover to Thomas Croswell & Son in Farmington Falls, Maine, with original letter from George D. Gower datelined “*Lahaina March 22d/54*” to which the addressee has added an introductory letter on the other leaf, second dateline “*Farmington Falls May 10/54*”, minor cosmetic cover restoration—stain removal around edges and sealed opening tear at upper left—but the Missionary is intact without any paper addition or painting-in of design as so often encountered among examples of these classic rarities

ONE OF SIX RECORDED COVERS WITH MIXED FRANKING OF HAWAIIAN MISSIONARY AND UNITED STATES STAMPS, OF WHICH THIS IS THE ONLY COMBINATION INVOLVING THE 13-CENT “HAWAIIAN POSTAGE” STAMP. THIS IS THE LAST HAWAIIAN MISSIONARY COVER TO BE DISCOVERED—IT WAS FOUND IN A STEAMER TRUNK OF PAPERS WITH THE 3-CENT 1851 STAMPS PASTED OVER THE 13-CENT MISSIONARY.

This cover is 3-II-COV-144 in our census of Hawaiian Missionary stamps at <http://www.siegelauctions.com/census/hawaii/scott/2> and No. 10 (13c Scott 3) in the Gregory census. There are 29 Hawaiian Missionary covers recorded in our census, which we believe to be a complete list of genuine covers. One of the covers we list has a small fragment of a 13c stamp, leaving 28 collectible covers. Of these 28, four are permanently held by the National Postal Museum, and a fifth is in the Reichspost Museum, leaving 23 Hawaiian Missionary covers available to collectors. Of the 23 available, only six have combination frankings with United States stamps, including the famous 2c cover. Only the cover offered here has the 13c “Hawaiian Postage” issue used with United States stamps. It is also one of seven genuine 13c “Hawaiian Postage” covers (with complete stamps) available to collectors. Two additional 13c “Hawaiian Postage” covers are in the National Postal Museum, and the tenth recorded cover has most of the stamp torn away, thus making it a study item rather than a collectible example. The Gregory book lists an eleventh Scott 3 cover that requires further study.

This cover was carried from Honolulu to San Francisco on the brigantine *Prince de Joinville*, departing on March 23 and arriving on April 10, 1854. It was carried from San Francisco to Panama on the steamship *John L. Stephens*, departing on April 15. It probably made the April 17 sailing of the *Illinois* from Aspinwall, which arrived at New York on April 25.

The sender, George D. Gower, was born in Farmington, Maine, in 1826 and for a number of years operated a lumber business. He served as Customs Collector of Lahaina from 1851 to 1854. The enclosed letter from Gower to Thomas Croswell & Son in Farmington included a payment draft for \$1,000. It also mentions the birth of a daughter. Susan Charlotte was born in Lahaina on March 20, 1854, two days before this letter was written.

March 1854 is a relatively late use of the 13c “Hawaiian Postage” Missionary, but 13c is the correct composite rate, and the practice of affixing United States postage over Hawaiian postage is evidenced by several other covers from the same period. It is possible that Gower, as Customs Collector, had a supply of the three-year old Missionary stamps on hand. The short-lived practice of applying United States stamps (6c postage) over the 13c Hawaiian stamp was likely intended to avoid confusion over whether or not U.S. postage had been prepaid. The postmaster in Honolulu affixed the U.S. stamps to cover up the Hawaiian postage, and the letter was postmarked in San Francisco without applying a “Ship” or rate mark. The 2c ship fee was credited to San Francisco in the regular accounting. Fred Gregory records eight paste-over frankings with the 1853 Kamehameha III Issue and this one Missionary cover.

Census no. 3-II-COV-144. Ex Hackmey and Gross. With 1999 P.F. certificate.
..... E. 40,000-50,000



THE ONLY RECORDED MULTIPLE OF
THE 1852 13-CENT “H.I. & U.S. POSTAGE” MISSIONARY STAMP

224 **1852, 13c Blue, “H.I. & U.S. Postage” (4).** Crocker Types I and II, originally a horizontal pair used together, separated and rejoined, left stamp Type I, right stamp Type II, margins clear of framelines to slightly in, large at top, bold 7-bar grid cancels struck three times clearly tying pair together, in addition there is a faint red San Francisco circular datestamp, internal tears on both stamps at top and center have been sealed, a few wrinkles and tiny faults

FINE APPEARANCE. THIS IS THE ONLY RECORDED MULTIPLE OF THE 1852 13-CENT “H.I. & U.S. POSTAGE” STAMP, ONE OF TWO KNOWN MULTIPLES OF THE ENTIRE MISSIONARY ISSUE AND THE ONLY MULTIPLE AVAILABLE TO COLLECTORS.

This pair was part of Henry J. Crocker’s plating study of the Missionaries, which also included the 13c “Hawaiian Postage” strip on cover, which was subsequently in the Honolulu Advertiser collection and is now part of the permanent collection at the Smithsonian’s National Postal Museum. (Siegel Sale 769, lot 29). Together they represent the only known multiples of the Missionaries.

Little is known of the origin of this pair, though it is possible that it emanated from the Dawson find and was removed from a damaged cover. The two positions show an interesting skewed alignment of the framelines. Type II (the right stamp) has the characteristic missing period after the “U” of “U.S.”

Our census of Hawaii No. 4, available at our website at <http://www.siegelauctions.com/census/hawaii/scott/4>, contains 35 used stamps off cover or on piece plus nine covers.

Census nos. 4-I-CAN-167 and 4-II-CAN-168. Ex Henry J. Crocker, Frank C. Atherton (acquired in 1930), Honolulu Academy of Arts and Honolulu Advertiser. With 1995 P.F. certificate.

The Scott Catalogue notes that “values are for examples with minor damage that has been skillfully repaired.” These stamps do not have any paper additions or repainting. The Scott value quoted here is for two singles. 70,000.00



225

- 225 ★ **1853, 5c Blue, Thick White Wove (5).** Positions 19-20, horizontal pair with **bottom right corner sheet margins**, original gum, full to large margins all around, vertical creases (one in left stamp, other in right sheet margin), light staining, scuffed at lower right, mostly in margin but just touching stamp at corner

VERY FINE APPEARANCE. MULTIPLES OF THE 5-CENT FIRST PRINTING ARE EXTREMELY RARE, AND NONE ARE KNOWN LARGER THAN A PAIR.

Ex Ostheimer, Honolulu Advertiser and Fekete. With 1954 P.F. certificate..... 4,000.00



226



227

- 226 **1853, 5c Blue, Thick White Wove (5).** Large margins to ample at bottom, radiant color, clear strike of red "Honolulu*U.S. Postage Paid*Jan. 31" circular datestamp, two small thin spots at top, Very Fine appearance, extremely desirable cancelled by the red Honolulu datestamp, ex Golden, with 2014 P.F. certificate 1,900.00

- 227 ☒ **1853, 5c Blue, Thick White Wove (5).** Small margins which are clear of frameline on all sides, rich color, tied by unusual circular cork cancel, red "Honolulu*Hawaiian Islands*Oct. 3" (1854) circular datestamp on cover to William C. Spencer in New London Conn., bold "San Francisco Cal. 1 Nov." circular datestamp also ties stamp, "SHIP" and "12" handstamps, missing part of top flap, tear at top and left and some overall soiling

A FINE COVER BEARING THE 1853 5-CENT KAMEHAMEHA III ISSUE.

This cover was carried on the schooner *E. L. Frost*, which departed Honolulu on October 4, 1854, and arrived in San Francisco on October 28. It was then carried to Panama on the *John L. Stephens*, which departed November 1.

Gregory census no. 5-5. Ex Golden..... E. 2,000-3,000



228

- 228 ☒ **1853, 5c Blue, Thick White Wove (5).** Large even margins all around, tied by "San Francisco Cal. 5 Jan." (1856) circular datestamp on **United States 1855 10c Pale Green on Buff Nesbitt entire (U18a)** to Samuel W. Rodman, New Bedford Mass., receipt docketing at left "*D. C. Waterman 11 Dec/55*" indicating that the letter was written by D. C. Waterman on Dec. 11, 1855 (a significant point, as discussed below), two tiny toned spots on stamp and small piece out of side flap on reverse

VERY FINE. THE ONLY RECORDED EXAMPLE OF A HAWAIIAN STAMP USED ON A UNITED STATES 10-CENT NESBITT ENTIRE.

This cover was carried on the brig *Agate*, which departed Honolulu on December 10, 1855, and arrived in San Francisco on December 27. It was postmarked in San Francisco on January 5 (1856) when the mail was bagged for Panama. The receipt docketing identifies the letter writer as D. C. Waterman, head of a prominent Honolulu shipping and commercial firm, and gives the letter date as December 11, 1855. This is one day *after* the *Agate* is reported cleared from Honolulu in published sailing records. This date sequence and the absence of a Honolulu postmark suggest two possibilities. The first is that Waterman handed his letter to someone boarding the ship and asked them to mail it in San Francisco (if this occurred, then the sailing date was actually Dec. 11). The other possibility is that the letter was posted on board the *Agate* and given to the ship purser to add to the mail. In either case, the 5c Hawaiian stamp was affixed but not cancelled in Honolulu. After arrival in San Francisco, the cover was sent to Panama on the *Golden Gate*, which departed on January 5, 1856.

The U.S. over-3,000 miles prepaid rate was raised from 6c to 10c, beginning on April 1, 1855 (and prepayment was made compulsory for letters posted in the U.S.). The Hawaiian stamp was intended to pay the rate for internal Hawaiian postage. Normally this letter would have been charged 2c for the ship captain's fee plus the 10c for U.S. postage, but there is no indication of how the ship fee was paid.

Fred Gregory records a total of 40 examples of Scott No. 5 on cover. Only one is known used solely with a 10c stamp (Siegel 1999 Rarities sale, lot 60). This use on a 10c Nesbitt entire is the only recorded Hawaiian Kamehameha Issue mixed franking with a U.S. embossed envelope stamp.

Gregory census no. 5-16. Ex Seybold, Barkhausen, Krug and Golden. With 1964 and 2011 P.F. certificates E. 7,500-10,000



THE UNIQUE RECONSTRUCTED MULTIPLES OF
THE 1853 13-CENT KAMEHAMEHA III ISSUE

229 ★田 **1853, 13c Dark Red, Thick White Wove (6).** Two multiples forming an original complete sheet of 20: **block of twelve with sheet margins**, Positions 1-4/5-8/9-12, comprising the top three rows of the sheet, full original gum, beautiful fresh color and fine impression, includes Position 3 double transfer, bottom row creased, slight thinning in margins just touches one stamp; and **block of eight with sheet margins**, Positions 13-16/17-20, comprising the bottom two rows of the sheet, full original gum, as bright and fresh as the previous block, the sheet margin has been trimmed very slightly

A SPECTACULAR RECONSTRUCTION OF THE 13-CENT KAMEHAMEHA III SHEET OF 20, FROM TWO BLOCKS—THE TOP BLOCK IS THE LARGEST RECORDED MULTIPLE AND ONE OF THREE, OR POSSIBLY FOUR, BLOCKS EXTANT. THE BOTTOM BLOCK WAS ORIGINALLY JOINED WITH THE TOP BLOCK IN THE SHEET.

These blocks of twelve and eight were cut from the same sheet and were seen together in the Ferrars H. Tows collection sold by Carl E. Pelander in October 1948. After the Tows auction, the blocks were sold to Alfred H. Caspary (block of eight) and Admiral Frederic R. Harris (block of twelve). When the Harris and Caspary collections were sold in 1954 and 1957, respectively, no one seized the opportunity to reunite the two. The larger block from the Harris sale went to Harold Leavitt, a California collector, and the small block from Caspary went to Josiah K. Lilly. After the Lilly collection was sold by our firm in 1967, the two blocks were finally reunited and acquired by Alfred J. Ostheimer III. Seen together again for the first time since the 1948 Tows sale, it was observed that someone, presumably Caspary, trimmed off a small part of the sheet margin of the smaller block.

Block of 12 ex Tows, Admiral Harris, Leavitt, Ostheimer, Honolulu Advertiser and Golden. Block of eight ex Tows, Caspary, Lilly, Ostheimer, Honolulu Advertiser and Golden. Mentioned in Meyer-Harris (p. 131)..... E. 20,000-30,000



230

- 230 ★ **1853, 13c Dark Red, Thick White Wove (6).** Reconstruction of the complete sheet of 20, consisting of nine pairs and two singles, original gum, bit heavy h.r. due to the reconstruction, seven positions with side selvage, Positions 1-2/5-6 and 9-10 originally formed a block of six, includes Position 3 double transfer, few creases or other flaws

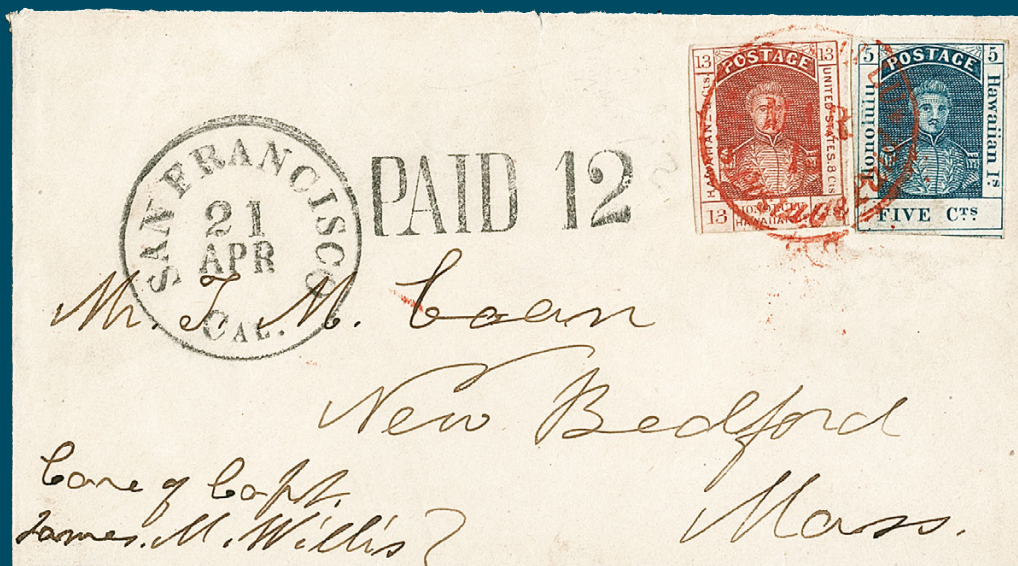
VERY FINE APPEARANCE. A RARE RECONSTRUCTION OF THE SHEET OF 20 OF THE 1853 13-CENT KAMEHAMEHA III ISSUE.

Ex Ishikawa and Golden. Scott Retail as pairs and singles. 19,750.00



231

- 231 **1853, 13c Dark Red, Thick White Wove (6).** Large margins to in, rich color nicely complemented by **red grid** cancel, small thin spot above Kamehameha's head, Fine appearance, desirable cancel on this issue, ex Golden, with 2011 P.F. certificate 1,700.00



ONE OF ONLY TWO COVERS FRANKED SOLELY
BY THE TWO 1853 KAMEHAMEHA III STAMPS

232 ✉ **1853, 5c Blue, 13c Dark Red, Thick White Wove (5, 6).** 5c large to full margins including part of adjoining stamp at bottom, 13c three large margins and just in at bottom, tied together by red "Honolulu*U.S. Postage Paid*Mar. 15" (1856) circular datestamp on cover sent by Reverend Coan in Hilo to his son Titus Munson Coan in New Bedford Mass. "Care of Capt. James M. Willis", perfect strikes of "PAID 12" handstamp and "San Francisco Cal. 21 Apr." circular datestamp, 5c lifted and reaffixed, slightly refolded on three sides to hide edgewear, small tear at top

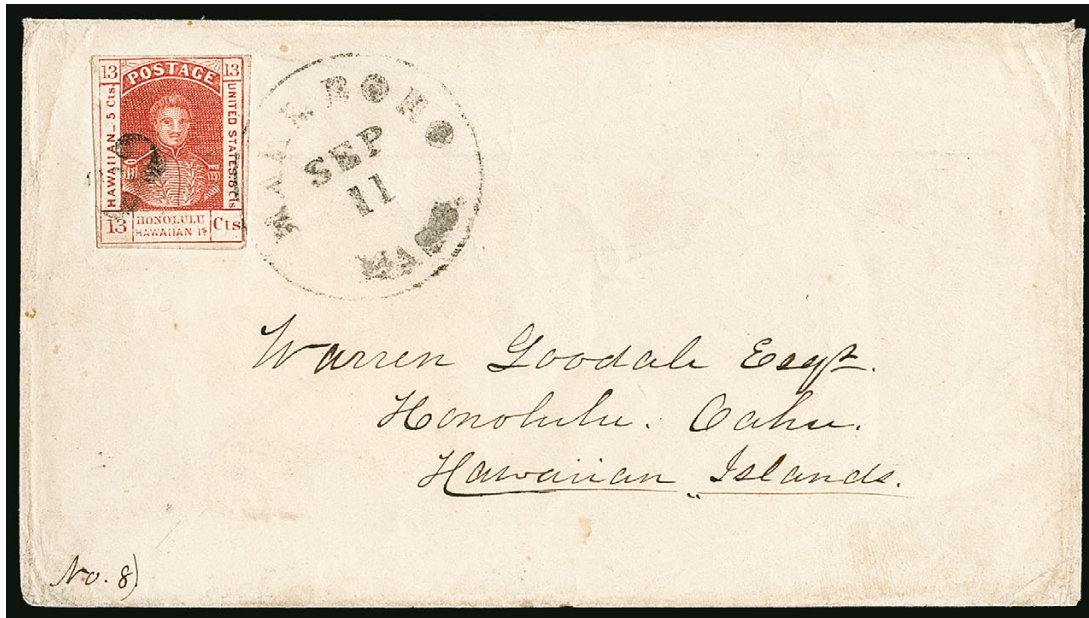
EXTREMELY FINE. AN EXCEEDINGLY RARE FRANKING COMBINING BOTH KAMEHAMEHA III ISSUES AFTER THE APRIL 1855 RATE CHANGE RENDERED OBSOLETE THE 13-CENT'S ORIGINAL PURPOSE. ONLY TWO COVERS FRANKED SOLELY BY THE TWO 1853 KAMEHAMEHA III STAMPS ARE RECORDED BY FRED GREGORY.

Following the United States rate change, effective April 1, 1855, the 13c Kamehameha III stamp could no longer prepay the full rate from Hawaii to the U.S. East Coast, which increased from 13c to 17c. In consequence the patrons of Hawaii's postal system began using 5c stamps plus 12c U.S. stamps, or 13c stamps plus 4c cash, or, in this case, 5c and 13c stamps together. Although 13c stamps were sold for reduced rates in 1861 (the so-called "mute surcharge"), post office correspondence confirms that in 1855 and 1856 the 13c stamps were sold for 13c. If combined with a 5c for 18c Hawaiian postage, the sender incurred a loss of 1c. Surviving covers with such 5c/13c combinations—at least six of which are recorded (including two without any other stamps)—originate at Hilo, but other outlying offices might also have forwarded letters to Honolulu with similar frankings.

During the period starting in April 1855 it was already a regular practice to affix U.S. postage stamps to outbound letters on which the U.S. rate had been prepaid. This is a remarkable example of a fully-prepaid letter, to which no U.S. stamps were affixed. The San Francisco "PAID 12" handstamp confirms that the letter was prepaid without U.S. adhesive stamps. The other recorded cover, which is of lesser quality, was offered in our sale of the Twigg-Smith collection (Siegel Sale 931, lot 4022).

Gregory census no. 5-18. Ex Caspary, Krug and Golden. Signed Ashbrook.
..... E. 20,000-30,000

THE ONLY RECORDED EXAMPLE OF
THE 1853 13-CENT KAMEHAMEHA III ISSUE
USED ON MAIL FROM THE UNITED STATES TO HAWAII



233

- 233 ✉ **1853, 13c Dark Red, Thick White Wove (6).** Large margins, light vertical crease at left in margin only, tied by bold “3” handstamp and “Marlboro Mass. Sep. 11” circular date-stamp on cover to **Honolulu, Hawaii**, addressed to “Warren Goodale Esqr., Honolulu, Oahu, Hawaiian Islands”, a few wrinkles around edges

EXTREMELY FINE. THE ONLY RECORDED EXAMPLE OF THE 1853 13-CENT KAMEHAMEHA III ISSUE USED ON MAIL FROM THE UNITED STATES TO HAWAII.

Descendants of the Goodale and Thurston families have been associated with the Hawaiian islands ever since Lucy (Goodale) Thurston and the Revered Asa Thurston arrived with the Pioneer Company of Missionaries in April 1820. The Goodales were prominent residents of Marlborough (Marlboro), Massachusetts. The addressee, Warren Goodale, was the nephew of Lucy Thurston. He was born in Marlborough in 1825 and traveled to Hawaii in 1849. He became a tutor at the Royal School in Honolulu and subsequently was appointed Marshal of the Kingdom and Collector of Customs. He was one of two men present at Fort Honolulu when it was raided by the French in August 1849. Sometime after his wife, Ellen (Whitmore) Goodale, died in 1861 (see Sale 1009, lot 365), he moved his family back to Massachusetts and joined the Union Army in the Civil War. He subsequently resettled in Hawaii where he was involved in the sugar industry.

There is no year date on this cover, but since it is addressed to Warren Goodale in Honolulu, it must have been mailed prior to his resignation as Collector of Customs in December 1863. The “3” handstamp could be interpreted as 3c U.S. postage, which would mean that the cover was postmarked at Marlborough on Sep. 11, 1863, after the U.S. rate to California was reduced to 3c in July 1863. The 13c Hawaiian stamp would leave a balance of 10c to cover the 7c due in Hawaii (5c plus 2c ship fee). In any case, the absence of San Francisco and Honolulu postmarks is normal for a letter to Hawaii in this period.

The Hawaiian stamp was probably sent to or carried back to Massachusetts by a member of the Goodale family. Its use on mail to Hawaii appears to have been permitted by the Marlborough post office, either due to the “United States 8 Cts.” label on the stamp or out of respect to the Goodale family (or both). Once the letter entered the mail stream, it made its way to Honolulu via San Francisco.

Ex Juhring, Ishikawa and Golden. With 1979 H.P.S. and 2011 P.F. certificates. Scott Retail for single on cover \$22,500.00..... E. 10,000-15,000



234

- 234 (★) **1857, 5c on 13c Dark Red (7).** Type I (Clark) numeral with long flag, unused (no gum), large margins to in at top, bright color, few creases, scuff at bottom, otherwise Fine, ex Golden, with 2011 P.F. certificate 7,000.00



235

- 235 **1857, 5c on 13c Dark Red (7).** Type I (Clark) numeral with long flag, large margins all around, used with **United States 1851-55 10c Green, Ty. II (14) and 12c Black (17)**, all three tied together by two strikes of "San Francisco Cal. 20 Feb." circular datestamp, hinged together as they would have originally appeared, 12c defective, 5c slight wrinkles/creasing and tiny faint toned spot

EXTREMELY FINE APPEARANCE. A RARE EXAMPLE OF THE 1857 5-CENT ON 13-CENT PROVISIONAL SURCHARGE USED WITH UNITED STATES 1851-55 10-CENT AND 12-CENT STAMPS.

The 5c provisional surcharge was necessary due to a shortage of 5c stamps just after the transition from Postmaster Whitney to Postmaster Jackson. Most were made by Jackson's clerk, Alvah Clark, around the start of 1857. New supplies of the 5c stamp (Scott No. 8) were received at the end of June 1857.

Ex Golden. With 1980 and 2011 P.F. certificates. Scott Retail as a used single with no premium for the other two stamps 10,000.00



236

- 236 ☒ **1857, 5c on 13c Dark Red (7).** Type I manuscript "5" applied by post office clerk Alvah Clark, huge margins to in, uncanceled in Hawaii (as usual during this time period), used with **United States 1851 12c Black (17)**, large to huge margins including **bottom sheet margin**, tied by "San Francisco Cal. Jul. 20, 1857" circular datestamp on folded letter to Mrs. Otis Simmons in Little Compton R.I., red "Honolulu*U.S. Postage Paid*June 27" circular datestamp, manuscript "12" at top right, 12c some faint toning not mentioned on accompanying certificate, the provisional with tiny pinhole at top right

A VERY FINE COVER WITH THE HAWAIIAN 1857 "5" ON 13-CENT KAMEHAMEHA III PROVISIONAL STAMP USED IN COMBINATION WITH THE UNITED STATES 12-CENT 1851 ISSUE. ONE OF ELEVEN SUCH COMBINATIONS RECORDED BY GREGORY.

The Gregory census lists 18 covers with the 5c on 13c surcharge (Volume III, Appendix II-F). Five were carried on this trip of the *Vaquero*, which stopped at Honolulu on its way from Australia and carried two bags of mail when it cleared Honolulu on June 27, 1857. She returned to safe harbor with a broken mast and left again on June 30 with additional mail, arriving in San Francisco on July 16. Mail to the east was postmarked in San Francisco on July 20 for the sailing of the PMSC *John L. Stephens*, which arrived in Panama City on August 3. At least some of the mail from the *John L. Stephens* missed the two sailings of USMSC steamers on August 3 (based on east coast postmarks on other No. 7 covers from the same Honolulu sailing). If this cover missed those sailings as well, then it was likely carried on the August 13 sailing of the *Illinois*, which arrived in New York September 3 after a stop at Havana.

No. 9 in the Gregory census and illustrated in *Hawaiian Foreign Mail to 1870* (p. II-55). Ex Rohloff, Kramer (where erroneously described as carried on the *Fanny Major*) and Walske. With 2003 P.F. certificate. Scott Retail \$45,000.00..... E. 15,000-20,000



237

237

1857, 5c Blue, Thin White Wove (8). Positions 13-16, horizontal strip of four, large margins to just touched, red "Honolulu Hawaiian-Islands Sep. 3" large circular datestamp at left, right pair uncanceled, left stamp small sealed corner tear at top left, Positions 14 and 16 also with small sealed tears

FINE APPEARANCE. THIS IS THE LARGEST RECORDED MULTIPLE — EITHER UNUSED OR USED — OF THE 1857 5-CENT KAMEHAMEHA III SECOND PRINTING.

This is believed to be the only used strip of four extant. Pictured in Meyer-Harris (p. 130) on its original quadruple-rate cover, from which it was removed.

Ex Admiral Harris, Honolulu Advertiser and Golden. With 2011 P.F. certificate..... E. 3,000-4,000



238

238

1857, 5c Blue, Thin White Wove (8). Horizontal pair, large margins to full, bright shade, tied by red "Honolulu Hawaiian-Islands Jun. 18" circular datestamps on piece, small corner creases at bottom corners, Very Fine appearance, a beautiful and scarce used pair, ex King Farouk and Golden, with 2011 P.F. certificate..... 2,000.00



239

- 239 ☒ **1857, 5c Blue, Thin White Wove (8).** Used with **United States 1c and 10c 1851-55 Issues**, unusually and artistically arranged on a small envelope with small “Paid” in sender’s hand and a neat address to Berlin Mass., the stamps were trimmed of all margins by the artist, who probably considered extra paper unsightly (so type characteristics also trimmed), lightly struck red “Honolulu U.S. Postage Paid” large circular datestamp ties 5c and 1c at right, carried by the *Yankee* (cleared Jul. 12, arrived Aug. 2), upon arrival the San Francisco office cancelled and tied each stamp with its “San Francisco Cal. Aug. 5, 1858” circular datestamp, small ink stain at center is trivial

VERY FINE. A BEAUTIFUL AND UNUSUAL FRANKING AND ARRANGEMENT OF STAMPS PAYING THE 5-CENT HAWAIIAN POSTAGE PLUS 12-CENT U.S. POSTAGE FOR THE 10-CENT TRANSCONTINENTAL RATE AND 2-CENT SHIP CAPTAIN’S FEE.

Ex Admiral Harris, Krug, Honolulu Advertiser and Bailar. With 1996 P.F. certificate E. 5,000-7,500



240

- 240 ☒ **1857, 5c Blue, Thin White Wove (8).** Horizontal pair, large margins to in at bottom, bright color, used with **United States 1851 12c Black (17) and 1857 Perforated 10c Green, Ty. III (33)**, 12c large margins to just in at bottom right, 10c perfs scissors-separated at right, faint strike of red "Honolulu U.S. Postage Paid Feb. 18" (1858) circular datestamp on cover to Jonathan Brewster in Chicago Ill. and **forwarded** to Northampton Mass., all stamps tied by single strike of "San Francisco Cal. Mar. (20, 1858)" circular datestamp, red crayon "for 3" at left and manuscript "due 3 cts" below stamps, 10c scissors-trimmed perfs at right, cover stains and small erosion spot below stamps, U.S. stamps slightly toned

FINE APPEARANCE. A RARE DOUBLE-RATE MIXED-FRANKING COVER WITH A PAIR OF THE 1857 5-CENT KAMEHAMEHA III SECOND PRINTING USED WITH 1851 IMPERFORATE AND 1857 PERFORATED UNITED STATES STAMPS, PAYING THE CORRECT 22-CENT RATE. ONLY TWO COVERS ARE RECORDED WITH THIS COMBINATION OF STAMPS.

Although the dates in the postmarks are difficult to read, we believe this was carried on the bark *Yankee*, which departed Honolulu on February 27, 1858, and arrived in San Francisco on March 15 (datestamped March 20 for Panama sailing).

The Gregory census records two covers with a Hawaii No. 8 pair and U.S. Nos. 17 and 33. Ex Golden. With 1978 and 2011 P.F. certificates..... E. 4,000-5,000



241

- 241 ☒ **1857, 5c Blue, Thin White Wove (8).** Full margins to just barely in at bottom right, used with **United States 1851 12c Black (17)**, large margins to slightly in at upper right, tied together by light strike of red "Honolulu U.S. Postage Paid Oct. 3" (1859) circular datestamp, a second clearer strike on cover to Miss Almira L. Bartow in Brooklyn N.Y., stamps additionally tied by light strike of "San Francisco Cal. Oct. 24, 1859" circular datestamp, repeated at lower left, "OVERLAND" straightline handstamp (with small "R") which must have been impressed over the edge of the (no longer present) letter, causing some distortion of the strike, 12c inconsequential light wrinkle called a "light vertical crease" on accompanying certificate

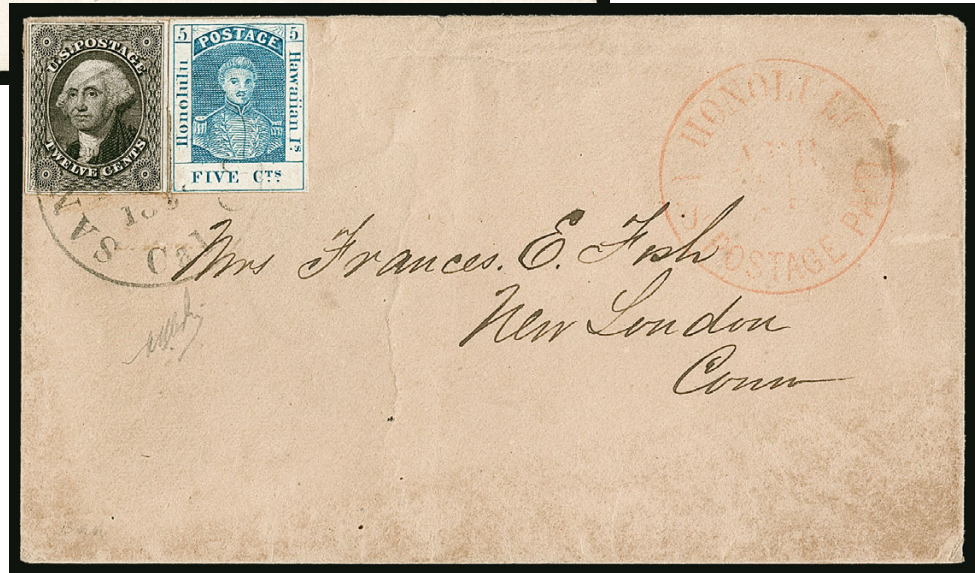
VERY FINE. A SCARCE COMBINATION OF THE 1857 5-CENT KAMEHAMEHA III SECOND PRINTING AND UNITED STATES 12-CENT 1851 ISSUE AND ONE OF SIX RECORDED MIXED-FRANKING COVERS WITH "OVERLAND" ROUTE DIRECTIVE MARKING.

This cover was carried on the bark *Yankee*, which departed Honolulu on October 3, 1859, and arrived in San Francisco on October 21. It arrived one day too late for the regular twice-monthly sailing for Panama and was marked with the "OVERLAND" handstamp to indicate its late arrival and alternate routing on the next Butterfield stagecoach. The San Francisco October 24 postmark date corresponds with the regular Monday stagecoach departure. Michael Perlman records 14 covers from Hawaii with the "OVERLAND" handstamp, including six with U.S.-Hawaii mixed frankings (all 5c and 12c 1851). The addressee, Almira Lucilla Bartow, was the sister of Lahaina postmaster Cornelius S. Bartow.

Ex Seybold, Admiral Harris, Krug and Golden. Illustrated in Ashbrook's book on the 1c (Volume 2, page 261). With 2011 P.F. certificate..... E. 3,000-4,000



243



242

- 242 ☒ **1857, 5c Blue, Thin White Wove (8).** Large margins all around, bright color, used with **United States 1851 12c Black (17)**, large margins to clear including part of adjoining stamp at right, red "Honolulu U.S. Postage Paid Apr. 24" (1858) circular datestamp on oatmeal cover to Mrs. Frances Fish in New London Conn., stamps uncanceled at Honolulu, tied together by light strike of "San Francisco Cal. Jun. 5, 1858" circular datestamp, cover slightly acid toned

VERY FINE. A RARE AND ATTRACTIVE MIXED-FRANKING COVER WITH THE 1857 5-CENT KAMEHAMEHA III SECOND PRINTING AND UNITED STATES 12-CENT 1851 ISSUE.

This cover was carried on the schooner *Liholiho*, which departed Honolulu on April 26, 1858, and arrived in San Francisco on May 21 (mail for the East Coast was datestamped on June 5).

Ex Juhring, Ishikawa (where mistakenly described as No. 5) and Golden. With 1981 Friedl certificate (as No. 5). With 2011 P.F. certificate as the correct No. 8. Signed Bloch in pencil..... E. 3,000-4,000

- 243 ☒ **1857, 5c Blue, Thin White Wove (8).** Huge margins to barely touched including part of adjoining stamp at left and **sheet margin** at right, uncanceled, red "Honolulu Hawaiian-Islands Dec. 3" (1861) circular datestamp on cover to Miss E. D. Turrill, in care of D. P. Belknap in San Francisco, clearly struck "**Ship 5**" handstamp and "San Francisco Cal. Dec. 19, 1861" double-circle datestamp, stamp with small tear at upper right mostly in margin

VERY FINE. AN ATTRACTIVE AND RARE USE OF THE 1857 5-CENT KAMEHAMEHA III SECOND PRINTING ON COVER TO SAN FRANCISCO WITH "SHIP 5" DUE MARKING.

This cover was carried on the American whaling ship *Harrison*, which departed Honolulu on December 3, 1861, and arrived in San Francisco on December 18. Mail was postmarked at San Francisco on the following day. The addressee is believed to be the daughter of Joel Turrill, a prominent attorney and congressman from Oswego, New York. He had served as U.S. Consul to the Hawaiian Islands (1845-50). In the financial crisis of 1857 he lost all of his property, and he died in December 1859. This cover was addressed to her in care of D. P. Belknap, a prominent San Francisco resident. Belknap presumably paid the 5c incoming ship-letter postage.

Ex Golden. With 2011 P.F. certificate..... E. 1,500-2,000



244

- 244 ★田 **1861, 5c Blue, Thin Bluish Wove (9).** Reconstruction of the **sheet of 20** with block of twelve at top, blocks of five and three at bottom, the bottom left block of three from a different multiple (based on differences in the gum), original gum, h.r. where reconstructed, sheet margins at sides, some toning and creases

VERY FINE APPEARANCE. A SCARCE RECONSTRUCTED SHEET OF 20 OF THE 1861 5-CENT KAMEHAMEHA III THIRD PRINTING ON THIN BLUISH WOVE PAPER. THE TOP BLOCK OF TWELVE IS LARGEST RECORDED MULTIPLE OF THIS ISSUE.

Another block of twelve was offered in Honolulu Advertiser sale. Ex Golden. Scott Retail as three blocks of four and singles. 9,200.00



245

- 245 ☒ **1861, 5c Blue, Thin Bluish Wove (9).** Ample to large margins, deep rich color, tied by square grid cancel, "Honolulu Hawaiian-Islands Jan. 19" circular datestamp on cover to San Francisco, "San Francisco Cal. Feb. 9, 1864" double-circle datestamp and "DUE 6" straightline handstamp, the datestamp also ties stamp and the due marking indicates proper rate for a ship letter addressed to port of entry

EXTREMELY FINE IN EVERY RESPECT. THE 5-CENT KAMEHAMEHA III 1861 THIRD PRINTING ON BLuish PAPER IS SCARCE ON COVER. THIS CHOICE TIED EXAMPLE IS A RARE USE TO THE PORT OF SAN FRANCISCO WITH "DUE 6" RATE.

Fred Gregory reports 50 covers with the 5c Third Printing (Scott 9), but only 15 are covers with this stamp used alone (not in combination with United States stamps). Only one other is addressed to San Francisco, and the cover offered here is the only one with the "Due 6" straightline. It was carried on the *Comet*, departing Honolulu on January 21, 1864, arriving in San Francisco on February 9.

Letters from Hawaii to San Francisco showing the 1863 rates are discussed in the Gregory book (Volume II, pages 165-169). The San Francisco post office inconsistently applied the 4c and 6c collect rates. Six examples are recorded by Gregory.

Ex Honolulu Advertiser, Twigg-Smith and Walske. With 2007 P.F. certificate.....
..... E. 7,500-10,000



246

- 246 TC **1868, 5c Orange Red, Large Die Trial Color Proof on India (10TC1a).** 84 x 57mm India paper die sunk on slightly larger card with full die sinkage, die no. C-217 at top, remarkably fresh color, a few minor toned spots far from design, still Very Fine, an enormously rare and trial color proof, only one other is recorded and it is cut to stamp size 3,000.00



- 247 ★田 **1868, 5c Blue, Re-Issue (10).** Complete sheet of 20 with sheet margins all around, identified by David Golden as third printing, original gum, h.r., bit heavy horizontal crease between second and third rows, few small thin spots and toned spots, otherwise Very Fine, ex Taylor and Golden, Scott Retail as blocks and singles..... 630.00

247



248

- 248 ☒ **1864, 2c Rose Vermilion (31).** Horizontal strip of three overpaying 5c Hawaiian postage, cancelled by three-ring targets struck three times (avoiding the U.S. stamps) on small cover to Miss Lucy Tillman in San Francisco, additionally stamped at origin with **United States 1861 1c Blue (63) and 3c Rose (65)** tied by target cancels of San Francisco, paying the old 4c rate for mail addressed to San Francisco, bold red "Honolulu U.S. Postage Paid May 23" (1867) circular datestamp, part "San Francisco Cal. Jun. 6" double-circle with slug instead of yeardate, pencil "1864" on back possibly refers to issue date of Hawaiian stamps, strip with perf faults from placement at top including small piece out of right stamp, cover with very minor toning

VERY FINE APPEARANCE. A SPECTACULAR AND RARE FRANKING FROM HAWAII TO SAN FRANCISCO. ESPECIALLY RARE WITH THE ONE-CENT STAMP.

This cover is illustrated and discussed in the Gregory book (Volume II, page 175). According to Gregory, it was carried from Honolulu to San Francisco on the American bark *Comet* (cleared May 23, 1867, arrived June 6). After the Act of March 1863 effectively reduced the city delivery rate in San Francisco from 2c to 1c, the correct prepaid U.S. postage should have been 3c (1c for local delivery plus 2c ship captain's fee). However, surviving covers show that there was much confusion over the correct amount to prepay.

Ex Knapp, Tows, Juhring, Honolulu Advertiser and Bailar. With 1996 P.F. certificate.....
..... E. 7,500-10,000

END OF SALE — THANK YOU

Live Internet Bidding at Siegel Auctions

REGISTERED BIDDERS MAY BID IN THIS SALE USING LIVE INTERNET BIDDING

This step-by-step guide will instruct you how to register, set your browser and use the bidding interface.

Start by following the simple steps to become a registered Live Internet Bidder.

Once you have been approved for bidding, you can listen to the auction and place bids with the click of a mouse.

Registering with STAMP AUCTION NETWORK & SIEGEL AUCTION GALLERIES

Live Internet Bidding is managed by Stamp Auction Network (SAN).

To bid, you must be registered and approved by both SAN and Siegel.

To decide what you need to do, choose the description below that best fits you.



I've already registered with SAN and have been approved by Siegel for internet bidding

I'm a Siegel client, but I'm not registered with SAN

Go to stampauctionnetwork.com/siegel and click on "Register" at the top. Check the box for Robert A. Siegel Auction Galleries (under "R") and submit the form, indicating you are a Siegel client. Once registered at SAN, you're ready for internet bidding.

I've bid through SAN before, but this is the first time I've bid in a Siegel sale

Then you just need to be approved by Siegel. Go to stampauctionnetwork.com/siegel and click on "Update Registration" at the top. Your SAN account information will be sent to us for approval (you might be asked for other trade references). Once approved by Siegel for bidding, you're ready for internet bidding.

I've never bid with Siegel, nor registered with SAN

Go to stampauctionnetwork.com/siegel and click on "Register" at the top. Check the box for Robert A. Siegel Auction Galleries (under "R") and submit the form with your trade references (please, no family members or credit card companies as references). Once registered at SAN and approved by Siegel for bidding, you're ready for internet bidding.

Live Internet Bidding works by allowing registered bidders to observe and place bids.

Live Internet Bidding will work with any browser on both PC and Mac operating systems.

Before bidding by internet for the first time, we recommend finding a sale in progress and listening to the public broadcast or logging in as a registered bidder. This will help you develop a feel for the sale tempo and bidding interface.

Log on to the auction at stampauctionnetwork.com

When you're logged on as a Live Internet Bidder, the bidding interface shows a photo and description of the lot, the current bid (and your bidding status), options for placing competitive bids and buttons with bid increments.

- After you click on a bid amount, the auctioneer is immediately notified of your bid.
- Retracting a bid is usually not acceptable, so please bid carefully.
- If you bid and then decide to stop, the "Pass" button will tell the auctioneer you are no longer bidding.
- You can send messages to the auctioneer (for example, a request for extension).
- You can track prior realizations from the bidding screen.

Robert A. Siegel Auction Galleries, Inc.'s Sale 1185

Closing on June 27, 2018. [Listen to the Auction.](#)
Contact us by Phone at (212) 753-6421, [Contact us Via eMAIL.](#)

F11 (if using Internet Explorer) toggles full

Lot and Description	Bidding
 United States Inverted Jenny Postage Positions 15 and 6 Lot 91 - 24c Carmine Rose & Blue, Center Inverted (C3a). Position 15, the fifth stamp from the left in the second row of the sheet, original gum, deep rich colors, tiny thin spot just below the plane, faint traces of purple ink above the bottom left "2" (image)	<div>Bid 280000</div> <div>Bid 290000</div> <div>Bid 300000</div> <div>Bid 310000</div> <div>Bid 320000</div> <div>Bid 330000</div> <div>Send a Message to the Auctioneer</div>
\$ 450,000.00 Sold for \$ 270,000.00 Your Bid \$ 0.00	

"System Down" or "Lost Connection" events do occasionally happen.

If you have any problems with Live Internet Bidding please call 212-753-6421 for immediate assistance.



BIDS

Use this form to submit absentee bids
or to confirm telephone bids

Sale 1216

February 25, 2020

PADDLE #

1

Please provide the following information:

NAME

ADDRESS

CITY/STATE/ZIP

PHONE MOBILE

EMAIL

2

Have you purchased from us in the past 5 years? ☐ Yes (please go to Section 3)
☐ No (references required below)

STAMP FIRM PHONE

STAMP FIRM PHONE

Please submit references at least **2 business days** before the sale. Bids from new clients will not be executed if satisfactory references are not received in time to be contacted.

3

- Enter the lot number and your corresponding maximum bid in the space below
- Use whole dollar amounts and bid according to the increments (see back of form)
- Bids do not include the **18% Buyer's Premium**, taxes, duty or shipping charges
- Absentee bids will advance at one increment over the next highest competing bid
- "Plus", "Break Tie" or "Buy" bids will not be executed
- Indicate any "Or" bids between lot number/bid entries and bracket your choices
- If you wish to limit the total amount of your bids, follow the instructions below

Lot #	Bid \$	Lot #	Bid \$	Lot #	Bid \$

☐ **Limit Bids:** Check this box if you wish to limit the total hammer price of your bids (excluding 18% Buyer's Premium, taxes, duty and shipping costs). Your bids will be executed until your bidding limit has been reached. The total amount you wish to bid is \$

4

By signing this form, you agree to all of the Conditions of Sale printed in the sale catalogue (printed and digital), including but not limited to a) payment in the manner demanded by the Siegel firm, and b) payment of the 18% Buyer's Premium, any sales tax or customs duty, shipping costs, late charges and

other prescribed charges. You agree that your bids will be executed as a courtesy by Siegel, but you waive the right to make any claim against Siegel or its employees arising from these bids or your participation in the sale. You agree to honor all bids as submitted, regardless of any errors or omissions.

SIGNED DATE

Mail the signed form to Siegel Bid Department, 6 West 48th St., 9th Floor, New York, NY 10036
or email to stamps@siegelauctions.com
or fax to 212-753-6429



Additional Bids

Sale 1216

February 25, 2020

PADDLE #

Lot #	Bid \$	Lot #	Bid \$	Lot #	Bid \$

Shipping & Insurance

We will be pleased to arrange for shipping and transit insurance for purchases in this sale, except for lots marked or announced as "floor sale only." To expedite billing and delivery to hundreds of buyers in each sale, we use standard charges for postage and insurance under our policy. These charges are based on the package weight and mailing requirements, according to the schedule shown here. Our standard charges do not include a fee for our services, and they may be slightly more or less than the actual postage or Fedex fee. We ask all buyers to remit the invoiced amount for shipping and insurance.

Transit insurance is provided in all cases, except when the buyer has furnished us with documentation that insurance is effective under the buyer's own policy.

There will be no added insurance charge for shipments of less than \$75,000 value. Shipments valued in excess of \$75,000 may require supplemental insurance and/or special courier service, the estimated cost of which will be furnished to the buyer prior to shipment. If the buyer refuses to pay the estimated charges or furnish proof of self-insured coverage, the buyer will be responsible for picking up the lots at our office and any resulting sales tax.

Standard Shipping Charges

Weight Class	Shipping Method	Charge
Up to 2 lbs.	Fedex Envelope	\$25
Over 2 lbs.	Fedex Box	\$35 - \$50*
Outside US	Fedex	\$50 - \$100**
Bulky Lots	Fedex Ground or Express	By weight

* Up to \$75,000 value and up to 5 lbs; additional charge may apply to packages exceeding limits

** Buyers outside United States are liable for any applicable customs duty and clearance charges. An accurate declaration of contents and value will be made on all packages and import/export documents. **Siegel may refuse to ship lots to certain countries with a high risk factor.**

2/2015

Bidding Increments

The auctioneer may regulate the bidding at his discretion. However, to assist absentee bidders in establishing their maximum bids, the increments shown here will be used in most cases. We recommend that written bids conform to these increments—bids that do not will be reduced accordingly.

Bid	Increment	Bid	Increment
Up to \$200	\$10	\$7,000-20,000	\$500
\$200-500	\$25	\$20,000-30,000	\$1,000
\$500-1,000	\$50	\$30,000-70,000	\$2,500
\$1,000-3,000	\$100	\$70,000-140,000	\$5,000
\$3,000-7,000	\$250	\$140,000-300,000	\$10,000